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Annual Report for Calendar 2024

The Honorable John P Ricketts (Ricketts, Pete)

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Print Report

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- ☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Other (Taxable Income)	Northside Entertainment Holdings LLC Chicago, IL	\$38,263.00	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	South Dakota Retirement System	Retirement Plans	Spouse	\$15,001 -	None	None (or

1	South Dakota Retirement System Asset	Retirement Plans Defined Benefit Pension Plan	Spouse Owner	\$15,001 - \$50,000	None, Income Type	None (or less than \$201)
2	Lutz Account 1	Brokerage/Managed Account	Joint			
2.1	Boys Town Village Neb Revenue Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 09/01/2028</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
2.2	Buffalo County Neb General Obligation Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/15/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.3	Cass County Neb General Obligation Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 12/15/2025</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
2.4	Crete Neb Hwy Allocation Fund Revenue Bond <i>Rate/Coupon: 2.15%</i> <i>Matures: 12/15/2024</i> <i>Filer comment: Matured</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
2.5	DFCEX - Dfa Emerging Markets Core Equity Portfolio	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
2.6	DFIEX - Dfa International Core Equity Portfolio	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
2.7	DFGFX - Dfa Two-Year Global Fixed Income Portfolio	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
2.8	DFAS - Dimensional U.S. Small Cap ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$5,001 - \$15,000
2.9	DFAT - Dimensional U.S. Targeted Value ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$5,001 - \$15,000
2.10	Douglas County Neb General Obligation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2024</i> <i>Filer comment: Matured</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
2.11	Douglas County Neb General Obligation Bond	Government Securities	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000

	Rate/Coupon: 5.0% Asset Matures: 12/15/2025	Municipal Security Asset Type	Owner	Value	Income Type	Income
2.12	Douglas County Neb General Obligation Bond Rate/Coupon: 5.0% Matures: 06/15/2026	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.13	Fremont Neb General Obligation Bond Rate/Coupon: 1.25% Matures: 12/15/2026	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
2.14	Hall County Neb School Dist Revenue Bond Rate/Coupon: 5.0% Matures: 12/15/2026	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.15	ISTB - iShares Core 1-5 Year USD Bond ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
2.16	IJJ - iShares S&P Mid-Cap 400 Value ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
2.17	TIP - iShares TIPS Bond ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
2.18	IGSB - iShares 1-5 Year Investment Grade Corporate Bond E	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
2.19	Kearney County Neb General Obligation Bond Rate/Coupon: 3.0% Matures: 06/01/2028	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.20	Keith County Neb Sch Dist General Obligation Bond Rate/Coupon: 2.4% Matures: 12/15/2027	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.21	LA Vista Neb General Obligation Bond Rate/Coupon: 3.0% Matures: 12/15/2027	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
2.22	Louisville Neb General Obligation Bond Rate/Coupon: 1.55% Matures: 12/15/2024 Filer comment: Matured	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000

2.23	Metropolitan Utils Dist Omaha Neb Revenue Bond <i>Rate/Coupon: 3.2%</i> <i>Matures: 12/01/2028</i>	Government Securities Municipal Security	Joint Owner	\$50,001 - \$100,000	Interest, Income Type	\$1,001 - \$2,500
2.24	Metropolitan Cmnty College Area Neb Revenue Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 03/01/2032</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.25	Metropolitan Utils Dist Omaha Neb Revenue Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 12/01/2032</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
2.26	Papillion Neb General Obligation Bond <i>Rate/Coupon: 2.75%</i> <i>Matures: 12/15/2024</i> <i>Filer comment: Matured</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
2.27	Papillion Neb Sch Dist General Obligation Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 12/01/2026</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
2.28	STPZ - PIMCO 1-5 Year U.S. TIPS Index Exchange-Traded Fun	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
2.29	Platte County Neb Sch Dist General Obligation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2025</i> <i>Filer comment: Redeemed</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
2.30	Polk County Neb General Obligation Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/15/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.31	Sarpy County Neb Sch Dist General Obligation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2025</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
2.32	Sarpy County Neb Sch Dist General Obligation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2028</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
2.33	Saunders County Neb General Obligation Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 11/01/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000

2.34	Charles Schwab (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
2.35	Scotts Bluff County General Obligation Bond <i>Rate/Coupon: 1.7%</i> <i>Matures: 01/15/2026</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
2.36	SPY - S&P 500 SPDR	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
2.37	SPYV - SPDR S&P 500 Value Portfolio ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
2.38	SLYV - S&P Smallcap Value ETF SPDR	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
2.39	MDY - S&P Midcap 400 SPDR	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
2.40	Stanton County Neb Util Revenue Bond <i>Rate/Coupon: 1.7%</i> <i>Matures: 06/01/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.41	VTEB - Vanguard Tax-Exempt Bond ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
2.42	VNQ - Vanguard Real Estate ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$100,001 - \$1,000,000
2.43	VOO - Vanguard S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
2.44	Schwab U.S. TIPS ETF (SCHP)	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
2.45	Vanguard Total Bond Market Index Fund (BND)	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
2.46	DFGBX - Dfa Five-Year Global Fixed Income Portfolio	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
2.47	DFEM - Dimensional Emerging	Mutual Funds	Joint	\$50,001 -	Excepted	\$1,001 -

	Markets Core Equity 2 ETF Asset	Exchange Traded Asset Type Fund/Note	Owner	\$100,000 Value	Investment Income Type Fund,	\$2,500 Income
2.48	DUHP - Dimensional US High Profitability ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$201 - \$1,000
2.49	MBB - iShares MBS ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
3	UBS Account 6	Brokerage/Managed Account	Spouse			
3.1	Baldwin County AL General Obligation Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 05/01/2026 <i>Filer comment:</i> Called	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
3.2	Chatham County NC Limited Obligation Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 11/01/2031	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
3.3	Florida St Bd of Educ Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 06/01/2032	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
3.4	Florida St Rt of Way Construction Ref Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 06/01/2030	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
3.5	Hennepin County MN General Obligation Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 12/01/2032	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
3.6	Jefferson County CO Sch Dist Revenue Bond <i>Rate/Coupon:</i> 4.0% <i>Matures:</i> 12/15/2033	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
3.7	Liberty Hill TX Indpt Sch Dist Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 02/01/2032	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
3.8	Maricopa County AZ Sch Dist Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 07/01/2027	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
3.9	Metropolitan Govt Nashville & Davidson County TN General	Government Securities	Spouse	\$100,001 - \$250,000	Interest,	\$1,001 - \$2,500

