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Annual Report for Calendar 2024

Mrs. Joni K Ernst (Ernst, Joni)

Filed 05/15/2025 @ 9:01 AM

Print Report

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	State of Iowa Retirement	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None,	None (or less than \$201)
2	First Interstate Bank (Omaha, NE) Type: Checking,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)

3	U.S. Senate Federal Credit Union (Washington, DC) <i>Type: Checking, Savings,</i>	Bank Deposit Asset Type	Self Owner	\$1,001 - \$15,000	Dividends, Income Type	None (or less than \$201)
4	Axos Advisor Services Roth IRA	Retirement Plans IRA	Self			
4.1	LQD - iShares iBoxx \$ Investment Grade Corporate Bond ET	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.2	DWGHX - American Funds Developing World Growth and Incom	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.3	AMRFX - American Mutual Fund Class F-2 Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.4	FINFX - Fundamental Investors Class F-2 Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.5	IGFFX - International Growth and Income Fd CI F-2 Shs	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.6	NEFFX - The New Economy Fund Class F-2 Shs	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.7	IVW - iShares S&P 500 Growth ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.8	IVE - S&P 500 Value Ishares ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.9	IYR - iShares U.S. Real Estate ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.10	HYG - iShares iBoxx \$ High Yield Corporate Bond ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.11	SPY - SPDR??S&P 500? ETF Trust	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.12	MIAYX - American Funds Multi-Sector Income Fund Class F-	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

4.13	AIVFX - American Funds International Vantage Fund Class	Mutual Funds Mutual Fund Asset	Self Owner	\$1,001 - \$15,000 Value	None, Income Type	None (or less than Income \$201)
4.14	DIA - SPDR Dow Jones Industrial Average ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5	Pershing LLC	Brokerage/Managed Account	Self			
5.1	DODIX - Dodge & Cox Income Fund	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
5.2	FIHBX - Federated Hermes Institutional High Yield Bond Fun	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
5.3	FXAIX - Fidelity 500 Index Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.4	TRLGX - T. Rowe Price Large-Cap Growth Fund I Class	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000
5.5	MEIIX - MFS Value Fund Class I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
5.6	PRRIX - PIMCO Real Return Fund Institutional Class	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
5.7	PIREX - Principal Real Estate Securities Fund Institutional	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
5.8	VMVAX - Vanguard Mid-Cap Value Index Fund Admiral Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
5.9	VIMAX - Vanguard Mid-Cap Index Fund Admiral Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5.10	GOSXX - Federated Hermes Govt Oblig SS	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5.11	OTCIX - MFS Mid Cap Growth Fund - Class I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
6	Axos Advisor Services Traditional IRA	Brokerage/Managed Account	Self			
6.1	LQD - iShares iBoxx \$ Investment Grade Corporate Bond ET	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

6.2	SIRRX - Sierra Tactical All Asset Fund Asset Instl Class	Mutual Funds Asset Type Mutual Fund	Self Owner	\$1,001 - Value \$15,000	Income None, Type	None (or Income less than \$201)
6.3	SSIRX - Sierra Tactical Core Income Fund Instl Class	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.4	STBJX - Sierra Tactical Bond Fund Class Institutional	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.5	STMEX - Sierra Tactical Municipal Fund Institutional Sha	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.6	SRFJX - Sierra Tactical Risk Spectrum 50 Fund Institutio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.7	SWPPX - Schwab S&P 500 Index Fund- Select Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.8	IVW - iShares S&P 500 Growth ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.9	IVE - iShares S&P 500 Value ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.10	IYR - iShares U.S. Real Estate ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.11	HYG - iShares iBoxx \$ High Yield Corporate Bond ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.12	SPY - SPDR??S&P 500? ETF Trust	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.13	DIA - SPDR Dow Jones Industrial Average ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.14	PIMIX - Pimco Income Fund Insti Class	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7	Capital One (Richmond, Virginia) Type: Savings,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

8	First Interstate Bank Asset (Omaha, NE)	Bank Deposit Asset Type	Joint Owner	\$250,001 - Value \$500,000	Income Interest, Type	\$1,001 - Income \$2,500
<p>Type: Checking, Money Market Account, Filer comment: Filer is a named custodian on relative's account.</p>						

