



Filing ID #10066788

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Morgan McGarvey  
**Status:** Member  
**State/District:** KY03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2024  
**Filing Date:** 07/30/2025

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Republic Bank Checking [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Republic Bank Savings [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Results By Design LLC [OL] LOCATION: Louisville, KY, US DESCRIPTION: Consulting firm	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Series I Savings Bond [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Series I Savings Bond [GS]	SP	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
State of Kentucky Legislators Benefit Plan [DB]		Undetermined	None		<input type="checkbox"/>
Chris IRA ⇒ CareTrust REIT, Inc. (CTRE) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒	SP	None	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Collectar Biosciences, Inc. (CLRB) [ST] DESCRIPTION: Sale less than \$1,000					
Chris IRA ⇒ Chris IRA Cash [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Global X U.S. Preferred ETF (PFFD) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ SPDR Index Shares Fund SPDR Portfolio Emerging Markets ETF (SPEM) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ SPDR Portfolio Developed World ex-US ETF (SPDW) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ SPDR Portfolio Intermediate Term Treasury ETF (SPTI) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ SPDR Portfolio Mortgage Backed Bond ETF (SPMB) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ SPDR Portfolio S&P 400 Mid Cap ETF (SPMD) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chris IRA ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ VictoryShares Free Cash Flow ETF (VFLO) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ VictoryShares US Small Cap Volatility Wtd ETF (CSA) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ CareTrust REIT, Inc. (CTRE) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Chris Roth Cash [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Materials Select Sector SPDR (XLB) [EF] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Real Estate Select Sector SPDR Fund (XLRE) [EF] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Royal Dutch Shell PLC American Depositary Shares (SHEL) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Schwab Fundamental U.S. Large Company ETF (FNDX) [EF] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ SPDR Index Shares Fund SPDR Portfolio Emerging Markets ETF (SPEM) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chris Roth ⇒ SPDR Portfolio Developed World ex-US ETF (SPDW) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ SPDR Portfolio Intermediate Term Treasury ETF (SPTI) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ SPDR Portfolio Mortgage Backed Bond ETF (SPMB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ SPDR Portfolio S&P 400 Mid Cap ETF (SPMD) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ Vanguard Materials ETF (VAW) [EF] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Vanguard Mid-Cap ETF (VO) [EF] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Vanguard Utilities ETF (VPU) [EF] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chris Roth ⇒ VictoryShares Free Cash Flow ETF (VFLO) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ VictoryShares US Small Cap Volatility Wtd ETF (CSA) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ Chris SEP Cash [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Global X U.S. Preferred ETF (PFFD) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ Materials Select Sector SPDR (XLB) [EF]  DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Microsoft Corporation (MSFT) [ST]  DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Real Estate Select Sector SPDR Fund (XLRE) [EF]  DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Royal Dutch Shell PLC American Depositary Shares (SHEL) [ST]  DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Schwab U.S. Large-Cap ETF [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ SPDR Index Shares Fund SPDR Portfolio Emerging Markets ETF (SPEM) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ SPDR Portfolio Developed World ex-US ETF (SPDW) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR Portfolio Intermediate Term Treasury ETF (SPTI) [EF]					
Chris SEP ⇒ SPDR Portfolio Mortgage Backed Bond ETF (SPMB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ SPDR Portfolio S&P 400 Mid Cap ETF (SPMD) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ Vanguard Materials ETF (VAW) [EF] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Vanguard Utilities ETF (VPU) [EF] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ VictoryShares Free Cash Flow ETF (VFLO) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ VictoryShares US Small Cap Volatility Wtd ETF (CSA) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ BlackRock High Yield Bond Portfolio - Class A (BHYAX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Heaven Hill Distilleries, Inc 401(K) ⇒ Columbia Fds Srs Tr,. Columbia MidCap Index Fund Class A (NTIAX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ Columbia Select Large Cap Value Fund Class A (SLVAX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ Invesco Stable Value Retirement Fund Class 1 [OT]  DESCRIPTION: Stable Value Fund	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ iShares S&P 500 Index Fund - Institutional (BSPIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ MFS International Diversification Fund Class A (MDIDX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ Neuberger Berman Genesis Trust (NBGEX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ PGIM Total Return Bond Fund Class R4 (PDBSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ PIMCO Income Fund Class A (PONAX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ Undiscovered Managers Behavioral Value Fund - R5 (UBVVX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Joint Brokerage ⇒ Global X U.S. Preferred ETF (PFFD) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ iShares MSCI EAFE Min Vol Factor ETF (EFAV) [EF]  DESCRIPTION: Sale less than \$1,000	JT	None	None		<input type="checkbox"/>