	Davidson IN Water & Sewer Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2033</i>	Securities Municipal Security	Owner	\$250,000 Value	Income Type	\$2,500 Income
3.10	Merrill Lynch (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
3.11	New York NY Transition Fin Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2032</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
3.12	New York NY Transition Fin Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2032</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
3.13	New York NY Transition Fin Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2032</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
3.14	New York NY Transition Fin Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2037</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
3.15	New York St Dorm Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/15/2034</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
3.16	New York St Dorm Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/15/2029</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
3.17	Richland County SC Sch Dist General Obligation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2025</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest, Capital Gains,	\$1,001 - \$2,500
3.18	Texas Water Dev Brd Revenue Bond <i>Rate/Coupon: 4.55%</i> <i>Matures: 10/15/2038</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
3.19	U.S. Treasury Note 11/15/2032 <i>Rate/Coupon: None</i> <i>Matures: None</i> <i>Filer comment: 4.125%, 11/15/2032</i>	Government Securities US Treasury/Agency Security	Spouse	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
3.20	U.S. Treasury Note 12/31/2024 <i>Rate/Coupon: None</i>	Government Securities	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

	Rate/Coupon/Rate Matures: None Filer comment: 4.25%, 12/31/2024; Matured	Asset Type Treasury/Agency Security	Owner	Value	Income Type	Income
3.21	Univ of Wyoming Revenue Bond Rate/Coupon: 4.0% Matures: 06/01/2038	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
3.22	Virginia St General Obligation Bond Rate/Coupon: 5.0% Matures: 06/01/2036	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
3.23	Washington County UT Sch Dist General Obligation Bond Rate/Coupon: 5.0% Matures: 03/01/2030	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
3.24	Wisconsin St Revenue Bond Rate/Coupon: 5.0% Matures: 07/01/2027	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
3.25	Woods Hole Mass St Revenue Bond Rate/Coupon: 5.0% Matures: 03/01/2028	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
4	UBS Account 1	Brokerage/Managed Account	Joint			
4.1	SCHWAB - Charles Schwab Corporation (The) Common Stock	Corporate Securities Stock	Joint	\$5,000,001 - \$25,000,000	Dividends,	\$100,001 - \$1,000,000
4.2	UBS Bank (New York, NY) Type: Brokerage Sweep Account, Money Market Account, Savings,	Bank Deposit	Joint	\$500,001 - \$1,000,000	Interest,	\$50,001 - \$100,000
5	JPR Spc Trust Filer comment: Disclosed asset values represent spousal beneficial interest of 25%	Trust General Trust	Spouse			
5.1	Merrill Lynch Acct 5	Brokerage/Managed Account	Spouse			
5.1.1	CSP III Distressed Opportunities Trust Description: Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
5.1.2	GSO Mezzanine Finance Trust Description: Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
5.1.3	GSO Mezzanine Finance Trust II Description: Private Investment	Investment Fund Private Equity Fund	Spouse	\$50,001 - \$100,000	None,	None (or less than

	Asset	Asset Type	Owner	Value	Income Type	Income
5.1.4	ORBIMED Healthcare Investments Trust <i>Description: Private Investment Partnership (New York, NY)</i>	Investment Fund Private Equity Fund	Spouse	\$15,001 - \$50,000	None, Excepted Investment Fund,	\$2,501 - \$5,000
5.1.5	Merrill Lynch (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$50,001 - \$100,000	Interest,	None (or less than \$201)
5.2	UBS Account 7	Brokerage/Managed Account	Spouse			
5.2.1	MUB - iShares National Muni Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
5.2.2	UBS Bank (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
5.2.3	VEA - Vanguard FTSE Developed Markets ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
5.2.4	VWO - Vanguard FTSE Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
5.2.5	VOO - Vanguard Mid-Cap ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
5.2.6	Vanguard S&P 500 ETF (VOO)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$5,001 - \$15,000
5.2.7	Vanguard Small-Cap Index Fund ETF Shares (VB)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$2,501 - \$5,000
5.2.8	IGLB - iShares 10 Year Investment Grade Corporate Bond E	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
5.3	RIFI LLC <i>Company: RIFI LLC (Wilmington, DE) Description: Holding company</i>	Business Entity Limited Liability Company (LLC)	Spouse			
5.3.1	SCHW - The Charles Schwab Corp	Corporate Securities Stock	Spouse	Over \$1,000,000 and held independently by spouse or	Dividends,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	dependent Value child	Income Type	Income
5.3.2	UBS Bank (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
5.3.3	Note receivable from JPR Spc Trust <i>Company:</i> JPR Spc Trust (Wilmington, DE)	Accounts Receivable From a Business	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
6	Non-Exempt Trust A <i>Filer comment:</i> Asset valuation reflects reportable portion; transaction reports not required due to indirect trust	Trust General Trust	Joint			
6.1	UBS Account 2	Brokerage/Managed Account	Joint			
6.1.1	UBS Bank (New York, NY) <i>Type:</i> Brokerage Sweep Account, Savings,	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
6.1.2	IEFA - iShares Core MSCI EAFE ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
6.1.3	IEMG - iShares Core MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.1.4	IVV - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.1.5	JP Morgan Chase & Co. Perpetual Bond <i>Rate/Coupon:</i> 8.549% <i>Matures:</i> 05/20/2049	Corporate Securities Corporate Bond	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.1.6	Citigroup Inc. Perpetual <i>Rate/Coupon:</i> 7.125% <i>Matures:</i> 11/15/2073	Corporate Securities Corporate Bond	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.1.7	Fifth Third Bancorp Perpetual <i>Rate/Coupon:</i> 4.5% <i>Matures:</i> 07/30/2069	Corporate Securities Corporate Bond	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500

