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Annual Report for Calendar 2024

The Honorable Sheldon Whitehouse (Whitehouse, Sheldon)

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Print Report

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Spouse	Self-Employment Income	Ocean Conservancy Washington, DC	> \$1,000	n/a
2	Spouse	Self-Employment Income	Running Tides Technologies Inc Portland, ME	> \$1,000	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Morgan Stanley SEP IRA	Retirement Plans IRA	Spouse			
1.1	JCAPX - Janus Forty I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
1.2	JBALX - Janus Balanced I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.3	XLF - Financial Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.4	GOOGL - Alphabet Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
1.5	TJX - The TJX Companies, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.6	XLV - Health Care Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.7	SAPYX - ClearBridge Appreciation I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.8	AMGN - Amgen Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
1.9	NFLX - Netflix, Inc.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
1.10	MORGAN STANLEY (NEWPORT, RI) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
1.11	AMZN-Amazon.com, Inc.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
1.12	GS-The Goldman Sachs Group, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
1.13	JPM-JPMorgan Chase & Co.	Corporate Securities	Spouse	\$15,001 -	None,	None (or

Asset	Asset Type	Owner	Value	Income Type	less than \$201) Income
1.14	VETAX-Victory Sycamore Established Value A	Mutual Funds Mutual Fund	Spouse \$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.15	IEMG - iShares Core MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.16	NVDA - NVIDIA Corporation - Common Stock	Corporate Securities Stock	Spouse \$500,001 - \$1,000,000	None,	None (or less than \$201)
1.17	AMBFX-American Funds American Balanced F2	Mutual Funds Mutual Fund	Spouse \$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.18	WMT - Walmart Inc.	Corporate Securities Stock	Spouse \$15,001 - \$50,000	None,	None (or less than \$201)
1.19	DAL - Delta Air Lines, Inc.	Corporate Securities Stock	Spouse \$1,001 - \$15,000	None,	None (or less than \$201)
1.20	LRCX - Lam Research Corporation	Corporate Securities Stock	Spouse \$50,001 - \$100,000	None,	None (or less than \$201)
1.21	IAU - iShares Gold Trust	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.22	IHI - iShares U.S. Medical Devices ETF	Mutual Funds Exchange Traded Fund/Note	Spouse \$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.23	AMEFX - American Funds The Income Fund of America Class F-	Mutual Funds Mutual Fund	Spouse \$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.24	NFFFX - American Funds New World Fund Class F-2	Mutual Funds Mutual Fund	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.25	LMGNX - ClearBridge International Growth Fund Class I	Mutual Funds Mutual Fund	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.26	CSDIX - Cohen & Steers Real Estate Securities Fund, Inc. C	Mutual Funds Mutual Fund	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.27	JMGRX - Janus Henderson Enterprise	Mutual Funds	Spouse \$15,001 -	Excepted	None (or

	Fund Class I	Mutual Fund		\$50,000	Investment	less than
	Asset	Asset Type	Owner	Value	Income Fund, Type	\$201 Income
1.28	NWKDX - Nationwide Geneva Small Cap Growth Fund Institutio	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.29	PGR - The Progressive Corporation	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.30	AMRFX - American Mutual Fund Class F-2 Shares	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.31	GSFTX - Columbia Dividend Income Fund Class I	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.32	VGT - Vanguard Information Tech ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
1.33	CIBR - First Trust Nasdaq Cybersecurity ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.34	PAVE - Global X U.S. Infrastructure Development ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.35	VBR - Vanguard Small-Cap Value ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.36	DGRW - WisdomTree U.S. Quality Dividend Growth Fund	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.37	QQQ - Invesco QQQ Trust, Series 1	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.38	NZAC - SPDR MSCI ACWI Climate Paris Aligned ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.39	SMH - VanEck Semiconductor ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.40	VIG - Vanguard Div Appreciation ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.41	VRK - Vanguard Small-Cap Growth ETF	Mutual Funds	Spouse	\$15,001 -	Excepted	None (or

1.41	VBRG - Vanguard Small-Cap Growth ETF	Mutual Funds Exchange Traded Fund/Note	Spouse Owner	\$15,001 - \$50,000 Value	Excepted Investment Fund,	None (or less than \$201)
1.42	LLY - Eli Lilly and Company	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
1.43	IUGXX - Invesco Premier US Government Money Portfolio IN	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
1.44	HFQIX - Janus Henderson Global Equity Income Fund - I Sh	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.45	SPTS - SPDR Short-Term Treasury Portfolio ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.46	VFH - Vanguard Financials ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.47	VWO - Vanguard FTSE Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.48	JEPI - Jpmorgan Equity Premium Income ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.49	GRID - First Trust NASDAQ Clean Edge Smart Grid Infrastru	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.50	BOND - PIMCO Active Bond Exchange-Traded Fund Exchange-Tr	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.51	FTHSX - Fullerthaler Behavioral Small-Cap Equity Fund In	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
1.52	VEU - Vanguard All-World ex-US Shares Index ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
2	Morgan Stanley- IRA	Retirement Plans IRA	Spouse			
2.1	BRK-B - Berkshire Hathaway Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
2.2	VDC - Vanguard Consumer Staples	Mutual Funds	Spouse	\$15,001 -	Excepted	None (or

ETF	Exchange Traded Fund/Note	Asset Type	Owner	\$50,000 Value	Investment Income Fund, Type	less than \$201) Income
Asset						
2.3	VGT - Vanguard Information Technology ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
2.4	XLI - Industrial Select Sector SPDR ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2.5	IEMG - iShares Core MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2.6	Morgan Stanley Private Bank (Newport, RI) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
2.7	NOBL - ProShares S&P 500 Dividend Aristocrats ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2.8	CEYIX - Calvert Equity Fund Class I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2.9	LMGNX - ClearBridge International Growth Fund Class I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2.10	GSFTX - Columbia Dividend Income Fund Class I	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
2.11	IAU - iShares Gold Trust	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
2.12	CIBR - First Trust Nasdaq Cybersecurity ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
2.13	XLV - S&P 500 Healthcare Sector SPDR	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
2.14	XLF - S&P 500 Financials Sector SPDR	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
2.15	VWO - Vanguard FTSE Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2.16	YYM - Vanguard High Dividend Yield	Mutual Funds	Spouse	\$15,001 -	Excepted	None (or