Joint Brokerage ⇒ iShares MSCI USA Momentum Factor ETF (MTUM) [EF]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ Joint Brokerage Cash [BA]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Joint Brokerage ⇒ NVIDIA Corporation - Common Stock (NVDA) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST]  DESCRIPTION: Sale less than \$1,000	JT	None	None		<input type="checkbox"/>
Joint Brokerage ⇒ Schwab U.S. Large-Cap ETF (SCHX) [EF]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ SPDR Index Shares Fund SPDR Portfolio Emerging Markets ETF (SPEM) [EF]  DESCRIPTION: 12/31/24 value less than \$1,000	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ SPDR Portfolio Developed World ex-US ETF (SPDW) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ SPDR Portfolio Intermediate Term Treasury ETF (SPTI) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ SPDR Portfolio Mortgage Backed Bond ETF (SPMB) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ SPDR Portfolio S&P 400 Mid Cap ETF (SPMD) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]  DESCRIPTION: 12/31/24 value less than \$1,000	JT	\$1 - \$1,000	None		<input checked="" type="checkbox"/>
Joint Brokerage ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	JT	\$1 - \$1,000	None		<input checked="" type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: 12/31/24 value less than \$1,000					
Joint Brokerage ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ Vanguard Div Appreciation ETF (VIG) [EF]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ Vanguard FTSE All World Ex US ETF (VEU) [EF]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Sale less than \$1,000					
Joint Brokerage ⇒ Vanguard Mid-Cap ETF (VO) [EF]	JT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Sale less than \$1,000					
Joint Brokerage ⇒ Vanguard Real Estate ETF (VNQ) [EF]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Sale less than \$1,000					
Joint Brokerage ⇒ Vanguard Small-Cap ETF (VB) [EF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ Vanguard Small-Cap Value ETF (VBR) [EF]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Sale less than \$1,000					
Joint Brokerage ⇒ Vanguard Utilities ETF (VPU) [EF]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Sale less than \$1,000					
Joint Brokerage ⇒ VictoryShares Free Cash Flow ETF (VFLO) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ VictoryShares US Small Cap Volatility Wtd ETF (CSA) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Joint Brokerage ⇒	JT	None	Capital Gains	\$201 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Xtrackers MSCI EAFE Hedged Equity ETF (DBEF) [EF]				\$1,000	<input type="checkbox"/>
Morgan Roth ⇒ CareTrust REIT, Inc. (CTRE) [ST] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Global X U.S. Preferred ETF (PFFD) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ Materials Select Sector SPDR (XLB) [EF] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Morgan Roth Cash [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Real Estate Select Sector SPDR Fund (XLRE) [EF] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Royal Dutch Shell PLC American Depositary Shares (SHEL) [ST] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Schwab Fundamental U.S. Large Company ETF (FNDX) [EF] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ SPDR Index Shares Fund SPDR Portfolio Emerging Markets ETF (SPEM) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ SPDR Portfolio Developed World ex-US ETF (SPDW) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB) [EF]					
Morgan Roth ⇒ SPDR Portfolio Intermediate Term Treasury ETF (SPTI) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ SPDR Portfolio Mortgage Backed Bond ETF (SPMB) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ SPDR Portfolio S&P 400 Mid Cap ETF (SPMD) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]  DESCRIPTION: 12/31/24 value less than \$1,000		\$1 - \$1,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ SPDR Select Sector Fund - Industrial (XLI) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ Vanguard Materials ETF [EF]  DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Vanguard Utilities ETF (VPU) [EF]  DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ VictoryShares Free Cash Flow ETF (VFLO) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VictoryShares US Small Cap Volatility Wtd ETF (CSA) [EF]					
NY 529 Plan - DC1 ⇒ Target Enrollment 2032 [5F]	DC	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: NY					
NY 529 Plan - DC2 ⇒ Target Enrollment 2032 [5F]	DC	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: NY					
UTMA - DC1 ⇒ Microsoft Corporation - Common Stock (MSFT) [ST]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
UTMA - DC2 ⇒ Microsoft Corporation - Common Stock (MSFT) [ST]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
UTMA - DC3 ⇒ Microsoft Corporation - Common Stock (MSFT) [ST]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
Vanguard Brokerage ⇒ Vanguard Money Market Reserves Federal Money Market Fund (VMFXX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Brokerage ⇒ Vanguard S&P 500 Value ETF (VOOV) [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Brokerage ⇒ Vanguard Total World Stock Index ETF (VT) [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Voya Financial - Morgan & Pottinger PSC 401(k) ⇒ Vanguard Target Retirement 2055 Fund (VFFVX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>

\* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Joint Brokerage ⇒ NVIDIA Corporation - Common Stock (NVDA) [ST]	JT	05/31/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ Delta Air Lines, Inc. Common Stock (DAL) [ST]	SP	06/28/2024	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Chris IRA ⇒ Interactive Brokers Group, Inc. - Class A Common Stock (IBKR) [ST]	SP	06/06/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ Delta Air Lines, Inc. Common Stock (DAL) [ST]	SP	07/23/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Apple Inc. - Common Stock (AAPL) [ST]	SP	08/13/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ Apple Inc. - Common Stock (AAPL) [ST]	SP	08/19/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Tesla, Inc. - Common Stock (TSLA) [ST]	SP	10/25/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ Amazon.com, Inc. - Common Stock (AMZN) [ST]	SP	11/13/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Trump Media & Technology Group Corp. - Common Stock (DJT) [ST]	SP	11/06/2024	P	\$1,001 - \$15,000	
DESCRIPTION: Purchased without knowledge of filer or spouse.					