6.1.8	Asset Bank of America Savings 2 (New York, NY) <i>Type:</i> Savings,	Asset Type Bank Deposit	Owner Joint	Value \$250,001 - \$500,000	Income Type Interest,	Income \$15,001 - \$50,000
6.2	JP Morgan Brokerage	Brokerage/Managed Account	Joint			
6.2.1	BSIIX - Blackrock Strategic Income Opportunities Portfol	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
6.2.2	DMCRX - Driehaus Micro Cap Growth Fund	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
6.2.3	PMFLX - Pimco Flexible Municipal Income Fund Institution	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.2.4	RMBMX - Rmb Smid Cap Fund Class I	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,001 - \$2,500
6.2.5	Citibank (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
6.3	JP Morgan Brokerage Acct 2	Brokerage/Managed Account	Joint			
6.3.1	Bank of America Perpetual <i>Rate/Coupon:</i> 5.125% <i>Matures:</i> 12/31/2049 <i>Filer comment:</i> Income treated as dividends due to derivative nature of asset	Corporate Securities Corporate Bond	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
6.3.2	Citigroup Inc. Perpetual <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 12/31/2049 <i>Filer comment:</i> Income treated as dividends due to derivative nature of asset	Corporate Securities Corporate Bond	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
6.3.3	Citigroup Inc. Perpetual <i>Rate/Coupon:</i> 4.7% <i>Matures:</i> 12/31/2049 <i>Filer comment:</i> Income treated as dividends due to derivative nature of asset	Corporate Securities Corporate Bond	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.3.4	Goldman Sachs Group Perpetual <i>Rate/Coupon:</i> 4.95% <i>Matures:</i> 12/31/2049 <i>Filer comment:</i> Income treated as	Corporate Securities Corporate Bond	Joint	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000

	<i>Filer comment: Income treated as dividends due to derivative nature of asset</i>	Asset Type	Owner	Value	Income Type	Income
6.3.5	Citigroup Inc. Perpetual <i>Rate/Coupon: 7.2%</i> <i>Matures: 12/31/2049</i> <i>Filer comment: Income treated as dividends due to derivative nature of asset</i>	Corporate Securities Corporate Bond	Joint	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
6.3.6	Goldman Sachs Group Perpetual <i>Rate/Coupon: 7.5%</i> <i>Matures: 04/23/2173</i> <i>Filer comment: Income treated as dividends due to derivative nature of asset</i>	Corporate Securities Corporate Bond	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
6.4	UBS Account 8	Brokerage/Managed Account	Joint			
6.4.1	SCHW - Charles Schwab Corporation (The) Common Stock	Corporate Securities Stock	Joint	\$5,000,001 - \$25,000,000	Dividends,	\$50,001 - \$100,000
6.4.2	SCHW - Charles Schwab Corporation (The) Common Stock <i>Strike price: \$88.00 Call</i> <i>Expires: 02/21/2025</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
6.4.3	UBS Bank (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$1,000,001 - \$5,000,000	Interest,	\$15,001 - \$50,000
6.5	UBS Account 3	Brokerage/Managed Account	Joint			
6.5.1	UBS Bank (New York, NY) <i>Type: Brokerage Sweep Account, Savings,</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
6.5.2	Dalton Whitfield County Dev Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/15/2032</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
6.6	Bank of America - Nuveen Muni Account	Brokerage/Managed Account	Joint			
6.6.1	Albuquerque NM Mun Sch Dist General Obligation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2035</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
6.6.2	Broward County Florida Airport System Revenue Bond	Government Securities	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500

	Rate/Coupon: 5.0% Asset Matures: 10/01/2033	Municipal Security Asset Type	Owner	Value	Income Type	Income
6.6.3	Buffalo Grove IL General Obligation Bond Rate/Coupon: 5.0% Matures: 12/30/2037	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
6.6.4	Cooper Green Mercy Health Svcs Auth AL Revenue Bond Rate/Coupon: 5.0% Matures: 09/01/2038	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.5	Collier County FL Wtr-Swr Dist Revenue Bond Rate/Coupon: 5.0% Matures: 07/01/2034	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.6	Bank of America (New York, NY) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	\$5,001 - \$15,000
6.6.7	Douglas County Neb Hosp Auth Revenue Bond Rate/Coupon: 5.0% Matures: 11/15/2035	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.8	Douglas County Neb Sch Dist General Obligation Bond Rate/Coupon: 5.0% Matures: 12/1/2039	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.9	Elk Grove Village IL General Obligation Bond Rate/Coupon: 5.0% Matures: 01/01/2029	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.10	Forsyth County GA General Obligation Bond Rate/Coupon: 5.0% Matures: 03/01/2026	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.11	Georgia St General Obligation Bond Rate/Coupon: 4.503% Matures: 11/01/2025	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.12	Greater Asheville NC Regl Arpt Auth Revenue Bone Rate/Coupon: 5.25% Matures: 07/01/2038	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.13	Hawaii St Arpt Auth Revenue Bond Rate/Coupon: 2.008% Matures: 07/01/2024	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000

6.6.14	Hillsborough County FL Aviation Revenue Bond <i>Asset</i> <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 10/01/2033	Government Securities Municipal Security	Joint Owner	None (or less than \$1,001)	Interest, Income Type	\$1,001 - \$2,500
6.6.15	Idaho Health Facs Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 03/01/2037	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.6.16	Illinois St General Obligation Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 11/01/2024	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.6.17	Indiana Fin Auth Health Sys Revenue Bond <i>Rate/Coupon:</i> 2.25% <i>Matures:</i> 12/01/2058	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.6.18	Kentucky Rural Water Fin Corp Revenue Bond <i>Rate/Coupon:</i> 3.5% <i>Matures:</i> 08/01/2024	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.19	Las Vegas Valley NV Water Dist Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 06/01/2039	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.20	Lincoln Neb Elec Sys Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 09/01/2034	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.21	Love Field TX Airport Modernization Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 11/01/2031	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.22	Madison AL General Obligation Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 12/01/2034	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.23	Maricopa County AZ Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 01/01/2033	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.24	Maricopa County AZ Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 01/01/2031	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.25	Massachusetts St General Obligation Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 10/01/2038	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.26	Metropolitan Washington DC Arpts	Government	Joint	None (or less	Interest,	\$1,001 -