ETF	Exchange Traded		\$50,000	Investment	less than	
Asset	Fund/Note	Owner	Value	Income	\$201)	
	Asset Type			Fund,	Income	
2.17	NFFFX - New World Fund Inc. Class F-2 Shs	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2.18	IUGXX INVESCO PREM US GOVT MNY INST	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
2.19	HYG- ISHARES IBOX HY COR	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
2.20	PONPX- PIMCO INCOME 12	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
2.21	VIG- VANGUARD DIVIDEND APPRECI	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2.22	GRID - Nasdaq Smart Grid Infrastructure ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2.23	JEPQ - JPM Nasdaq Equity Premium Income ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3	Morgan Stanley-IRA	Retirement Plans IRA	Self			
3.1	Goldman Sachs Abslt Ret Trck I (GJRTX)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.2	JP Morgan Dynamic Small Cap Gr Sel (JDSCX)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.3	XLK - Technology Select Sector SPDR ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.3	QQQ - Invesco QQQ Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.4	VDC-Vanguard Consumer Staples ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.5	BRK-B - Berkshire Hathaway Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than

	Asset	Asset Type	Owner	Value	Income Type	less than \$201 Income
3.6	XLFX - Financial Select Sector SPDR® ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.7	IEMG - iShares Core MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.8	WMFFX -American Funds Washington Mutual F2	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.9	SBLYX - ClearBridge Large Cap Growth I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.10	JMGRX - Janus Henderson Enterprise I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.11	NFFFY - American Funds New World F2	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.12	VEVIX - Victory Sycamore Established Value I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.13	AMEFX - American Funds The Income Fund of America Class F-	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.14	CGW - Invesco S&P Global Water Index ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.15	Morgan Stanley (Newport, RI) Type: Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.16	IAU - iShares Gold Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.17	NOBL - ProShares S&P 500 Dividend Aristocrats ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.18	LMGNX - ClearBridge International Growth Fund Class I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.19	GSFTX - Columbia Dividend Income	Mutual Funds	Self	\$15,001 -	Excepted	None (or

	Fund Institutional Class	Mutual Fund		\$50,000	Investment	less than
	Asset	Asset Type	Owner	Value	Income Fund, Type	\$201) Income
3.20	PRBLX - Parnassus Core Equity Fund - Investor Shares	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.21	XLI - SPDR Select Sector Fund - Industrial	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.22	COWZ - Pacer US Cash Cows 100 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.23	XLRE - Real Estate Select Sector SPDR Fund (The)	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.24	IUGXX - Invesco Premier US Government Money Portfolio IN	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
3.25	US TREASURY NOTE <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.26	US TREASURY NOTE <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.27	JEPI- JP MORGAN EQUITY PREMIUM	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.28	HFQIX- JAMES HENDERSON GLB	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.29	PONPX-PIMCO INCOME 12	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.30	KO - Coca-Cola Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.31	GRID - Nasdaq Smart Grid Infrastructure ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.32	PFD - Flaherty & Crumrine Preferred	Mutual Funds	Self	\$15,001 -	Excepted	None (or

Inc Asset	Exchange Traded Fund/Note Asset Type	Owner	\$50,000 Value	Investment Income Fund, Type	less than \$201) Income	
3.33	CIBR - Nasdaq Cybersecurity ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.34	PAVE - Global X U.S. Infrastructure Development ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.35	XLV - SPDR Select Sector Fund - Health Care	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.36	FTHSX - Fullerthaler Behavioral Small-Cap Equity Fund In	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
4	Morgan Stanley- Sheldon Whitehouse Family Trust	Brokerage/Managed Account	Self			
4.1	AMZN-Amazon.com Inc. (NASDAQ)	Corporate Securities Stock	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
4.2	AXP-American Express Company (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
4.3	CVS - CVS Caremark Corporation (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
4.4	DHR - Danaher Corp. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
4.5	GOOGL - Alphabet Inc.	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends,	\$1,001 - \$2,500
4.6	HON - Honeywell International Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
4.7	MCHP - Microchip Technology Inc. (NASDAQ)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
4.8	MSFT-Microsoft Corporation (NASDAQ)	Corporate Securities Stock	Self	\$500,001 - \$1,000,000	Dividends,	\$2,501 - \$5,000
4.9	NKE-Nike, Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
4.10	ORCL-Oracle Corporation (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
4.11	PEP - Pepsico, Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500

4.12	PG - The Procter & Gamble Company Asset (NYSE)	Corporate Securities Asset Type Stock	Self Owner	\$100,001 - Value \$250,000	Income Dividends, Type	\$2,501 - Income \$5,000
4.13	UNP-Union Pacific Corporation (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
4.14	RTX - RTX Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.15	UNH-UnitedHealth Group Incorporated (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
4.16	V-Visa Inc. (NYSE)	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends,	\$1,001 - \$2,500
4.17	JPM - JPMorgan Chase & Co.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
4.18	LOW - Lowe's Companies Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
4.19	TMO-Thermo Fisher Scientific, Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
4.20	XLV - Health Care Select Sector SPDR® ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
4.21	AMGN-Amgen Inc. (NASDAQ)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.22	EL - The Estée Lauder Companies Inc. (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.23	PANW-Palo Alto Networks, Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
4.24	VZ - Verizon Communications Inc. (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
4.25	META - Meta Platforms Inc	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
4.26	LRCX-Lam Research Corporation	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
4.27	NFLX-Netflix, Inc.	Corporate Securities Stock	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
4.28	WMT-Wal-Mart Stores Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000

4.29	ANWFX-American Funds New Perspective F2 Asset	Mutual Funds Mutual Fund Asset Type	Self Owner	\$50,001 - \$100,000 Value	Excepted Investment Type Fund,	\$1,001 - \$2,500 Income
4.30	AAPL-Apple Inc.	Corporate Securities Stock	Self	\$1,000,001 - \$5,000,000	Dividends,	\$2,501 - \$5,000
4.31	BAC-Bank of America Corporation	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
4.32	GS-The Goldman Sachs Group, Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
4.33	HD-The Home Depot, Inc.	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000
4.34	CSCO - Cisco Systems, Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
4.35	FTV-Fortive Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.36	NVDA-NVIDIA Corporation	Corporate Securities Stock	Self	\$1,000,001 - \$5,000,000	Dividends,	\$201 - \$1,000
4.37	SBUX-Starbucks Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
4.38	ADI - Analog Devices, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.39	BRK-B - Berkshire Hathaway Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.40	IR - Ingersoll-Rand Plc	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.41	SYK-Stryker Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.42	IHI - iShares US Medical Devices ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
4.43	KO - The Coca-Cola Company	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.44	Morgan Stanley (Newport, RI) Type: Money Market Account,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
4.45	DLR - Digital Realty Trust, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