Chris IRA ⇒ Trump Media & Technology Group Corp. - Common Stock (DJT) [ST]	SP	11/08/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sold for a loss					
Chris IRA ⇒ Interactive Brokers Group, Inc. - Class A Common Stock (IBKR) [ST]	SP	11/13/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Microsoft Corporation - Common Stock (MSFT) [ST]	SP	11/13/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Joint Brokerage ⇒ NVIDIA Corporation - Common Stock (NVDA) [ST]	JT	11/13/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Chris SEP ⇒ NVIDIA Corporation - Common Stock (NVDA) [ST]	SP	11/13/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒	SP	11/13/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NVIDIA Corporation - Common Stock (NVDA) [ST]					
Morgan Roth ⇒ NVIDIA Corporation - Common Stock (NVDA) [ST]		11/13/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ NVIDIA Corporation - Common Stock (NVDA) [ST]	SP	11/13/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Charles Schwab Corporation (SCHW) [ST]	SP	11/13/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Shell PLC American Depositary Shares (SHEL) [ST]	SP	11/13/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Tesla, Inc. - Common Stock (TSLA) [ST]	SP	11/13/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ SPDR S&P 500 (SPY) [EF]	SP	07/29/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ SPDR S&P 500 (SPY) [EF]	SP	12/03/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
Chris IRA ⇒ Global X U.S. Preferred ETF (PFFD) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ Invesco S&P SmallCap Value with Momentum ETF (XSVM) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ iShares MSCI Intl Quality Factor ETF (IQLT) [EF]	SP	12/03/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
Chris IRA ⇒ iShares Gold Trust Shares of the iShares Gold Trust (IAU) [EF]	SP	06/21/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ iShares Gold Trust Shares of the iShares Gold Trust (IAU) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ iShares Russell Top 200 ETF (IWL) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Chris IRA ⇒ iShares Russell Top 200 Growth ETF (IWM) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ JPMorgan Ultra-Short Income ETF (JPST) [EF]	SP	12/03/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
Chris IRA ⇒ Materials Select Sector SPDR (XLB) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Real Estate Select Sector SPDR Fund (XLRE) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Schwab Fundamental U.S. Large Company ETF (FNDX) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Schwab U.S. Large-Cap ETF (SCHX) [EF]	SP	12/03/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
Chris IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	05/22/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
Chris IRA ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	12/03/2024	P	\$15,001 - \$50,000	
Chris IRA ⇒ SPDR Portfolio Developed World ex-US ETF (SPDW) [EF]	SP	12/03/2024	P	\$15,001 - \$50,000	
Chris IRA ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	SP	05/29/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
Chris IRA ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	SP	07/17/2024	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Chris IRA ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	SP	09/03/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
Chris IRA ⇒ SPDR Index Shares Fund SPDR Portfolio Emerging Markets ETF (SPEM) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ SPDR Portfolio Intermediate Term Treasury ETF (SPTI) [EF]	SP	12/03/2024	P	\$15,001 - \$50,000	
Chris IRA ⇒ Sabra Health Care REIT, Inc. (SBRA) [RE]	SP	11/13/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ SPDR Portfolio Mortgage Backed Bond ETF (SPMB) [EF]	SP	12/03/2024	P	\$15,001 - \$50,000	
Chris IRA ⇒ SPDR Portfolio S&P 400 Mid Cap ETF (SPMD) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [EF]	SP	12/03/2024	P	\$50,001 - \$100,000	
Chris IRA ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]	SP	12/03/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
Chris IRA ⇒ Vanguard Materials ETF (VAW) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Vanguard Mid-Cap ETF (VO) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	01/04/2024	P	\$15,001 - \$50,000	
Chris IRA ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	12/03/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
Chris IRA ⇒	SP	01/04/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Small-Cap ETF (VB) [EF]					
Chris IRA ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]	SP	07/26/2024	P	\$1,001 - \$15,000	
Chris IRA ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ Vanguard Utilities ETF (VPU) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ VictoryShares Free Cash Flow ETF (VFLO) [EF]	SP	12/03/2024	P	\$50,001 - \$100,000	
Chris IRA ⇒ VictoryShares US Small Cap Volatility Wtd ETF (CSA) [EF]	SP	12/03/2024	P	\$15,001 - \$50,000	
