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Financial Disclosure Report

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Filer Information

Name: Hon. Richard W. Allen
Status: Member
State/District: GA12

Filing Information

Filing Type: Annual Report
Filing Year: 2024
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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
401k Account ⇒ Morley Principal Stable Value Fund Class Z (0P000182U7) [OT] Description: Employer qualified EIF portfolio	SP	$15,001 - $50,000	Tax-Deferred		✓
BANK ACCOUNT(S) ⇒ South State Bank [BA]		$15,001 - $50,000	Interest	$1 - $200	
CONT IRA ⇒ CASH ACCOUNTS [BA]	SP	$1,001 - $15,000	Tax-Deferred		
CONT IRA ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF]	SP	$15,001 - $50,000	Tax-Deferred		✓
CONT IRA ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF]	SP	$15,001 - $50,000	Tax-Deferred		✓
CONT IRA ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF]	SP	$15,001 - $50,000	Tax-Deferred		✓
CONT IRA ⇒ iShares iBonds Dec 2028 Term Treasury ETF (IBTI) [EF]	SP	$1,001 - $15,000	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
CONT IRA ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF]	SP	$50,001 - $100,000	Tax-Deferred		✓
CONT IRA ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF]	SP	$15,001 - $50,000	Tax-Deferred		✓
CONT IRA ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
CONT IRA ⇒ Schwab International Dividend Equity ETF (SCHY) [EF]	SP	$15,001 - $50,000	Tax-Deferred		✓
CONT IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	$100,001 - $250,000	Tax-Deferred		✓
CONT IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF] DESCRIPTION: Increased value placed asset over reporting threshold.	SP	$1,001 - $15,000	Tax-Deferred		
CONT IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
CONT IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	$15,001 - $50,000	Tax-Deferred		✓
CONT IRA ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]	SP	$15,001 - $50,000	Tax-Deferred		✓
CONT IRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]	SP	$1,001 - $15,000	Tax-Deferred		✓
CONT IRA ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	$1,001 - $15,000	Tax-Deferred		✓
CONT IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	$1,001 - $15,000	Tax-Deferred		✓
CONT IRA ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	$1,001 - $15,000	Tax-Deferred		✓
INIRA ⇒ CASH ACCOUNTS [BA]	SP	$15,001 - $50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
INIRA ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
INIRA ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
INIRA ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
INIRA ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
INIRA ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
INIRA ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
INIRA ⇒ Schwab International Dividend Equity ETF (SCHY) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
INIRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	$100,001 - $250,000	Tax-Deferred		☑
INIRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
INIRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
INIRA ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
INIRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
INIRA ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
INIRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
INIRA ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
INVESTMENTS ⇒ Personal Home [RP] LOCATION: AUGUSTA, GA, US		$1,000,001 - $5,000,000	Rent	$5,001 - $15,000	☐
INVESTMENTS ⇒ Personal Home in DC [RP] LOCATION: Washington, DC, US DESCRIPTION: Basement Level Apartment rented out		$1,000,001 - $5,000,000	Rent	$15,001 - $50,000	☐
INVESTMENTS ⇒ RAWA Leasing Equipment [OL] LOCATION: Augusta, GA, US DESCRIPTION: Construction Equipment Leasing Enterprise		$100,001 - $250,000	Rent	$50,001 - $100,000	☐
INVESTMENTS ⇒ 1015 BROAD STREET, LLC ⇒ COMMERCIAL RENTAL PROPERTY [RP] LOCATION: Augusta, GA, US		$500,001 - $1,000,000	Rent	$100,001 - $1,000,000	☐
IRA ⇒ CASH ACCOUNTS [BA]		$1,001 - $15,000	Tax-Deferred		☐
IRA ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ iShares iBonds Dec 2028 Term Treasury ETF (IBTI) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF]		$50,001 - $100,000	Tax-Deferred		☑