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Self	SBFFX	American Fds Short-Term Bond Fund of America C	Sale (Partial)	02/01/2024	\$1,001 - \$15,000	n/a
2	Self	SMCFX	Smallcap World Fund Inc. Class F-2 Shs	Sale (Full)	02/01/2024	\$1,001 - \$15,000	n/a
3	Self	MFAFX	American Funds Mortgage Fund Class F-2	Purchase	02/01/2024	\$1,001 - \$15,000	n/a
4	Self	AIVFX	American Funds International Vantage Fund Class	Purchase	02/01/2024	\$1,001 - \$15,000	n/a
5	Self	IVV	iShares Core S&P 500	Sale (Partial)	04/23/2024	\$1,001 - \$15,000	n/a
6	Self	AMRFX	American Mutual Fund Class F-2 Shares	Sale (Partial)	06/13/2024	\$1,001 - \$15,000	n/a
7	Self	MIAYX	American	Purchase	09/17/2024	\$1,001	n/a

#	Owner	Ticker	Funds Multi-Sector Asset Name Income Fund	Transaction Type	Transaction Date	- \$15,000 Amount	Comments
			Class F-				
8	Self	WGIFX	Capital World Growth and Income Fund Class F-2 S	Sale (Full)	10/22/2024	\$1,001 - \$15,000	n/a
9	Self	IGFFX	International Growth and Income Fd CI F-2 Shs	Purchase	10/22/2024	\$1,001 - \$15,000	n/a
10	Self	FINFX	Fundamental Investors Class F-2 Shares	Purchase	10/22/2024	\$1,001 - \$15,000	n/a
11	Self	IVV	iShares Core S&P 500 ETF	Sale (Full)	11/19/2024	\$1,001 - \$15,000	n/a
12	Self	MFAFX	American Funds Mortgage Fund Class F-2	Sale (Full)	11/19/2024	\$1,001 - \$15,000	n/a
13	Self	FINFX	Fundamental Investors Class F-2 Shares	Sale (Partial)	11/19/2024	\$1,001 - \$15,000	n/a
14	Self	LQD	iShares iBoxx \$ Investment Grade Corporate Bond ET	Sale (Partial)	11/19/2024	\$1,001 - \$15,000	n/a
15	Self	ABNFX	The Bond Fd of America Class F-2 Shares	Sale (Partial)	11/19/2024	\$1,001 - \$15,000	Value below filing threshold as of 12/31/2024.
16	Self	SBFFX	American Fds Short-Term Bond Fund of America C	Sale (Full)	11/19/2024	\$1,001 - \$15,000	n/a
17	Self	BIL	1-3 Month T-Bill Barclays Capital	Sale (Full)	11/19/2024	\$1,001 - \$15,000	n/a

#	Owner	Ticker	SPDR Asset Name	Transaction Type	Transaction Date	Amount \$15,000	Comments
18	Self	DIA	SPDR Dow Jones Industrial Average ETF	Purchase	11/19/2024	\$1,001 - \$15,000	n/a
19	Self	IVW	iShares S&P 500 Growth ETF	Purchase	11/19/2024	\$1,001 - \$15,000	n/a
20	Self	SPY	SPDR??S&P 500? ETF Trust	Purchase	11/19/2024	\$1,001 - \$15,000	n/a
21	Self	HYG	iShares iBoxx \$ High Yield Corporate Bond ETF	Purchase	11/19/2024	\$1,001 - \$15,000	n/a
22	Self	IYR	iShares U.S. Real Estate ETF	Purchase	11/19/2024	\$1,001 - \$15,000	n/a
23	Self	IVE	iShares S&P 500 Value ETF	Purchase	11/19/2024	\$1,001 - \$15,000	n/a
24	Self	--	GOSXX - Federated Hermes Govt Oblig SS	Purchase	01/03/2024	\$1,001 - \$15,000	n/a
25	Self	DODIX	Dodge & Cox Income Fund - Class I	Purchase	03/21/2024	\$1,001 - \$15,000	n/a
26	Self	TRLGX	T. Rowe Price Large- Cap Growth Fund Class I	Sale (Partial)	03/21/2024	\$1,001 - \$15,000	n/a
27	Self	--	FXAIX	Sale (Partial)	07/19/2024	\$1,001 - \$15,000	n/a
28	Self	PRRIX	Pimco Funds Real Return Fund Institutional Class	Purchase	07/19/2024	\$1,001 - \$15,000	n/a
29	Self	TRLGX	T. Rowe Price Large-	Sale (Partial)	11/21/2024	\$1,001 -	n/a