Chris Roth ⇒ SPDR S&P 500 (SPY) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ iShares MSCI Intl Quality Factor ETF (IQLT) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ iShares Russell Top 200 ETF (IWL) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ iShares Russell Top 200 Growth ETF (IWY) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ JPMorgan Ultra-Short Income ETF (JPST) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ Schwab U.S. Large-Cap ETF (SCHX) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	05/22/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ The Communication Services Select Sector SPDR Fund (XLC)	SP	12/03/2024	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
[EF]					
Chris Roth ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ SPDR Portfolio Developed World ex-US ETF (SPDW) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	SP	05/29/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	SP	07/17/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	SP	09/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ SPDR Index Shares Fund SPDR Portfolio Emerging Markets ETF (SPEM) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ SPDR Portfolio Intermediate Term Treasury ETF (SPTI) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ SPDR Portfolio Mortgage Backed Bond ETF (SPMB) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ SPDR Portfolio S&P 400 Mid Cap ETF (SPMD) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Chris Roth ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	01/04/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ Vanguard Small-Cap ETF (VB) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ VictoryShares Free Cash Flow ETF (VFLO) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris Roth ⇒ VictoryShares US Small Cap Volatility Wtd ETF (CSA) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ SPDR S&P 500 (SPY) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ Global X U.S. Preferred ETF (PFFD) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ Invesco S&P SmallCap Value with Momentum ETF (XSVM) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ iShares MSCI Intl Quality Factor ETF (IQLT) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ iShares Russell Top 200 ETF (IWL) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ iShares Russell Top 200 Growth ETF (IWY) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ JPMorgan Ultra-Short Income ETF (JPST) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ Schwab Fundamental U.S. Large Company ETF (FNDX) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Schwab U.S. Large-Cap ETF (SCHX) [EF]					
Chris SEP ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	SP	05/29/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	SP	07/17/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	SP	09/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ SPDR Portfolio Intermediate Term Treasury ETF (SPTI) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ SPDR Portfolio Mortgage Backed Bond ETF (SPMB) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ SPDR Portfolio Developed World ex-US ETF (SPDW) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ SPDR Index Shares Fund SPDR Portfolio Emerging Markets ETF (SPEM) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ SPDR Portfolio S&P 400 Mid Cap ETF (SPMD) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Chris SEP ⇒ SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [EF]	SP	12/03/2024	P	\$15,001 - \$50,000	
Chris SEP ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ Vanguard Mid-Cap ETF (VO) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	01/04/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ Vanguard Small-Cap ETF (VB) [EF]	SP	12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ VictoryShares Free Cash Flow ETF (VFLO) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ VictoryShares US Small Cap Volatility Wtd ETF (CSA) [EF]	SP	12/03/2024	P	\$1,001 - \$15,000	
Chris SEP ⇒ Sabra Health Care REIT, Inc. (SBRA) [RE]	SP	11/13/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ Vanguard Small-Cap ETF (VB) [EF]		01/04/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ SPDR S&P 500 (SPY) [EF]		12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ Vanguard Small-Cap ETF (VB) [EF]		12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]		12/03/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ Global X U.S. Preferred ETF (PFFD) [EF]		12/03/2024	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Roth ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]		12/03/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ SPDR Select Sector Fund - Industrial (XLI) [EF]		12/03/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ iShares MSCI Intl Quality Factor ETF (IQLT) [EF]		12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ iShares Russell Top 200 ETF (IWL) [EF]		12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ iShares Russell Top 200 Growth ETF (IWY) [EF]		12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		05/22/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]		05/29/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]		07/17/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]		