	Auth Revenue Bond Asset <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2030</i>	Securities Asset Type Municipal Security	Owner	Value (than \$1,001)	Income Type	Income \$2,500
6.6.27	Michigan St Fin Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2034</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.28	Missouri St Health & Educational Facs Auth Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 11/15/2042</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.6.29	Montana St Fin Auth Hosp Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2031</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.30	Montgomery County TX Indpt Sch Dist Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 02/15/2033</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
6.6.31	Nebraska Invt Hsg Fin Auth Revenue Bond <i>Rate/Coupon: 4.125%</i> <i>Matures: 09/01/2038</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.32	Nebraska Public Pwr Dist Revenue Bond <i>Rate/Coupon: 2.216%</i> <i>Matures: 01/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.33	New York NY General Obligation Bond <i>Rate/Coupon: 5.646%</i> <i>Matures: 06/01/2027</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
6.6.34	New York St Dorm Auth Revenue Bond <i>Rate/Coupon: 1.748%</i> <i>Matures: 03/15/2028</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
6.6.35	Ohio St Hospital Facs Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2031</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.36	Omaha Neb Public Pwr Dist Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2031</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.37	Omaha Neb Public Pwr Dist Revenue Bond <i>Rate/Coupon: 5.0%</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000

	<i>Matures: 02/01/2034</i> Asset	Asset Type	Owner	Value	Income Type	Income
6.6.38	Omaha Neb Public Pwr Dist Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2030</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.39	Omaha Neb Public Pwr Dist Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2036</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.6.40	Port Auth of NY & NJ Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/2032</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.41	Reedy Creek Improvement Dist FL Revenue Bond <i>Rate/Coupon: 1.869%</i> <i>Matures: 06/01/2026</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.6.42	Sarpy County Neb Sch Dist General Obligation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.43	Southeast Cmnty College Area Neb Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2027</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.44	Tallahassee FL Util Sys Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2033</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.45	Texas Tech Univ Revenue Bond <i>Rate/Coupon: 2.939%</i> <i>Matures: 02/15/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.46	Texas St General Obligation Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 08/01/2030</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.47	Texas St Ref Mobility Fd Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2035</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.48	University of Illinois Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2039</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.49	University of Nebraska Revenue Bond <i>Rate/Coupon: 5.0%</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000

	<i>Matures: 05/15/2029</i> Asset	Asset Type	Owner	Value	Income Type	Income
6.6.50	Utah St Bd of Regents Revenue Bond <i>Rate/Coupon: 5.847%</i> <i>Matures: 08/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.51	Utah St Bd of Regents Revenue Bond <i>Rate/Coupon: 1.99%</i> <i>Matures: 11/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.52	Virginia Sm Business Fin Auth Revenue Bond <i>Rate/Coupon: 5.05</i> <i>Matures: 10/01/2040</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.53	Virginia St Hsg Dev Auth Revenue Bond <i>Rate/Coupon: 3.25%</i> <i>Matures: 10/01/2026</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.54	Washington St Healthcare Facs Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2049</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.55	Westfield Ind High Sch Bldg Corp Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/2033</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.56	Westminster CO Wtr Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/2035</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.6.57	Broward County Florida Airport System Revenue Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 10/01/2025</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.58	Cherry Creek Colorado Sch Dist General Obligation Bond <i>Rate/Coupon: 2.1%</i> <i>Matures: 12/15/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.6.59	Chicago Ill Midway Airport Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2029</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.60	Clark County Washington Pub Util Dist Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.61	Decatur Texas Hospital Auth	Government	Joint	\$50,001 -	Interest,	\$2,501 -

	Revenue Bond Asset	Securities Asset Type	Owner	\$100,000 Value	Income Type	\$5,000 Income
	<i>Rate/Coupon: 4.0%</i> <i>Matures: 09/01/2034</i>	Municipal Security				
6.6.62	Douglas County Kansas Sch Dist General Obligation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2037</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.63	Greater Orlando Aviation Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2027</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.64	Greenwood County SC Hospital Facs Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2038</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.6.65	Hawaii St Dept Transportation Airports Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2027</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.66	Illinois St Toll Hwy Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2038</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.67	Intermountain Power Agcy Utah Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2036</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.68	Jersey City N J Taxable Revenue Bond <i>Rate/Coupon: 6.0%</i> <i>Matures: 07/12/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.69	Metropolitan Govt Nashville & Davidson TN Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2035</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.6.70	Miami-Dade County FL Wtr & Swr Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2039</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.6.71	Nebraska Invt Hsg Fin Auth Revenue Bond <i>Rate/Coupon: 4.3%</i> <i>Matures: 09/01/2038</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
6.6.72	New Jersey St Transn Fin Auth	Government	Joint	\$50,001 -	Interest,	\$2,501 -