	Common Stock	Stock	\$50,000		\$1,000
				Income	
4.46	CIBR - First Trust NASDAQ Cybersecurity ETF	Asset Type Mutual Funds Exchange Traded Fund/Note	Owner Self \$15,001 - \$50,000	Value Type Excepted Investment Fund,	Income None (or less than \$201)
4.47	XLI - SPDR Select Sector Fund - Industrial	Mutual Funds Exchange Traded Fund/Note	Self \$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
4.48	VFH - Vanguard Financials ETF	Mutual Funds Exchange Traded Fund/Note	Self \$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
4.49	VYM - Vanguard High Dividend Yield ETF	Mutual Funds Exchange Traded Fund/Note	Self \$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
4.50	VEU - Vanguard Intl Equity Index Fund	Mutual Funds Exchange Traded Fund/Note	Self None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
4.51	CAIFX - Capital Income Builder CI F-2 Shs	Mutual Funds Mutual Fund	Self \$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
4.52	VNT - Vontier Corporation Common Stock	Corporate Securities Stock	Self \$1,001 - \$15,000	None,	None (or less than \$201)
4.53	SMH - VanEck Semiconductor ETF	Mutual Funds Exchange Traded Fund/Note	Self \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
4.54	VDC - Vanguard Consumer Staples ETF	Mutual Funds Exchange Traded Fund/Note	Self \$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
4.55	VBR - Vanguard Small-Cap Value ETF	Mutual Funds Exchange Traded Fund/Note	Self \$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
4.56	DGRW - WisdomTree U.S. Quality Dividend Growth Fund	Mutual Funds Exchange Traded Fund/Note	Self \$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
4.57	ELV - Elevance Health Inc	Corporate Securities Stock	Self \$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
4.58	COWZ - Pacer US Cash Cows 100 Index	Mutual Funds Exchange Traded Fund/Note	Self \$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
4.59	GLD - SPDR Gold Trust	Mutual Funds Exchange Traded Fund/Note	Self \$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

4.60	IUGXX - Invesco Premier US Government Money Portfolio IN	Mutual Funds Asset Type Mutual Fund	Self Owner	\$50,001 - Value \$100,000	Income Excepted Type Investment Fund,	\$2,501 - Income \$5,000
4.61	CSVIX - Calvert Small Cap Fund Class I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
4.62	PARWX - Parnassus Endeavor Fund - Investor Shares	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
4.63	LLY - Eli Lilly and Company	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
4.64	NVS - Novartis Ag ADR	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
4.65	SDZNY - Sandoz Group AG ADR	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.66	JEPI - JPMorgan Equity Premium Income ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
4.67	HYG - iShares iBoxx \$ High Yield Corporate Bond ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
4.68	VOO - Vanguard S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
4.69	VBK - Vanguard Small-Cap Growth ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
4.70	GQGIX - Gqg Partners Emerging Markets Equity Fund Instit	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
4.71	CMCSA - Comcast Corp A	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
4.72	DEO - Diageo Plc ADR	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
4.73	CCI - Crown Castle Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
4.74	GRID - First Trust NASDAQ Clean Edge Smart Grid Infrastru	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000

5	Morgan Stanley [1] Asset	Brokerage/Managed Asset Type	Joint Owner	Value	Income Type	Income
5.1	Morgan Stanley (Newport, RI) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
6	Morgan Stanley [3]	Brokerage/Managed Account	Joint			
6.1	CEYIX - Calvert Equity Fund Class I	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
6.2	JEPI - Jpmorgan Equity Premium Income ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
7	MS TP Ltd Partnership <i>Company: Tab Products (Mayville, WI) Description: Sale of Office Supplies Filer comment: Sold for a loss in 2024</i>	Business Entity Limited Partnership (LP)	Self	None (or less than \$1,001)	None,	None (or less than \$201)
8	Helen Chase Rand Residual Trust	Trust General Trust	Self			
8.1	TROIX - T. Rowe Price Overseas Stock I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
8.2	Wells Fargo Market Deposit AC (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
8.3	PTRX - PIMCO Total Return Fund Institutional Class	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
8.4	FGBMX - Fidelity Advisor New Markets Income Fund Class Z	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
8.5	ITOT - iShares Core S&P Total U.S. Stock Market ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
8.6	VO - Vanguard Mid-Cap ETF	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
8.7	BAGIX - Baird Aggregate Bond Fund Institutional Class	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
8.8	DODGX - Dodge & Cox Stock Fund	Mutual Funds	Self	\$1,001 -	Excepted	None (or

Asset	Mutual Fund Asset Type	Owner	\$15,000 Value	Investment Type	less than \$201 Income	
8.9	PCRIX - Pimco Commodityrealreturn Strategy Fund Institut	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
8.10	PHYQX - PGIM High Yield Fund Class R6	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
8.11	TGEPIX - Tcw Emerging Markets Income Fund Plan Share	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
8.12	GSLC - Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
8.13	TMPRX - Touchstone Mid Cap Fund Class R6	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$1,001 - \$2,500
8.14	JENYX - Jensen Quality Growth Fund - Class Y Shares	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
8.15	JCBUX - Jpmorgan Core Bond Fund R6	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
8.16	GSIYX - Goldman Sachs Gqg Partners International Opportu	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.17	MHYSX - Nyli Mackay High Yield Corporate Bond Fund Class	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
8.18	PMAQX - Principal Funds Inc. - Midcap Fund Class R6	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.19	LCGJX - William Blair Large Cap Growth Fund Class R6	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.20	XLE - S&P 500 Energy Sector SPDR	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.21	ECSQX - Embark Commodity Strategy Fund Retirement Class	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
8.22	ESQX - Embark Small Cap Equity	Mutual Funds	Self	\$1,001 -	Excepted	None (or