09/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ SPDR Portfolio Intermediate Term Treasury ETF (SPTI) [EF]		12/03/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ SPDR Portfolio Mortgage Backed Bond ETF (SPMB) [EF]		12/03/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ SPDR Portfolio Developed World ex-US ETF (SPDW) [EF]		12/03/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ SPDR Index Shares Fund SPDR Portfolio Emerging Markets ETF (SPEM) [EF]		12/03/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB) [EF]		12/03/2024	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Roth ⇒ SPDR Portfolio S&P 400 Mid Cap ETF (SPMD) [EF]		12/03/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [EF]		12/03/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]		12/03/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ Vanguard Mid-Cap ETF (VO) [EF]		12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ Vanguard S&P 500 ETF (VOO) [EF]		01/04/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ Vanguard S&P 500 ETF (VOO) [EF]		12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ VictoryShares Free Cash Flow ETF (VFLO) [EF]		12/03/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ VictoryShares US Small Cap Volatility Wtd ETF (CSA) [EF]		12/03/2024	P	\$1,001 - \$15,000	
Morgan Roth ⇒ Schwab U.S. Large-Cap ETF (SCHX) [EF]		12/03/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Joint Brokerage ⇒ Global X U.S. Preferred ETF (PFFD) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ iShares MSCI USA Momentum Factor ETF (MTUM) [EF]	JT	12/03/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ Schwab U.S. Large-Cap ETF (SCHX) [EF]	JT	12/03/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	JT	12/03/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Joint Brokerage ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	JT	05/22/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ SPDR Portfolio Developed World ex-US ETF (SPDW) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	JT	05/31/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ SPDR Index Shares Fund SPDR Portfolio Emerging Markets ETF (SPEM) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ SPDR Portfolio Intermediate Term Treasury ETF (SPTI) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ SPDR Portfolio Mortgage Backed Bond ETF (SPMB) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ SPDR Portfolio S&P 400 Mid Cap ETF (SPMD) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ Vanguard Div Appreciation ETF (VIG) [EF]	JT	12/03/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Joint Brokerage ⇒	JT	12/03/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Small-Cap ETF (VB) [EF]					
Joint Brokerage ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]	JT	12/03/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ VictoryShares Free Cash Flow ETF (VFLO) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ VictoryShares US Small Cap Volatility Wtd ETF (CSA) [EF]	JT	12/03/2024	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ Xtrackers MSCI EAFE Hedged Equity ETF (DBEF) [EF]	JT	12/03/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	JT	06/11/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ Janus Henderson Triton Fund - A Shares (JGMAX) [MF]	SP	05/16/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ Delaware Small Cap Value Fund A Class (DEV LX) [OT]	SP	05/16/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Mutual Fund					
Heaven Hill Distilleries, Inc 401(K) ⇒ Undiscovered Managers Behavioral Value Fund - R5 (UBVVX) [MF]	SP	05/16/2024	P	\$1,001 - \$15,000	
Heaven Hill Distilleries, Inc 401(K) ⇒ Neuberger Berman Genesis Trust (NBGEX) [MF]	SP	05/16/2024	P	\$1,001 - \$15,000	

\* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
LifePoint Health	Spouse Salary	N/A
Heaven Hill	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Republic Bank & Trust	December 2016	Personal Mortgage	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2023	Morgan McGarvey and the state of Kentucky	Future Pension
November 2022	Morgan McGarvey and Morgan & Pottinger PSC	Continuing participation in a defined benefit retirement plan managed by former employer. Employer no longer contributes to the plan.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
United Nations Foundation	12/08/2024	12/09/2024	Washington, DC - New York, NY - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
COMMENTS: Did not accept outbound transport							

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

<ul style="list-style-type: none"><li>NY 529 Plan - DC1 (Owner: DC) LOCATION: NY</li><li>Chris IRA (Owner: SP)</li><li>Chris Roth (Owner: SP)</li></ul>
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- Chris SEP (Owner: SP)
- Morgan Roth
- Joint Brokerage (Owner: JT)  
LOCATION: US
- NY 529 Plan - DC2 (Owner: DC)  
LOCATION: NY
- Vanguard Brokerage (Owner: JT)
- Heaven Hill Distilleries, Inc 401(K) (Owner: SP)
- UTMA - DC1 (Owner: DC)
- UTMA - DC2 (Owner: DC)
- UTMA - DC3 (Owner: DC)
- Voya Financial - Morgan & Pottinger PSC 401(k)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Morgan McGarvey , 07/30/2025