IRA ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
IRA ⇒ Schwab International Dividend Equity ETF (SCHY) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒		$250,001 -	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Schwab U.S. Broad Market ETF (SCHB) [EF]		$500,000			
IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		$100,001 - $250,000	Tax-Deferred		☐
IRA ⇒ SOUTHPOINT BANK 5.4% CD FDIC INS DUE 09/19/25 (84464PBU0) [BA]		$15,001 - $50,000	Tax-Deferred		☐
IRA ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]		$1,001 - $15,000	Tax-Deferred		☑
IRA ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]		$15,001 - $50,000	Tax-Deferred		☑
LIVTR ⇒ Abbott Laboratories (ABT) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
LIVTR ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	$15,001 - $50,000	None		☐
LIVTR ⇒ Amgen Inc. (AMGN) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LIVTR ⇒ AutoZone, Inc. Common Stock (AZO) [ST]	SP	$15,001 - $50,000	None		✓
LIVTR ⇒ CASH ACCOUNTS [BA]	SP	$1,001 - $15,000	Interest	$1 - $200	
LIVTR ⇒ Church & Dwight Company, Inc. (CHD) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
LIVTR ⇒ Cintas Corporation (CTAS) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	
LIVTR ⇒ Costco Wholesale Corporation (COST) [ST]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	
LIVTR ⇒ Dover Corporation (DOV) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
LIVTR ⇒ Home Depot, Inc. (HD) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
LIVTR ⇒ Intuit Inc. (INTU) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	
LIVTR ⇒ Johnson & Johnson (JNJ) [ST]	SP	None	Capital Gains, Dividends	$2,501 - $5,000	✓
LIVTR ⇒ Marriott International - Class A (MAR) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	
LIVTR ⇒ Microsoft Corporation (MSFT) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	
LIVTR ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	$15,001 - $50,000	Dividends	$2,501 - $5,000	
LIVTR ⇒ Paychex, Inc. (PAYX) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	
LIVTR ⇒ PRIME ALLIANCE 5.55% CD FDIC INS DUE 10/20/25 (74160NKT6) [BA]	SP	None	Interest	$201 - $1,000	
LIVTR ⇒	SP	$1,001 - $15,000	Dividends	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Schwab U.S. Broad Market ETF (SCHB) [EF]					
LIVTR ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	None	Capital Gains, Dividends	$2,501 - $5,000	✓
LIVTR ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	None	Capital Gains, Dividends	$1,001 - $2,500	✓
LIVTR ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	$1,001 - $15,000	Dividends	$1,001 - $2,500	
LIVTR ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	
LIVTR ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
LIVTR ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	
LIVTR ⇒ Stellar Bank 5.15% Due 1/22/25 (85855TDF7) [BA]	SP	$50,001 - $100,000	Interest	$1,001 - $2,500	
LIVTR ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
LIVTR ⇒ US Treasury Bill DUE 09/15/25 (91282CFK2) [GS]	SP	$15,001 - $50,000	Interest	$201 - $1,000	✓
LIVTR ⇒ US TREASURY U S T BILL DUE 07/11/24 (912797GB7) [GS]	SP	None	Interest	$2,501 - $5,000	
LIVTR ⇒ Walmart Inc. (WMT) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
R.W. Allen & Associates, Inc. ⇒ Champions Retreat Cottage, LLC, 20% Interest [OL] LOCATION: Evans, GA, US DESCRIPTION: Owns single residential rental property.	SP	$100,001 - $250,000	None		
R.W. Allen & Associates, Inc. ⇒ RW Allen Construction LLC2, 22% Interest [OL]	SP	$1,000,001 - $5,000,000	Business Income	$100,001 - $1,000,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LOCATION: AUGUSTA, GA, US DESCRIPTION: Construction Company					