8.22	ESQVAL - Embark Small Cap Equity Fund Retirement Class Asset	Mutual Funds Mutual Fund Asset Type	Self Owner	\$1,001 - \$15,000 Value	Excepted Investment Fund,	None (or less than \$201)
8.23	IEFA - iShares Core MSCI EAFE ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
9	Rita D Sellar GST Exempt Trust	Trust Excepted	Spouse	Unascertainable	Dividends, Capital Gains,	\$1,001 - \$2,500
10	H Yale TW Dolan Trust	Trust Excepted	Spouse	Unascertainable	Dividends, Interest, Other, (Portfolio income)	\$2,501 - \$5,000 Other \$34.00
11	Sarah B TD Dolan Trust	Trust Excepted	Spouse	Unascertainable	Dividends,	\$1,001 - \$2,500
12	Thomas Dolan Trust	Trust Excepted	Spouse	Unascertainable	Dividends, Interest, Capital Gains,	\$100,001 - \$1,000,000
13	Bank of America (Newport, RI) Type: Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
14	Morgan Stanley- Sheldon Whitehouse Tr- 2004	Brokerage/Managed Account	Self			
14.1	Morgan Stanley (Newport, RI) Type: Money Market Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
14.2	GOOGL - Alphabet Inc. - Class A Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
14.3	AMZN - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
14.4	AMGN - Amgen Inc. - Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
14.5	ADI - Analog Devices, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
14.6	AAPL - Apple Inc. - Common Stock	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends, Capital Gains,	\$15,001 - \$50,000
14.7	BAC - Bank of America Corporation	Corporate Securities	Self	\$15,001 -	Dividends,	\$201 -

	Common Stock	Stock		\$50,000	Income	\$1,000
14.8	KO - Coca-Cola Company (The) Common Stock	Asset Type Corporate Securities Stock	Owner Self	Value \$15,001 - \$50,000	Type Dividends,	Income \$201 - \$1,000
14.9	CAG - ConAgra Brands, Inc. Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
14.10	DHR - Danaher Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
14.11	HD - Home Depot, Inc. (The) Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
14.12	HON - Honeywell International Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
14.13	JNJ - Johnson & Johnson Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
14.14	JPM - JP Morgan Chase & Co. Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
14.15	LRCX - Lam Research Corporation - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
14.16	LMT - Lockheed Martin Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
14.17	MA - Mastercard Incorporated Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
14.18	MCD - McDonald's Corporation Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
14.19	MSFT - Microsoft Corporation - Common Stock	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
14.20	NVDA - NVIDIA Corporation - Common Stock	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends,	None (or less than \$201)
14.21	PEP - PepsiCo, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
14.22	PG - Procter & Gamble Company (The) Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
14.23	RTX - RTX Corporation Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
14.24	SBUX - Starbucks Corporation -	Corporate Securities	Self	\$1,001 -	Dividends,	\$5,001 -

	Common Stock	Stock		\$15,000	Capital Income, Gains, Type	\$15,000
	Asset	Asset Type	Owner	Value	Type	Income
14.25	UL - Unilever PLC Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
14.26	UNH - UnitedHealth Group Incorporated Common Stock (DE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
14.27	VZ - Verizon Communications Inc. Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$2,501 - \$5,000
14.28	V - Visa Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
14.29	WMT - Walmart Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
14.30	XLV - SPDR Select Sector Fund - Health Care	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.31	XLI - SPDR Select Sector Fund - Industrial	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$1,001 - \$2,500
14.32	QQQ - Invesco QQQ Trust, Series 1	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
14.33	VDC - Vanguard Consumer Staples ETF	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
14.34	VIG - Vanguard Div Appreciation ETF	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
14.35	VFH - Vanguard Financials ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$2,501 - \$5,000
14.36	VYM - Vanguard High Dividend Yield ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
14.37	GSFTX - Columbia Dividend Income Fund Class I	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
14.38	KVUE - Kenvue Inc	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
14.39	JEPI - Jpmorgan Equity Premium Income FTE	Mutual Funds Exchange Traded	Self	\$15,001 - \$50,000	Excepted Investment	\$1,001 - \$2,500

Asset	Exchange Traded Fund/Note	Owner	Value	Investment Type	Income
14.40	VOO - Vanguard S&P 500 ETF	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$1,001 - \$2,500
14.41	RI INFRASTRUCTURE BK SAFE DRINKIN <i>Rate/Coupon: 3.0%</i> <i>Matures: 10/01/2032</i>	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15	Morgan Stanley-Sandra Whitehouse Tr-2004	Spouse			
15.1	Morgan Stanley (Newport, RI) <i>Type: Money Market Account,</i>	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
15.2	GOOGL - Alphabet Inc. - Class A Common Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
15.3	AMZN - Amazon.com, Inc. - Common Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
15.4	AMGN - Amgen Inc. - Common Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.5	ADI - Analog Devices, Inc. - Common Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.6	AAPL - Apple Inc. - Common Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$1,001 - \$2,500
15.7	BAC - Bank of America Corporation Common Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.8	KO - Coca-Cola Company (The) Common Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.9	CAG - ConAgra Brands, Inc. Common Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
15.10	DHR - Danaher Corporation Common Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
15.11	HD - Home Depot, Inc. (The) Common Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.12	HON - Honeywell International Inc. - Common Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.13	IR - Ingersoll Rand Inc. Common Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

15.14	JNJ - Johnson & Johnson Common Stock	Corporate Securities Stock Type	Spouse Owner	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
15.15	JPM - JP Morgan Chase & Co. Common Stock	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
15.16	LRCX - Lam Research Corporation - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.17	LMT - Lockheed Martin Corporation Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.18	MA - Mastercard Incorporated Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
15.19	MCD - McDonald's Corporation Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.20	MET - MetLife, Inc. Common Stock	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
15.21	MSFT - Microsoft Corporation - Common Stock	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
15.22	NVDA - NVIDIA Corporation - Common Stock	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	None (or less than \$201)
15.23	PEP - PepsiCo, Inc. - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.24	PG - Procter & Gamble Company (The) Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.25	RTX - RTX Corporation Common Stock	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
15.26	SBUX - Starbucks Corporation - Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	\$5,001 - \$15,000
15.27	UL - Unilever PLC Common Stock	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
15.28	UNH - UnitedHealth Group Incorporated Common Stock (DE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.29	VZ - Verizon Communications Inc. Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
15.30	V - Visa Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
15.31	WMT - Walmart Inc. Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