#	Owner	Ticker	Asset Class	Transaction Type	Transaction Date	Amount	Comments
30	Self	IVV	Cap Growth Fund Class iShares Core S&P 500 ETF	Sale (Partial)	04/16/2024	\$1,001 - \$15,000	n/a
31	Self	LQD	Invst Grade Corp Bond Ishares Iboxx \$ ETF	Sale (Partial)	04/23/2024	\$1,001 - \$15,000	n/a
32	Self	IVV	iShares Core S&P 500 ETF	Sale (Partial)	04/23/2024	\$1,001 - \$15,000	n/a
33	Self	MALOX	Blackrock Global Alloc I	Sale (Full)	08/06/2024	\$1,001 - \$15,000	n/a
34	Self	PIMIX	Pimco Income Fund Insti Class	Purchase	08/08/2024	\$1,001 - \$15,000	n/a
35	Self	IVV	iShares Core S&P 500 ETF	Sale (Full)	11/12/2024	\$1,001 - \$15,000	n/a
36	Self	STBJX	Ocean Park Tactical Bond Fund - Institutional CI	Sale (Partial)	11/19/2024	\$1,001 - \$15,000	n/a
37	Self	LQD	iShares iBoxx \$ Investment Grade Corporate Bond ET	Sale (Partial)	11/19/2024	\$1,001 - \$15,000	n/a
38	Self	SIRRX	Ocean Park Tactical All Asset Fund - Institution	Sale (Partial)	11/19/2024	\$1,001 - \$15,000	n/a
39	Self	SRFJX	Ocean Park Tactical Risk Spectrum 50 Fund - Inst	Sale (Partial)	11/19/2024	\$1,001 - \$15,000	n/a
40	Self	SSIRX	Ocean Park Tactical Core Income Fund - Instituti	Sale (Partial)	11/19/2024	\$1,001 - \$15,000	n/a

41	Self	IVW	iShares S&P 500 Growth ETF	Sale (Partial)	11/19/2024	\$1,001	n/a
#	Owner	Ticker	Asset Name	Transaction Type	Date	Amount	Comments
						\$15,000	
42	Self	BIL	SPDR Bloomberg 1-3 Month T-Bill ETF	Sale (Full)	11/19/2024	\$1,001 -	n/a
						\$15,000	
43	Self	HYG	iShares iBoxx \$ High Yield Corporate Bond ETF	Purchase	11/19/2024	\$1,001 -	n/a
						\$15,000	
44	Self	SPY	SPDR S&P 500? ETF Trust	Purchase	11/19/2024	\$1,001 -	n/a
						\$15,000	
45	Self	IVW	iShares S&P 500 Growth ETF	Purchase	11/19/2024	\$1,001 -	n/a
						\$15,000	
46	Self	IVE	iShares S&P 500 Value ETF	Purchase	11/19/2024	\$1,001 -	n/a
						\$15,000	
47	Self	IYR	iShares U.S. Real Estate ETF	Purchase	11/19/2024	\$1,001 -	n/a
						\$15,000	
48	Self	DIA	SPDR Dow Jones Industrial Average ETF	Purchase	11/19/2024	\$1,001 -	n/a
						\$15,000	

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2020	Self	Mortgage	0	2.75% (30 years)	\$50,001 - \$100,000	Freedom Mortgage Indianapolis, IN	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Jan 2002	Iowa Public Employees' Retirement System Des Moines, IA	Continuing participation in an employee benefit plan	This is a retirement benefits plan that will pay retirement benefits upon eligibility and includes disability benefits and preretirement death benefits.	n/a
2	Nov 2019	Simon & Schuster, Inc. New York, NY	Royalty Agreement	Agreement to receive book advance (disclosed in CY 2020 and 2021) and royalty payments based on usual and customary terms. No income from this agreement was received in 2024.	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

Comments

State of Iowa Retirement Deferred Compensation account was rolled over into Axos Advisor Traditional IRA.