	Revenue Bond Asset Rate/Coupon: 5.25%	Securities Asset Type Municipal Security	Owner	\$100,000 Value	Income Type	\$5,000 Income
	Matures: 6/15/2032					
6.6.73	North Carolina Housing Fin Agency Revenue Bond Rate/Coupon: 4.2% Matures: 07/01/2040	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.6.74	New York St Dorm Auth Revenue Bond Rate/Coupon: 5.0% Matures: 03/15/2039	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.75	Orland Park III General Obligation Bond Rate/Coupon: 5.0% Matures: 12/01/2036	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.76	Raleigh NC Comb Enterprise Sys Revenue Bond Rate/Coupon: 4.0% Matures: 12/01/2034	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.6.77	South Carolina Jobs Revenue Bond Rate/Coupon: 2.729% Matures: 07/01/2030	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
6.6.78	Terre Haute Ind San Dist Revenue Bond Rate/Coupon: 5.25% Matures: 09/28/2028	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.79	Triborough Bridge & Tunnel NY Revenue Bond Rate/Coupon: 5.0% Matures: 05/15/2039	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.6.80	University of California Revenue Bond Rate/Coupon: 0.883% Matures: 05/15/2025	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
6.6.81	Virginia St Pub Bldg Auth Revenue Bond Rate/Coupon: 4.0% Matures: 08/01/2031	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.6.82	Washington St Healthcare Facs Auth Revenue Bond Rate/Coupon: 5.0% Matures: 10/01/2038	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.83	Colorado Health Facs Auth Revenue Bond	Government Securities	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000

	<i>Rate/Coupon: 5.5%</i> <i>Asset</i> <i>Matures: 11/01/2047</i>	Municipal Security Asset Type	Owner	Value	Income Type	Income
6.6.84	Florida State Turnpike Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2040</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
6.6.85	Galveston Texas Wharves & Term Revenue Bond Ser A <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2033</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
6.6.86	Hall County Nebraska Sch Dist No 2 Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2035</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
6.6.87	Holt Michigan Pub Schools General Obligation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/01/2041</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
6.6.88	Idaho Hsg & Finance Revenue Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 08/15/2048</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
6.6.89	Irving Texas Indpt Sch Dist Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2040</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.6.90	Louisiana Pub Facs Auth Hospital Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2047</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
6.6.91	Metropolitan Washington DC Arpts Auth Revenue Bond <i>Rate/Coupon: 6.625%</i> <i>Matures: 10/01/2037</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
6.6.92	Minnesota Agr-Econ Dev Board Revenue Health Care Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 01/01/2047</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.6.93	Nebraska Invt Hsg Fin Auth Revenue Bond <i>Rate/Coupon: 4.5%</i> <i>Matures: 09/01/2044</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.6.94	Nevada Sys Higher Ed Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2037</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)

6.6.95	Asset Northwest Allen Ind Sch Dist	Asset Type Government	Owner Joint	Value \$15,001 -	Income Type None,	Income None (or
	Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 07/15/2038	Securities Municipal Security		\$50,000		less than \$201)
6.6.96	Omaha Nebraska Arpt Auth Revenue Bond <i>Rate/Coupon:</i> 5.25% <i>Matures:</i> 12/15/2039	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
6.6.97	Omaha Nebraska Tax Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 04/15/2038	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
6.6.98	Omaha Nebraska Tax Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 04/15/2040	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.6.99	Princeton Texas Indpt Sch Dist Tax Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 02/15/2039	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)
6.6.100	Public Power Agency of Nebraska Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 01/01/2037	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
6.6.101	Pulaski County Arkansas Hospital Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 03/01/2039	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.6.102	Rhode Island St Health & Ed Hospital Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 05/15/2039	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
6.6.103	San Antonio Texas Elec & Gas Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 02/01/2039	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
6.6.104	Skagit County Washington Hosp Dist No 1 Revenue Bond <i>Rate/Coupon:</i> 5.5% <i>Matures:</i> 12/01/2043	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
6.6.105	South Dakota State Health & Ed Facs Auth Revenue Bond <i>Rate/Coupon:</i> 4.0% <i>Matures:</i> 07/01/2044	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$201 - \$1,000
6.6.106	St Clair County Alabama Sch Dist	Government	Joint	\$100,001 -	None,	None (or

	Revenue Bond Asset Type <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2038</i>	Securities Asset Type Municipal Security	Owner	\$250,000 Value	Income Type	less than income (\$201)
6.6.107	Wisconsin State General Obligation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/01/2042</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.7	BITKRAFT Ventures Fund II, L.P. <i>Description: Private Investment Fund (Denver, CO)</i>	Investment Fund Private Equity Fund	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
6.8	BITKRAFT Ventures Token Fund I, L.P. <i>Description: Private Investment Fund (Denver, CO)</i>	Investment Fund Private Equity Fund	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
6.9	BITKRAFT Ventures Token Fund II, L.P. <i>Description: Private Investment Fund (Denver, CO)</i>	Investment Fund Private Equity Fund	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
6.10	RPM Ventures IV, L.P. <i>Description: Private Investment Fund (Ann Arbor, MI)</i>	Investment Fund Private Equity Fund	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
6.11	StepStone VC Secondaries Fund IV, L.P. <i>Description: Private Investment Fund (New York, NY)</i>	Investment Fund Private Equity Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
6.12	StepStone VC Secondaries Fund V, L.P. <i>Description: Private Investment Fund (New York, NY)</i>	Investment Fund Private Equity Fund	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
6.13	Third Point Venture Fund I LP <i>Description: Private Investment Fund (New York, NY)</i>	Investment Fund Private Equity Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,001 - \$2,500
6.14	Third Point Venture Fund II LP <i>Description: Private Investment Fund (New York, NY)</i>	Investment Fund Private Equity Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
6.15	Hony Capital Fund III, LP <i>Description: Private Investment Fund (Beijing, China) Filer comment: Fund comprised of approx. 90% in cash; filer understands fund to be in wind-down since 2018.</i>	Investment Fund Private Equity Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
6.16	UBS - Alt Brokerage	Brokerage/Managed Account	Joint			
6.16.1	Alphabets Millennium FD III L.P.C.	Investment Fund	Joint	\$500,001 -	Excepted	\$50,001 -