15.31	WMT - Walmart Inc. Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Exceeded, Income	\$201 - \$1,000
	Asset	Asset Type	Owner	Value	Type	Income
15.32	XLV - SPDR Select Sector Fund - Health Care	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Exceeded Investment Fund,	\$1,001 - \$2,500
15.33	XLI - SPDR Select Sector Fund - Industrial	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Exceeded Investment Fund,	\$5,001 - \$15,000
15.34	QQQ - Invesco QQQ Trust, Series 1	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Exceeded Investment Fund,	None (or less than \$201)
15.35	IAU - iShares Gold Trust	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Exceeded Investment Fund,	\$1,001 - \$2,500
15.36	VDC - Vanguard Consumer Staples ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Exceeded Investment Fund,	\$5,001 - \$15,000
15.37	VIG - Vanguard Div Appreciation ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Exceeded Investment Fund,	\$201 - \$1,000
15.38	VFH - Vanguard Financials ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Exceeded Investment Fund,	\$5,001 - \$15,000
15.39	VYM - Vanguard High Dividend Yield ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Exceeded Investment Fund,	\$2,501 - \$5,000
15.40	GSFTX - Columbia Dividend Income Fund Class I	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Exceeded Investment Fund,	\$2,501 - \$5,000
15.41	VBK - Vanguard Small-Cap Growth ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Exceeded Investment Fund,	None (or less than \$201)
15.42	KVUE - Kenvue Inc. Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
16	VCSP/COLLEGEAMERICA SANDRA WHITEHOUSE fbo V KLAZMER <i>Institution: MORGAN STANLEY</i>	Education Savings Plans 529 College Savings Plan	Spouse			
16.1	CGPAX - American Funds Growth Portfolio Class 529-A	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Exceeded Investment Fund,	None (or less than \$201)

17	VCSP/COLLEGEAMERICA SANDRA WHITEHOUSE fbo G KLAZMER Asset Institution: MORGAN STANLEY	Education Savings Plans 529 College Savings Plan	Spouse Owner	Value	Income Type	Income
17.1	CGPAX - American Funds Growth Portfolio Class 529-A	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	03/13/2024	Self	CMCSA	Comcast Corp A	Sale (Full)	\$15,001 - \$50,000	--
2	03/22/2024	Self	UL	Unilever Plc ADR	Sale (Full)	\$1,001 - \$15,000	--
3	04/09/2024	Self	NVS	Novartis Ag ADR	Sale (Partial)	\$1,001 - \$15,000	--
4	04/09/2024	Spouse	IR	Ingersoll Rand Inc	Sale (Full)	\$1,001 - \$15,000	--
5	04/09/2024	Spouse	UL	Unilever Plc ADR	Sale (Full)	\$1,001 - \$15,000	--
6	04/09/2024	Spouse	DHR	Danaher Corp	Sale (Full)	\$15,001 - \$50,000	--
7	04/09/2024	Spouse	RTX	RTX Corporation Common Stock	Sale (Full)	\$15,001 - \$50,000	--
8	04/30/2024	Spouse	--	JP MORGAN CHASE & CO Rate/Coupon: 6.12% Matures: 02/12/2056	Sale (Full)	\$1,001 - \$15,000	--
9	05/08/2024	Self	DEO	Diageo Plc ADR	Sale (Full)	\$15,001 - \$50,000	--
10	05/10/2024	Self	CCI	Crown Castle Inc	Purchase	\$1,001 - \$15,000	--
11	06/13/2024	Self	MSFT	Microsoft Corp	Purchase	\$1,001 - \$15,000	--
12	06/13/2024	Self	HD	Home Depot	Purchase	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
13	06/27/2024	Self	GS	Goldman Sachs Group, Inc. (The Common Stock)	Purchase	\$1,001 - \$15,000	--
14	07/03/2024	Self	JNJ	Johnson & Johnson	Sale (Full)	\$15,001 - \$50,000	--
15	07/03/2024	Self	MCD	McDonald's Corp	Sale (Full)	\$1,001 - \$15,000	--
16	07/03/2024	Spouse	JNJ	Johnson & Johnson	Sale (Full)	\$15,001 - \$50,000	--
17	07/25/2024	Self	DIS	Walt Disney Company	Sale (Full)	\$1,001 - \$15,000	--
18	07/30/2024	Spouse	CAG	Conagra Brands Inc	Sale (Full)	\$1,001 - \$15,000	--
19	07/30/2024	Self	CAG	Conagra Brands Inc	Sale (Full)	\$1,001 - \$15,000	--
20	08/15/2024	Spouse	SNOW	Snowflake Inc Cl A	Sale (Full)	\$1,001 - \$15,000	--
21	09/17/2024	Self	AMGN	Amgen Inc	Sale (Partial)	\$1,001 - \$15,000	--
22	10/08/2024	Self	KO	Coca-Cola Company	Purchase	\$1,001 - \$15,000	--
23	10/08/2024	Self	CELH	Celsius Holdings Inc	Sale (Full)	\$1,001 - \$15,000	--
24	10/11/2024	Self	TSLA	Tesla Inc	Sale (Partial)	\$15,001 - \$50,000	--
25	10/28/2024	Spouse	TSLA	Tesla Inc	Sale (Full)	\$100,001 - \$250,000	--
26	10/28/2024	Self	TSLA	Tesla Inc	Sale (Full)	\$15,001 - \$50,000	--
27	10/29/2024	Self	HON	Honeywell International Inc	Sale (Partial)	\$1,001 - \$15,000	--
28	10/29/2024	Self	SBUX	Starbucks Corp	Sale (Partial)	\$1,001 - \$15,000	--
29	10/29/2024	Self	VZ	Verizon Communications Inc	Sale (Partial)	\$1,001 - \$15,000	--
30	10/29/2024	Spouse	DIS	Walt Disney Company	Sale (Full)	\$1,001 - \$15,000	--
31	10/29/2024	Spouse	MA	Mastercard Inc	Sale	\$1,001 -	--