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ CASH ASSETS, 44% Interest [OL] LOCATION: AUGUSTA, GA, US DESCRIPTION: Investments	SP	$1,000,001 - $5,000,000	Business Income	$100,001 - $1,000,000	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Comp Life [WU]		$250,001 - $500,000	Dividends	$5,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual ORD Life [WU]	SP	$100,001 - $250,000	Dividends	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual ORD Life 2 [WU]		$100,001 - $250,000	Dividends	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual ORD Life 3 [WU]		$15,001 - $50,000	Dividends	$1,001 - $2,500	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual ORD Life 4 [WU]		$15,001 - $50,000	Dividends	$201 - $1,000	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Select 100 [WU]		$50,001 - $100,000	Dividends	$1,001 - $2,500	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Select 100 2 [WU]		$250,001 - $500,000	Dividends	$5,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Whole Life [WU]		$100,001 - $250,000	Dividends	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Whole Life 2 [WU]		$100,001 - $250,000	Dividends	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Abbott Laboratories (ABT) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ AbbVie Inc. (ABBV) [ST]	SP	$50,001 - $100,000	Dividends	$2,501 - $5,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	$100,001 - $250,000	None		☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ American Water Works Company, Inc. (AWK) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Ameriprise Financial, Inc. Common Stock (AMP) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Amgen Inc. (AMGN) [ST]	SP	$50,001 - $100,000	Dividends	$2,501 - $5,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ BlackRock, Inc. (BLK) [ST]	SP	None	Capital Gains	$15,001 - $50,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ CASH ACCOUNTS [BA]	SP	$15,001 - $50,000	Interest	$1 - $200	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Centier Bank 4.8% CD FDIC INS DUE 07/27/26 (15140RCU1) [BA]	SP	$100,001 - $250,000	Interest	$5,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Chubb Limited (CB) [ST]	SP	$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Cintas Corporation - Common Stock (CTAS) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Costco Wholesale Corporation (COST) [ST]	SP	$100,001 - $250,000	Dividends	$2,501 - $5,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Deere & Company (DE) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Dover Corporation (DOV) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Home Depot, Inc. (HD) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Intuit Inc. (INTU) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Marriott International - Class A (MAR) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Microsoft Corporation (MSFT) [ST]	SP	$100,001 - $250,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Morgan Stanley Bank 4.25% Due 9/13/27 (61776CAS6) [BA]	SP	$100,001 - $250,000	None		☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	$100,001 - $250,000	Dividends	$5,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Procter & Gamble Company (PG) [ST]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Rollins, Inc. Common Stock (ROL) [ST]	SP	$15,001 - $50,000	None		☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab International Dividend Equity ETF (SCHY) [EF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	$250,001 - $500,000	Dividends	$2,501 - $5,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	None	Capital Gains, Dividends	$5,001 - $15,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	None	Capital Gains, Dividends	$5,001 - $15,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	$100,001 - $250,000	Capital Gains, Dividends	$5,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	$100,001 - $250,000	Dividends	$5,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒	SP	$50,001 -	Dividends	$201 -	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Sherwin-Williams Company (SHW) [ST]		$100,000		$1,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	$100,001 - $250,000	Dividends	$1,001 - $2,500	✓
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	$100,001 - $250,000	Dividends	$201 - $1,000	✓
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Starbucks Corporation (SBUX) [ST]	SP	None	Dividends	$201 - $1,000	✓
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ The Bank of Old 5.55% CD FDIC INS DUE 10/13/26 (094236BT8) [BA]	SP	None	Interest	$5,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ The Charles Schwab Corporation Depositary Shares each representing 1/40th interest in a share of 5.9 (SCHW$D) [ST]	SP	$100,001 - $250,000	Dividends	$5,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	$100,001 - $250,000	Dividends	$201 - $1,000	✓