Entity	Asset	Investment Fund and Asset Type	Joint Owner	\$500,001 - \$1,000,000 Value	Excepted Investment Fund, Investment Type	\$50,001 - \$100,000 Income
	Class B <i>Description:</i> Hedge Fund (New York, NY)					
6.16.2	UBS Bank (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$2,501 - \$5,000
6.17	Citi Alt Brokerage Account	Brokerage/Managed Account	Joint			
6.17.1	Mariner Atlantic Multi-Strategy Fund, L.P. <i>Description:</i> Hedge Fund (New York, NY)	Investment Fund Hedge Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.18	Whitehaven Credit Opportunities Fund, LP <i>Description:</i> Hedge Fund (New York, NY)	Investment Fund Hedge Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.19	CL Note Investment LLC <i>Company:</i> CL Note Investment LLC (Wilmington, DE) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Joint			
6.19.1	Eagle Football Holdings BIDCO Limited - Series A <i>Company:</i> Eagle Football Holdings BIDCO Limited - Series A (London, UK) <i>Description:</i> Sports team ownership	Corporate Securities Non-Public Stock	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$100,001 - \$1,000,000
6.19.2	Eagle Football Holdings BIDCO Limited - Series B1 Note <i>Company:</i> Eagle Football Holdings BIDCO Limited (London, UK) <i>Description:</i> Sports team ownership	Corporate Securities Non-Public Stock	Joint	\$500,001 - \$1,000,000	Dividends,	\$100,001 - \$1,000,000
6.19.3	Citibank (New York, NY) <i>Type:</i> Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
6.20	UBS Bank (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Joint	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.21	JP Morgan Brokerage - SCHW	Brokerage/Managed Account	Joint			
6.21.1	SCHW - The Charles Schwab Corp	Corporate Securities Stock	Joint	\$5,000,001 - \$25,000,000	Dividends,	\$100,001 - \$1,000,000
6.22	Bank of America	Bank Deposit	Joint	\$15,001 -	Interest,	\$201 -

	(New York, NY) Asset Type: Savings,	Asset Type	Owner	\$50,000 Value	Income Type	\$1,000 Income
6.23	JPM Brokerage Account - 96NE Alts	Brokerage/Managed Account	Joint			
6.23.1	Aristeia Partners, L.P. <i>Description:</i> Private Investment Partnership (Greenwich, CT)	Investment Fund Private Equity Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
6.23.2	JP Morgan (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
7	First National Bank of Omaha (Omaha, Nebraska) <i>Type:</i> Checking,	Bank Deposit	Self	\$250,001 - \$500,000	Interest,	None (or less than \$201)
8	First National Bank of Omaha (Omaha, NE) <i>Type:</i> Checking,	Bank Deposit	Joint	\$500,001 - \$1,000,000	Interest,	\$201 - \$1,000
9	Frontier Bank (Omaha, NE) <i>Type:</i> Checking,	Bank Deposit	Self	\$100,001 - \$250,000	Interest,	\$201 - \$1,000
10	Pinnacle Bank (Lincoln, NE) <i>Type:</i> Checking,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
11	Schwab 1	Brokerage/Managed Account	Joint			
11.1	NLY - Annaly Capital Management Inc Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.2	Charles Schwab (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
12	Schwab 2	Brokerage/Managed Account	Self			
12.1	Douglas County Neb Sch Dist General Obligation Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 12/15/2031	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
12.2	Douglas County Neb Sch Dist General Obligation Bond <i>Rate/Coupon:</i> 4.0% <i>Matures:</i> 12/15/2032	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
12.3	Puerto Rico Elec Pwr Revenue Bond <i>Rate/Coupon:</i> 5.25%	Government Securities	Self	\$50,001 - \$100,000	Interest,	\$5,001 - \$15,000

	Matures: 07/01/2022 Asset	Municipal Security Asset Type	Owner	Value	Income Type	Income
12.4	Charles Schwab (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$201 - \$1,000
13	Schwab 3	Brokerage/Managed Account	Self			
13.1	SCHW - Charles Schwab Corporation (The) Common Stock	Corporate Securities Stock	Self	\$1,000,001 - \$5,000,000	Dividends,	\$15,001 - \$50,000
13.2	Charles Schwab (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$5,000,001 - \$25,000,000	Interest,	\$100,001 - \$1,000,000
14	Schwab IRA	Retirement Plans IRA	Self			
14.1	ILCG - iShares Morningstar Large- Cap Growth ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
14.2	IVV - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
14.3	EEM - iShares MSCI Emerging Index Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
14.4	IEF - iShares 7-10 Year Treasury Bond ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
14.5	SHY - iShares 1-3 Year Treasury Bond ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
14.6	EFA - iShares MSCI EAFE ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
14.7	IWD - iShares Russell 1000 Value ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
14.8	IWM - iShares Russell 2000 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
14.9	SCHW - Charles Schwab Corporation (The) Common Stock	Corporate Securities Stock	Self	\$5,000,001 - \$25,000,000	None,	None (or less than \$201)
14.10	BND - Bloomberg U.S. 20+ Year Treasury Bond	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

14.10	CWBG - SPDR Bloomberg Convertible Securities ETF	Mutual Funds Exchange Traded Fund/Note	Self Owner	\$15,001 - \$50,000	None, Income Type	None (or less than \$201)
14.11	VOE - Vanguard Mid-Cap Value ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
14.12	Charles Schwab (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
15	Schwab IRA (SS)	Retirement Plans IRA	Spouse			
15.1	VWELX - Vanguard Wellington Income Fund	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
16	Drakon LLC <i>Company:</i> Drakon LLC (Omaha, NE) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Self			
16.1	Bye UAS Inc. DBA Silent Falcon <i>Company:</i> Bye UAS Inc. DBA Silent Falcon (Albuquerque, NM) <i>Description:</i> Aerospace manufacturing	Corporate Securities Non-Public Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
16.2	Account Receivable from DW1524 Inc. <i>Company:</i> DW1524 Inc. (Chicago, IL)	Accounts Receivable From a Business	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
16.3	Promissory Note from Palacio Enterprises Inc. <i>Company:</i> Palacio Enterprises Inc. (Omaha, NE)	Accounts Receivable From a Business	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
16.4	Skyvu Entertainment <i>Company:</i> Skyvu Entertainment (Omaha, NE) <i>Description:</i> Video game development	Corporate Securities Non-Public Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
16.5	Office suite 1 <i>Description:</i> Office suite 1 (Omaha, NE)	Real Estate Commercial	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
16.6	Office suite 2 <i>Description:</i> Office suite 2 (Omaha, NE)	Real Estate Commercial	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
16.7	Office suite 3 <i>Description:</i> Office suite 3 (Omaha, NE)	Real Estate Commercial	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
16.8	SGI Mongolia Mining Services L.P.	Business Entity	Self			