#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
					(Partial)	\$15,000	
32	10/29/2024	Spouse	VZ	Verizon Communications Inc	Sale	\$1,001 -	--
					(Partial)	\$15,000	
33	10/29/2024	Self	MA	Mastercard Inc	Sale	\$1,001 -	--
					(Partial)	\$15,000	
34	10/29/2024	Spouse	SBUX	Starbucks Corp	Sale	\$1,001 -	--
					(Partial)	\$15,000	
35	10/30/2024	Self	LLY	Eli Lilly and Company	Purchase	\$15,001 -	--
						\$50,000	
36	11/18/2024	Self	KVUE	Kenvue Inc	Sale (Full)	\$1,001 -	--
						\$15,000	
37	11/19/2024	Spouse	MET	Metlife Inc	Sale (Full)	\$1,001 -	--
						\$15,000	
38	11/19/2024	Spouse	--	RI HEALTH & EDL BLDG CORP	Sale (Full)	\$15,001 -	--
				<i>Rate/Coupon: 4.00%</i>		\$50,000	
				<i>Matures: 09/15/2034</i>			
39	12/19/2024	Self	AAPL	Apple Inc	Sale	\$15,001 -	--
					(Partial)	\$50,000	
40	12/19/2024	Self	LRCX	Lam Research Corp	Sale (Full)	\$15,001 -	--
						\$50,000	

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Spouse	GRID	Nasdaq Smart Grid Infrastructure ETF	Purchase	09/17/2024	\$1,001 - \$15,000	n/a
2	Spouse	BOND	PIMCO Active Bond Exchange-Traded Fund	Purchase	05/07/2024	\$15,001 - \$50,000	n/a
3	Spouse	FTHSX	Fullerthaler Behavioral Small-Cap Equity Fund In	Purchase	09/17/2024	\$1,001 - \$15,000	n/a

4 #	Spouse Owner	ETHSX Ticker	Fullerthaler Behavioral Asset Name	Purchase Transaction Type	07/05/2024 Transaction Date	\$1,001 Amount	n/a Comments
			Small-Cap Equity Fund In			\$15,000	
5	Spouse	PFTPX	Pimco Low Duration Income Fund Class I-2	Sale (Full)	12/20/2024	\$15,001 - \$50,000	n/a
6	Spouse	PXSGX	Virtus Kar Small-Cap Growth Fund I	Sale (Full)	07/05/2024	\$1,001 - \$15,000	n/a
7	Spouse	BFIGX	American Funds Inflation Linked Bond Fund - Clas	Sale (Full)	03/04/2024	\$1,001 - \$15,000	n/a
8	Spouse	VCSH	Short-Term Corp Bond Vanguard	Sale (Full)	12/06/2024	\$15,001 - \$50,000	n/a
9	Spouse	VBK	Smallcap Growth ETF Vanguard	Purchase	12/06/2024	\$1,001 - \$15,000	n/a
10	Spouse	DGRW	WisdomTree U.S. Quality Dividend Growth Fund	Purchase	09/17/2024	\$1,001 - \$15,000	n/a
11	Spouse	VFH	Financial ETF Vanguard	Purchase	09/17/2024	\$1,001 - \$15,000	n/a
12	Spouse	VEU	Vanguard All-World ex-US Shares Index ETF	Sale (Full)	12/06/2024	\$15,001 - \$50,000	n/a
13	Spouse	VEU	Vanguard All-World ex-US Shares Index ETF	Purchase	05/07/2024	\$1,001 - \$15,000	n/a
14	Spouse	VEU	Vanguard All-World ex-US Shares Index ETF	Purchase	09/17/2024	\$1,001 - \$15,000	n/a
15	Spouse	JEPI	Jpmorgan Equity Premium Income ETF	Purchase	05/07/2024	\$1,001 - \$15,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
16	Spouse	JBALX	Janus Henderson Balanced Fund	Purchase	05/07/2024	\$1,001 - \$15,000	n/a
- 1 Shares							
17	Spouse	IAU	ishares Gold Trust	Purchase	05/07/2024	\$1,001 - \$15,000	n/a
18	Spouse	AMBFX	American Balanced Fund Class F-2 Shs	Sale (Partial)	03/13/2024	\$1,001 - \$15,000	n/a
19	Spouse	VBR	Vanguard Small-Cap Value ETF	Purchase	03/04/2024	\$1,001 - \$15,000	n/a
20	Spouse	ICLN	Global Clean Energy Ishares ETF	Sale (Full)	07/08/2024	\$1,001 - \$15,000	n/a
21	Spouse	PFTPX	Pimco Low Duration Income Fund Class I-2	Sale (Full)	06/21/2024	\$1,001 - \$15,000	n/a
22	Spouse	GSFTX	Columbia Dividend Income Fund Class I	Purchase	11/01/2024	\$1,001 - \$15,000	n/a
23	Spouse	LMGNX	Clearbridge International Growth Fund Class I	Purchase	11/01/2024	\$1,001 - \$15,000	n/a
24	Spouse	IAU	ishares Gold Trust	Purchase	11/01/2024	\$1,001 - \$15,000	n/a
25	Spouse	JEPQ	JPM Nasdaq Equity Premium Income ETF	Purchase	11/01/2024	\$1,001 - \$15,000	n/a
26	Spouse	NOBL	ProShares S&P 500 Dividend Aristocrats ETF	Purchase	11/01/2024	\$1,001 - \$15,000	n/a
27	Spouse	VYM	Vanguard High Dividend Yield ETF	Purchase	11/01/2024	\$1,001 - \$15,000	n/a
28	Spouse	VIG	Vanguard Div Appreciation ETF	Purchase	11/01/2024	\$1,001 - \$15,000	n/a

#	Owner	Ticker	Nasdaq Asset Name	Purchase Transaction Type	11/01/2024 Transaction Date	\$1,001 - Amount \$15,000	n/a Comments
29	Spouse	CIBR	First Trust Nasdaq Cybersecurity ETF	Purchase	11/01/2024	\$1,001 - \$15,000	n/a
30	Spouse	PONPX	Pimco Income Fund Class I-2	Purchase	11/01/2024	\$15,001 - \$50,000	n/a
31	Spouse	HYG	iShares iBoxx \$ High Yield Corporate Bond ETF	Purchase	11/01/2024	\$1,001 - \$15,000	n/a
32	Spouse	VGT	Vanguard Information Tech ETF	Purchase	11/01/2024	\$1,001 - \$15,000	n/a
33	Spouse	VDC	Vanguard Consumer Staples ETF	Purchase	11/01/2024	\$1,001 - \$15,000	n/a
34	Spouse	XLF	SPDR Select Sector Fund - Financial	Purchase	11/01/2024	\$1,001 - \$15,000	n/a
35	Spouse	XLV	SPDR Select Sector Fund - Health Care	Purchase	11/01/2024	\$1,001 - \$15,000	n/a
36	Spouse	GRID	First Trust NASDAQ Clean Edge Smart Grid Infrastru	Purchase	07/05/2024	\$1,001 - \$15,000	n/a
37	Spouse	JEPQ	JPM Nasdaq Equity Premium Income ETF	Purchase	06/21/2024	\$1,001 - \$15,000	n/a
38	Spouse	PONPX	Pimco Income Fund Class I-2	Sale (Partial)	06/21/2024	\$1,001 - \$15,000	n/a
39	Self	JDSCX	Jpmorgan Small Cap Blend Fund Class I	Purchase	03/22/2024	\$15,001 - \$50,000	n/a
40	Self	JEPI	Jpmorgan U.S. Equity Premium Income Active ETF	Purchase	03/22/2024	\$1,001 - \$15,000	n/a
41	Self	NOBL	ProShares S&P 500 Dividend Aristocrats ETF	Purchase	03/22/2024	\$1,001 - \$15,000	n/a