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	SP	$50,001 - $100,000	Dividends	$1 - $200	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ UBS BANK USA 4.85% CD FDIC INS DUE 05/27/25 (90355GDL7) [BA]	SP	$100,001 - $250,000	Interest	$5,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US Treasury 3.75% 12/31/28 (91282CJR3) [GS]	SP	$100,001 - $250,000	Interest	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US Treasury 4.375% 12/15/16 (91282CJP7) [GS]	SP	$100,001 - $250,000	Interest	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY NOTE 2.25% 03/24 (91282CEG2) [GS]	SP	None	Capital Gains, Interest	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY NOTE 3.25% 08/24 (91282CFG1) [GS]	SP	None	Capital Gains, Interest	$5,001 - $15,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY NOTE 4.25% 09/24 (91282CFN6) [GS]	SP	None	Capital Gains, Interest	$5,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Walmart Inc. (WMT) [ST]	SP	$100,001 - $250,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Waste Management, Inc. (WM) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
SCH1 ⇒ CASH ACCOUNTS [BA]		$1,001 - $15,000	Interest	$1 - $200	☐
SCH1 ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]		$1,001 - $15,000	Dividends	$201 - $1,000	☑
SCH1 ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]		$15,001 - $50,000	Interest	$201 - $1,000	☐
SCH1 ⇒ US TREASUR NT 0.75% UST NOTE DUE 12/31/23 (91282CDR9) [GS]		None	Interest	$1 - $200	☐
SCH1 ⇒ US Treasury Bill (912797HP5) [GS]		None	Capital Gains, Interest	$2,501 - $5,000	☑
SCH1 ⇒ US Treasury Bill (912797JR9) [GS]		$250,001 - $500,000	None		☑
SCH1 ⇒ US Treasury Bill (912797KJ5) [GS]		$15,001 - $50,000	None		☑
SCH1 ⇒ US TREASURY BILL U S T BILL DUE 01/18/24 (912797GD3) [GS]		None	Capital Gains	$201 - $1,000	☑
SCH1 ⇒ US TREASURY NOTE 4.25% DUE 12/31/25 (91282CJS1) [GS]		$50,001 - $100,000	Interest	$1,001 - $2,500	☑
SCH1 ⇒ US TREASURY U S T BILL DUE 03/21/24 (912797LL9) [GS]		None	Capital Gains, Interest	$1,001 - $2,500	☑

* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
SCH1 ⇒ US Treasury Bill 912797GD3 [GS]		01/11/2024	S	$15,001 - $50,000	☑
SCH1 ⇒ US Treasury Bill 912797LL9 [GS]		01/11/2024	S	$50,001 - $100,000	☑
R.W. Allen & Associates, Inc. ⇒ BlackRock, Inc. Common Stock (BLK) [ST]	SP	01/17/2024	S	$50,001 - $100,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Ameriprise Financial, Inc. Common Stock (AMP) [ST]	SP	04/09/2024	P	$50,001 - $100,000	
SCH1 ⇒ US Treasury Bill Due 3/20/2025 (912797KJ5) [GS]		04/02/2024	P	$1,001 - $15,000	
LIVTR ⇒ US Treasury Bill DUE 09/15/25 (91282CFK2) [GS]	SP	04/23/2024	P	$15,001 - $50,000	
R.W. Allen & Associates, Inc. ⇒ Cintas Corporation - Common Stock (CTAS) [ST]	SP	05/20/2024	P	$1,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ Starbucks Corporation - Common Stock (SBUX) [ST]	SP	05/20/2024	S	$15,001 - $50,000	☐
R.W. Allen & Associates, Inc. ⇒ Johnson & Johnson Common Stock (JNJ) [ST]	SP	06/13/2024	S	$15,001 - $50,000	☐
SCH1 ⇒ US Treasury Bill 912797HP5 [GS]		06/25/2024	P	$250,001 - $500,000	
SCH1 ⇒ US Treasury Bill 912797JR9 [GS]		06/25/2024	P	$250,001 - $500,000	
LIVTR ⇒ AutoZone, Inc. Common Stock (AZO) [ST]	SP	07/16/2024	P	$15,001 - $50,000	
LIVTR ⇒ Johnson & Johnson Common Stock (JNJ) [ST]	SP	07/16/2024	S	$15,001 - $50,000	☑
SCH1 ⇒		08/26/2024	S	$50,001 -	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
US Treasury Bill 912797HP5 [GS]			(partial)	$100,000	
LIVTR ⇒ Deere & Company Common Stock (DE) [ST]	SP	08/14/2024	S	$1,001 - $15,000	☐
SCH1 ⇒ US Treasury Bill 912797HP5 [GS]		09/13/2024	S	$100,001 - $250,000	☑
R.W. Allen & Associates, Inc. ⇒ Charles Schwab Corporation (SCHW) [ST]	SP	09/12/2024	S	$15,001 - $50,000	☐
R.W. Allen & Associates, Inc. ⇒ US Treasury Bill 91282CJR3 [GS]	SP	10/09/2024	P	$100,001 - $250,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASU NOTE 4.375% DUE 12/15/26 (91282CJP7) [GS]	SP	12/03/2024	P	$100,001 - $250,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Rollins, Inc. Common Stock (ROL) [ST]	SP	12/12/2024	P	$15,001 - $50,000	
SCH1 ⇒ US TREASURY NOTE 4.25% DUE 12/31/25 (91282CJS1) [GS]		12/03/2024	P	$50,001 - $100,000	
SCH1 ⇒ US TREASURY BILL DUE 03/20/25 (912797KJ5) [GS]		12/03/2024	P	$15,001 - $50,000	
CONT IRA ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]	SP	05/16/2024	P	$15,001 - $50,000	