	Asset <i>Company:</i> SGI Mongolia Mining Services L.P. (Road Town, Tortola, British Virgin Islands) <i>Description:</i> Limited Partnership	Limited Partnership Asset Type (LP)	Owner	Value	Income Type	Income
16.8.1	Account receivable from Geosan LLC <i>Company:</i> Geosan LLC (Ulaanbaatar, Mongolia) <i>Filer comment:</i> Receivable from sale of Geosan LLC	Accounts Receivable From a Business	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
17	Brown Advisory Investors - Silver Lake Partners III <i>Description:</i> Private Investment Partnership (Baltimore, MD)	Investment Fund Private Equity Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
18	KKR Independence Energy Private Investors LP <i>Description:</i> Private Investment Partnership (New York, NY) <i>Filer comment:</i> f/k/a KKR EIGF I Private Investors Feeder LP; private equity fund investing in energy companies	Investment Fund Private Equity Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$15,001 - \$50,000
19	Schulze Global Ethiopia Growth & Transformation Fund I, LP <i>Description:</i> Private Investment Partnership (George Town, Cayman Islands)	Investment Fund Private Equity Fund	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
20	Techstars Accelerator 2021, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
21	AlphaKeys Euro Real Estate Fund <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
22	Mercatus, LLC <i>Company:</i> Mercatus, LLC (Omaha, NE) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Spouse			
22.1	Land <i>Description:</i> Land (Sarpy County, NE) (Sarpy County, NE)	Real Estate Unimproved Land	Spouse	\$1,000,001 - \$5,000,000	Rent/Royalties,	\$15,001 - \$50,000
22.2	First National Bank of Omaha (Omaha, NE) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
23	Gryphus LLC <i>Company:</i> Gryphus LLC (Omaha, NE) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Self			
23.1	First National Bank of Omaha (Omaha, NE)	Bank Deposit	Self	\$50,001 - \$100,000	None,	None (or less than \$201)

	(Omaha, NE) Asset Type: Checking,	Asset Type	Owner	\$100,000 Value	Income Type	less than \$201
24	Ortelius, LLC <i>Company:</i> Ortelius, LLC (Omaha, NE) <i>Description:</i> Holding company for personal residence	Business Entity Limited Liability Company (LLC)	Joint			
24.1	Personal Residence <i>Description:</i> Personal Residence (Washington, DC)	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
24.2	First National Bank of Omaha (Omaha, NE) <i>Type:</i> Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
25	Club Membership - Zachary Social Club <i>Description:</i> Social Club (Chicago, IL)	Other Securities	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
26	Promissory note <i>Debtor:</i> Mark Kennedy	Accounts Receivable From an Individual	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
27	Nebraska Public Employees Retirement System	Retirement Plans Defined Benefit Pension Plan	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
28	Idrisi, LLC <i>Company:</i> Idrisi, LLC (Omaha, NE) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Spouse			
28.1	Omaha Garage <i>Description:</i> Omaha Garage (Omaha, NE) <i>Filer comment:</i> CY23 purchase transaction noted on amendment letter attached to this report	Real Estate Commercial	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)
28.2	First National Bank of Omaha (Omaha, NE) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
29	Techstars Accelerator 2024, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
30	Andor, LLC <i>Company:</i> Andor, LLC (Omaha, Nebraska) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Self			
30.1	Personal Residence <i>Description:</i> Personal Residence (Omaha, Nebraska)	Real Estate Residential	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
31	Vandera, LLC	Business Entity	Spouse			

31	Vanderaa, LLC <i>Company:</i> Vanderaa, LLC (Omaha, Nebraska) <i>Description:</i> Holding company	Business Entity Assisted Liability Company (LLC)	Spouse Owner	Value	Income Type	Income
31.1	Personal Residence <i>Description:</i> Personal Residence (Washington, DC)	Real Estate Residential	Spouse	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
31.2	First National Bank of Omaha (Omaha, Nebraska) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
32	Cellarius, LLC <i>Company:</i> Cellarius, LLC (Omaha, Nebraska) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Self			
32.1	Nebraska land <i>Description:</i> Nebraska land (Dawes County, Nebraska)	Real Estate Unimproved Land	Self	\$5,000,001 - \$25,000,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Joint	DFGBX	Dfa Five-Year Global Fixed Income Portfolio	Purchase	03/26/2024	\$250,001 - \$500,000	n/a
2	Joint	DFGBX	Dfa Five-Year Global Fixed Income Portfolio	Purchase	06/20/2024	\$50,001 - \$100,000	n/a
3	Joint	DFGBX	Dfa Five-Year Global Fixed Income Portfolio	Purchase	07/03/2024	\$15,001 - \$50,000	n/a
4	Joint	DEEM	Dimensional	Purchase	02/26/2024	\$50,001 -	n/a