42 #	Self Owner	COWZ	Pacer US Cash Asset Name Cows 100 ETF	Transaction Purchase Type	Transaction 03/22/2024 Date	\$1,001 Amount - \$15,000	n/a Comments
43	Self	CIBR	Nasdaq Cybersecurity ETF	Purchase	03/22/2024	\$1,001 - \$15,000	n/a
44	Self	PFD	Flaherty & Crumrine Preferred Inc	Purchase	03/22/2024	\$15,001 - \$50,000	n/a
45	Self	XLV	SPDR Select Sector Fund - Health Care	Purchase	03/22/2024	\$15,001 - \$50,000	n/a
46	Self	PAVE	Global X U.S. Infrastructure Development ETF	Purchase	07/05/2024	\$1,001 - \$15,000	n/a
47	Self	CLOU	Global X Cloud Computing ETF	Sale (Full)	07/05/2024	\$1,001 - \$15,000	n/a
48	Self	PXQSX	Virtus Kar Small-Cap Value Fund I	Sale (Full)	07/05/2024	\$1,001 - \$15,000	n/a
49	Self	FTHSX	Fullerthaler Behavioral Small-Cap Equity Fund In	Purchase	07/05/2024	\$1,001 - \$15,000	n/a
50	Self	GRID	First Trust NASDAQ Clean Edge Smart Grid Infrastru	Purchase	07/05/2024	\$1,001 - \$15,000	n/a
51	Self	CIBR	First Trust Nasdaq Cybersecurity ETF	Purchase	07/05/2024	\$1,001 - \$15,000	n/a
52	Self	MWTIX	Metropolitan West Total Return Bond Fd CI I	Sale (Full)	12/20/2024	\$15,001 - \$50,000	n/a
53	Self	PFTPX	Pimco Low Duration Income Fund Class I-2	Sale (Full)	12/20/2024	\$1,001 - \$15,000	n/a
54	Self	GRID	First Trust	Purchase	07/08/2024	\$1,001	n/a

#	Owner	Ticker	NASDAQ Clean Edge Smart Asset Name Grid Infrastru	Transaction Type	Transaction Date	- \$15,000 Amount	Comments
55	Self	GRID	First Trust NASDAQ Clean Edge Smart Grid Infrastru	Purchase	09/17/2024	\$1,001 - \$15,000	n/a
56	Self	HYG	iShares iBoxx \$ High Yield Corporate Bond ETF	Purchase	01/03/2024	\$1,001 - \$15,000	n/a
57	Self	HYG	iShares iBoxx \$ High Yield Corporate Bond ETF	Purchase	04/04/2024	\$1,001 - \$15,000	n/a
58	Self	GJRTX	Goldman Sachs Absolute Return Tracker Fund Class	Sale (Full)	01/19/2024	\$15,001 - \$50,000	n/a
59	Self	VYM	Vanguard High Dividend Yield ETF	Sale (Partial)	04/09/2024	\$15,001 - \$50,000	n/a
60	Self	VEU	Vanguard FTSE All World Ex US ETF	Sale (Full)	12/06/2024	\$15,001 - \$50,000	n/a
61	Self	VBR	Vanguard Small-Cap Value ETF	Sale (Partial)	04/09/2024	\$15,001 - \$50,000	n/a
62	Self	DGRW	WisdomTree U.S. Quality Dividend Growth Fund	Sale (Partial)	04/09/2024	\$1,001 - \$15,000	n/a
63	Self	COWZ	Pacer US Cash Cows 100 ETF	Purchase	01/03/2024	\$1,001 - \$15,000	n/a
64	Self	COWZ	Pacer US Cash Cows 100 ETF	Purchase	04/04/2024	\$1,001 - \$15,000	n/a
65	Self	GLD	Gold SPDR	Purchase	01/03/2024	\$1,001 - \$15,000	n/a
66	Self	GLD	SPDR Gold Trust	Purchase	04/04/2024	\$1,001 - \$15,000	n/a