CONT IRA ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF]	SP	04/02/2024	P	$1,001 - $15,000	
CONT IRA ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF]	SP	05/07/2024	P	$1,001 - $15,000	
CONT IRA ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF]	SP	04/02/2024	P	$15,001 - $50,000	
INIRA ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF]	SP	01/03/2024	P	$15,001 - $50,000	
INIRA ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF]	SP	02/07/2024	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
INIRA ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF]	SP	10/21/2024	P	$1,001 - $15,000	
INIRA ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF]	SP	11/18/2024	P	$1,001 - $15,000	
INIRA ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF]	SP	10/21/2024	P	$15,001 - $50,000	
INIRA ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF]	SP	04/10/2024	P	$15,001 - $50,000	
INIRA ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	03/04/2024	S	$15,001 - $50,000	☐
INIRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	04/10/2024	S (partial)	$15,001 - $50,000	☐
INIRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	04/10/2024	S (partial)	$50,001 - $100,000	☐
INIRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	04/10/2024	S	$15,001 - $50,000	☐
INIRA ⇒ Schwab International Dividend Equity ETF (SCHY) [EF]	SP	04/10/2024	P	$15,001 - $50,000	
INIRA ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF]	SP	04/10/2024	P	$15,001 - $50,000	
INIRA ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	04/10/2024	P	$1,001 - $15,000	
INIRA ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	04/10/2024	P	$1,001 - $15,000	
INIRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]	SP	04/10/2024	S (partial)	$1,001 - $15,000	☐
INIRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]	SP	03/04/2024	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
INIRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	04/10/2024	P	$1,001 - $15,000	
INIRA ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]	SP	04/10/2024	P	$1,001 - $15,000	
CONT IRA ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF]	SP	10/15/2024	P	$1,001 - $15,000	
CONT IRA ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF]	SP	12/20/2024	P	$15,001 - $50,000	
CONT IRA ⇒ iShares iBonds Dec 2028 Term Treasury ETF (IBTI) [EF]	SP	12/20/2024	P	$1,001 - $15,000	
CONT IRA ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF]	SP	04/10/2024	P	$15,001 - $50,000	
CONT IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	01/08/2024	P	$15,001 - $50,000	
CONT IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	04/10/2024	S (partial)	$15,001 - $50,000	☐
CONT IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	07/16/2024	P	$1,001 - $15,000	
CONT IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	01/02/2024	P	$15,001 - $50,000	
CONT IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	04/10/2024	S (partial)	$50,001 - $100,000	☐
CONT IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	11/18/2024	P	$1,001 - $15,000	
CONT IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	01/19/2024	P	$15,001 - $50,000	
CONT IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	04/10/2024	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
CONT IRA ⇒ Schwab International Dividend Equity ETF (SCHY) [EF]	SP	01/24/2024	P	$1,001 - $15,000	
CONT IRA ⇒ Schwab International Dividend Equity ETF (SCHY) [EF]	SP	03/07/2024	P	$1,001 - $15,000	
CONT IRA ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF]	SP	04/10/2024	P	$15,001 - $50,000	
CONT IRA ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	04/10/2024	P	$1,001 - $15,000	
CONT IRA ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	04/10/2024	P	$1,001 - $15,000	
CONT IRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]	SP	01/24/2024	P	$1,001 - $15,000	
CONT IRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]	SP	04/10/2024	S (partial)	$1,001 - $15,000	☐
CONT IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	04/10/2024	P	$1,001 - $15,000	
CONT IRA ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]	SP	04/10/2024	P	$1,001 - $15,000	
CONT IRA ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]	SP	05/20/2024	P	$1,001 - $15,000	