67	Self	VYM	Vanguard High Dividend Yield	Transaction Purchase	Transaction Date 09/17/2024	\$1,001	n/a
			ETF			- \$15,000	
68	Self	VBK	Vanguard Small-Cap Growth ETF	Purchase	12/06/2024	\$15,001 - \$50,000	n/a
69	Self	HYG	iShares iBoxx \$ High Yield Corporate Bond ETF	Sale (Partial)	05/08/2024	\$1,001 - \$15,000	n/a
70	Self	ANWFX	New Perspective Fund Class F-2 Shares	Sale (Partial)	07/29/2024	\$1,001 - \$15,000	n/a
71	Joint	CEYIX	Calvert Equity Fund Class I	Sale (Full)	02/23/2024	\$1,001 - \$15,000	n/a
72	Joint	VYM	Vanguard High Dividend Yield ETF	Sale (Full)	10/22/2024	\$1,001 - \$15,000	n/a
73	Joint	JEPI	JPM US Equity Premium Income ETF	Sale (Full)	10/22/2024	\$1,001 - \$15,000	n/a
74	Joint	VYM	Vanguard High Dividend Yield ETF	Purchase	09/18/2024	\$1,001 - \$15,000	n/a
75	Self	XLI	SPDR Select Sector Fund - Industrial	Sale (Partial)	09/17/2024	\$1,001 - \$15,000	n/a
76	Self	HFQIX	Janus Henderson Global Equity Income Fund - I Sh	Sale (Full)	03/04/2024	\$1,001 - \$15,000	n/a
77	Self	VIG	Vanguard Div Appreciation ETF	Sale (Full)	03/13/2024	\$15,001 - \$50,000	n/a
78	Self	VYM	Vanguard High Dividend Yield ETF	Sale (Partial)	09/17/2024	\$1,001 - \$15,000	n/a
79	Self	GSFTX	Columbia Dividend Income Fund	Sale (Full)	11/18/2024	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Class I Asset Name	Transaction Type	Transaction Date	Amount	Comments
80	Self	VDC	Vanguard Consumer Staples ETF	Sale (Full)	10/22/2024	\$15,001 - \$50,000	n/a
81	Self	VFH	Vanguard Financials ETF	Sale (Partial)	07/03/2024	\$1,001 - \$15,000	n/a
82	Self	VOO	Vanguard S&P 500 ETF	Sale (Partial)	10/22/2024	\$1,001 - \$15,000	n/a
83	Spouse	GSFTX	Columbia Dividend Income Fund Class I	Sale (Full)	09/17/2024	\$1,001 - \$15,000	n/a
84	Spouse	XLI	SPDR Select Sector Fund - Industrial	Sale (Full)	07/30/2024	\$15,001 - \$50,000	n/a
85	Spouse	XLV	SPDR Select Sector Fund - Health Care	Sale (Partial)	09/17/2024	\$1,001 - \$15,000	n/a
86	Spouse	VFH	Vanguard Financials ETF	Sale (Full)	10/29/2024	\$1,001 - \$15,000	n/a
87	Spouse	VYM	Vanguard High Dividend Yield ETF	Sale (Full)	11/19/2024	\$1,001 - \$15,000	n/a
88	Spouse	CGPAX	American Funds Growth Portfolio Class 529-A	Purchase	11/15/2024	\$1,001 - \$15,000	n/a
89	Self	--	MS TP LTD PARTNERSHIP	Sale (Full)	12/31/2024	\$15,001 - \$50,000	PARTNERSHIP INTEREST WAS SOLD AT A LOSS.
90	Spouse	VDC	Vanguard Consumer Staples ETF	Sale (Full)	04/09/2024	\$15,001 - \$50,000	n/a
91	Spouse	IAU	Gold Trust Ishares	Sale (Full)	03/13/2024	\$1,001 - \$15,000	n/a
92	Self	BAGIX	Baird Aggregate Bond Fund Institutional	Sale (Partial)	09/06/2024	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Class Asset Name	Transaction Type	Transaction Date	Amount	Comments
93	Self	JENYX	Jensen Quality Growth Fund - Class Y Shares	Sale (Full)	07/24/2024	\$1,001 - \$15,000	n/a
94	Self	MINT	PIMCO Enhanced Short Maturity Active Exchange-Trad	Sale (Full)	09/06/2024	\$1,001 - \$15,000	n/a
95	Self	PTRX	Pimco Funds Total Return Fund Institutional Shar	Sale (Partial)	09/06/2024	\$15,001 - \$50,000	n/a
96	Self	TMPRX	Touchstone Mid Cap Fund Class R6	Sale (Partial)	07/24/2024	\$1,001 - \$15,000	n/a
97	Self	BLV	Vanguard Long-Term Bond ETF	Sale (Full)	01/29/2024	\$1,001 - \$15,000	n/a
98	Self	VO	Vanguard Mid- Cap ETF	Sale (Full)	07/24/2024	\$1,001 - \$15,000	n/a
99	Self	ECSQX	Embark Commodity Strategy Fund Retirement Class	Purchase	04/09/2024	\$1,001 - \$15,000	n/a
100	Self	ECSQX	Embark Commodity Strategy Fund Retirement Class	Purchase	07/23/2024	\$1,001 - \$15,000	n/a
101	Self	ESCQX	Embark Small Cap Equity Fund Retirement Class	Purchase	07/23/2024	\$1,001 - \$15,000	n/a
102	Self	MHYSX	Nyli Mackay High Yield Corporate Bond Fund Class	Purchase	09/05/2024	\$1,001 - \$15,000	n/a
103	Self	PMAQX	Principal Funds Inc. - Midcap Fund Class R6	Purchase	07/23/2024	\$1,001 - \$15,000	n/a

Transaction #	Owner	Asset Name	Transaction Type	Transaction Date	Amount	Comments
104	Self	LCGX Fidelity William Blair Large Cap Growth Fund Class R6	Purchase	07/23/2024	\$1,001 - \$15,000	n/a
105	Self	XLE SPDR Select Sector Fund - Energy Select Sector	Purchase	07/23/2024	\$1,001 - \$15,000	n/a
106	Self	IEFA iShares Core MSCI EAFE ETF	Purchase	04/18/2024	\$1,001 - \$15,000	n/a
107	Self	TROI T. Rowe Price Overseas Stock Fund - I Class	Sale (Partial)	04/19/2024	\$1,001 - \$15,000	n/a

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2016	Joint	Mortgage	0	3.35% (15)	\$100,001 - \$250,000	Morgan Stanley Private Bank Ewing, NJ	n/a
2	2016	Joint	Mortgage	0	3.53% (15)	\$100,001 - \$250,000	Shellpoint Mtg division of Newrez LLC Greenville, SC	Washington, DC Condo
3	2023	Joint	Other (Liquidity Access Line)	-	2.45% (7 years)	\$100,001 - \$250,000	Morgan Stanley Newport, RI	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Nov 2012	Adams Media Avon, MA	Royalty Agreement	Adams Media published a book written by Sen Whitehouse titled "On Virtues" and royalties to be paid on book. No royalties were received in 2024.	n/a
2	Jun 2015	The New Press New York, NY	Royalty Agreement	Royalties based on customary and usual terms for a nonfiction book titled "Captured: The Corporate Infiltration of American Democracy." No royalties were received in 2024. All royalties are donated to charity.	n/a
3	Oct 2021	The New Press New York, NY	Royalty Agreement	Royalties based on customary and usual terms for a nonfiction book titled "The Scheme". No royalties were received in 2024.	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

Comments

RI Health & EDL BLDG Corp was transferred from a joint account to a spouse account prior to the full sale on 11/19/2024. As a result of the separation agreement between Senator and his financial advisor, the Senator has no involvement with Morgan Stanley regarding the purchases or sales of investments. He is not informed of any purchases, sales, or holdings by his advisor. The review of these forms and the corresponding PTRs is the first occasion the Senator has seen these transactions.