LIVTR ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	01/19/2024	P	$15,001 - $50,000	
LIVTR ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	04/10/2024	P	$15,001 - $50,000	
LIVTR ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	04/09/2024	S	$1,001 - $15,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	05/20/2024	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	05/20/2024	S	$15,001 - $50,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	04/09/2024	P	$100,001 - $250,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	01/19/2024	P	$50,001 - $100,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	07/16/2024	P	$15,001 - $50,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	01/19/2024	P	$50,001 - $100,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	05/20/2024	P	$50,001 - $100,000	
SCH1 ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]		01/19/2024	P	$1,001 - $15,000	
SCH1 ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]		10/15/2024	P	$1,001 - $15,000	
IRA ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]		03/07/2024	P	$50,001 - $100,000	
IRA ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF]		04/02/2024	P	$15,001 - $50,000	
IRA ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF]		09/12/2024	P	$1,001 - $15,000	
IRA ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF]		12/20/2024	P	$15,001 - $50,000	
IRA ⇒ iShares iBonds Dec 2028 Term Treasury ETF (IBTI) [EF]		12/20/2024	P	$15,001 - $50,000	
IRA ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF]		04/10/2024	P	$50,001 - $100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
IRA ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]		03/07/2024	S	$15,001 - $50,000	☐
IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]		04/10/2024	S (partial)	$100,001 - $250,000	☐
IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		04/10/2024	S (partial)	$15,001 - $50,000	☐
IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		09/12/2024	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]		04/10/2024	S	$50,001 - $100,000	☐
IRA ⇒ Schwab International Dividend Equity ETF (SCHY) [EF]		04/10/2024	P	$15,001 - $50,000	
IRA ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF]		04/10/2024	P	$50,001 - $100,000	
IRA ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]		04/10/2024	P	$15,001 - $50,000	
IRA ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]		04/10/2024	P	$15,001 - $50,000	
IRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]		04/10/2024	S (partial)	$15,001 - $50,000	☐
IRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]		03/07/2024	S	$15,001 - $50,000	☐
IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]		04/10/2024	P	$15,001 - $50,000	
IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]		04/10/2024	P	$15,001 - $50,000	
IRA ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]		04/10/2024	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LIVTR ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	04/09/2024	S	$15,001 - $50,000	✓
401k Account ⇒ Morley Principal Stable Value Fund Class Z (0P000182U7) [OT] LOCATION: US DESCRIPTION: This fund is not found in database.	SP	Monthly	P	$1,001 - $15,000	

* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
RW ALLEN CONTRUCTIONS LLC	SPOUSE SALARY	N/A
IRA DISTRIBUTION	SPOUSE DISTRIBUTION	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Wells Fargo Bank	10/2010	Mortgage on Primary Residence, Augusta, GA	$50,001 - $100,000
	South State Bank Augusta, GA	12/2014	Mortgage on Secondary Residence, Washington, D.C.	$500,001 - $1,000,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Investment Vehicle Details

- INIRA (Owner: SP)
- CONT IRA (Owner: SP)
- IRA
- LIVTR (Owner: SP)
  Location: US
- BANK ACCOUNT(S)
  Location: US
- INVESTMENTS
  Location: US
- R.W. Allen & Associates, Inc. (Owner: SP)
  Location: US
- SCH1
  Location: US
- 401k Account (Owner: SP)
- R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities (100% Interest) (Owner: SP)
  Location: Augusta/ Richmond, GA, US
- R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC (44% Interest) (Owner: SP)
  Location: US
- R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST (Owner: SP)
- INVESTMENTS ⇒ 1015 BROAD STREET, LLC
  Location: US

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Richard W. Allen , 08/11/2025