#	Owner	Ticker	Market Name	Transaction Type	Transaction Date	Amount	Comments
4	Joint	DFEM16	Dimensional Emerging Markets Core Equity 2 ETF	Purchase	03/20/2024	\$50,001 - \$100,000	n/a
5	Joint	DUHP	Dimensional US High Profitability ETF	Purchase	03/26/2024	\$50,001 - \$100,000	n/a
6	Joint	DFCEX	Dfa Emerging Markets Core Equity Portfolio	Sale (Partial)	03/26/2024	\$15,001 - \$50,000	n/a
7	Joint	ISTB	iShares Core 1-5 Year USD Bond ETF	Sale (Full)	03/26/2024	\$500,001 - \$1,000,000	n/a
8	Joint	MBB	iShares MBS ETF	Purchase	03/26/2024	\$250,001 - \$500,000	n/a
9	Joint	VNQ	Vanguard Real Estate ETF	Sale (Partial)	03/26/2024	\$250,001 - \$500,000	n/a
10	Joint	TIP	iShares TIPS Bond ETF	Sale (Partial)	03/26/2024	\$500,001 - \$1,000,000	n/a
11	Joint	IGSB	iShares 1-5 Year Investment Grade Corporate Bond E	Purchase	03/26/2024	\$250,001 - \$500,000	n/a
12	Joint	IGSB	iShares 1-5 Year Investment Grade Corporate Bond E	Purchase	06/20/2024	\$50,001 - \$100,000	n/a
13	Joint	IGSB	iShares 1-5 Year Investment Grade Corporate Bond E	Purchase	07/03/2024	\$15,001 - \$50,000	n/a
14	Joint	IGSB	iShares 1-5 Year Investment Grade	Purchase	08/08/2024	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Corporate Bond E Asset Name	Transaction Type	Transaction Date	Amount	Comments
15	Joint	VTEB	Vanguard Tax-Exempt Bond ETF	Purchase	03/12/2024	\$50,001 - \$100,000	n/a
16	Joint	VTEB	Vanguard Tax-Exempt Bond ETF	Purchase	12/17/2024	\$250,001 - \$500,000	n/a
17	Joint	VTEB	Vanguard Tax-Exempt Bond ETF	Sale (Partial)	03/26/2024	\$250,001 - \$500,000	n/a
18	Joint	BND	Vanguard Total Bond Market ETF	Purchase	03/26/2024	\$500,001 - \$1,000,000	n/a
19	Joint	BND	Vanguard Total Bond Market ETF	Purchase	07/03/2024	\$15,001 - \$50,000	n/a
20	Self	IGLB	iShares 10 Year Investment Grade Corporate Bond E	Purchase	02/02/2024	\$1,000,001 - \$5,000,000	n/a
21	Self	VEA	Vanguard FTSE Developed Markets ETF	Purchase	02/02/2024	\$500,001 - \$1,000,000	n/a
22	Self	VWO	Vanguard FTSE Emerging Markets ETF	Purchase	02/02/2024	\$500,001 - \$1,000,000	n/a
23	Self	VO	Vanguard Mid-Cap ETF	Purchase	02/02/2024	\$500,001 - \$1,000,000	n/a
24	Self	VOO	Vanguard S&P 500 ETF	Purchase	02/02/2024	\$1,000,001 - \$5,000,000	n/a
25	Self	VB	Vanguard Small-Cap ETF	Purchase	02/02/2024	\$500,001 - \$1,000,000	n/a
26	Self	--	Techstars Accelerator 2024, L.P.	Purchase	08/15/2024	\$100,001 - \$250,000	n/a

27	Self	--	Undeveloped land, Dawes County, Nebraska	Purchase	12/16/2024	\$5,000,001	n/a
#	Owner	Ticker	Asset Name	Type	Date	Amount	Comments
						\$25,000,000	
28	Spouse	--	Personal Residence, Washington, DC	Purchase	06/18/2024	\$1,000,001 -\$5,000,000	n/a

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2007	Joint	Mortgage	0	6.125% (30 years)	\$50,001 - \$100,000	First National Bank of Omaha Omaha, NE	n/a
2	2003	Joint	Mortgage	0	4.25% (30 years)	\$50,001 - \$100,000	Nationstar Mortgage LLC d/b/a Mr. Cooper Cleveland, OH	n/a
3	2021	Self	Other (Capital Commitment)	-	0% (on demand)	\$10,001 - \$15,000	Techstars Accelerator 2021, L.P. New York, NY	n/a
4	2023	Self	Other (Capital Commitment)	-	0% (on demand)	\$250,001 - \$500,000	Techstars Accelerator 2024, L.P. New York, NY	n/a
5	2024	Self	Revolving Charge	-	29.99% (Revolving)	\$10,001 - \$15,000	American Express New York, NY	Paid off in full monthly; balance is as of 12/31/24
6	2024	Spouse	Revolving Charge	-	29.99% (Revolving)	\$15,001 - \$50,000	American Express New York, NY	Paid off in full monthly; balance is as of 12/31/24

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Dec 2009 to present	Director	Chicago Cubs Charities Chicago, IL	Nonprofit Organization	n/a
2	Jul 2008 to present	Other (Director Emeritus)	Bellevue University Bellevue, NE	Educational Organization	n/a

3	Position # Dates to present	Director Position Held	Lumen Christi Institute Chicago, IL	Nonprofit Organization	n/a Comments
4	Jan 2012 to present	Director	Mid-America Council of Boy Scouts of America Omaha, NE	Nonprofit Organization	n/a
5	Jan 2023 to present	Director	Nebraska Game and Parks Foundation Omaha, NE	Nonprofit Organization	n/a
6	Jan 2009 to present	Other (Non- voting board member)	Opportunity Education Foundation Omaha, NE	Nonprofit Organization	n/a
7	Dec 2001 to present	Trustee	2001 Grandchildren's Trust FBO Eleanor Elaine Ricketts Omaha, NE	Trust	n/a
8	Dec 2001 to present	Trustee	2001 Grandchildren's Trust FBO Margot Elizabeth Ricketts Omaha, NE	Trust	n/a
9	Dec 2001 to present	Trustee	2001 Grandchildren's Trust FBO Roscoe Rollins Ricketts Omaha, NE	Trust	n/a
10	Dec 2012 to present	Trustee	Exempt Trust under the Susanne E. Shore Special Trust (2012) Omaha, NE	Trust	n/a
11	Dec 2012 to present	Other (Adviser)	Exempt Trust under the J. Peter Ricketts Special Trust (2012) Omaha, NE	Trust	n/a
12	Apr 2022 to present	Other (Adviser)	J. Peter Ricketts PTC Trust Jackson, WY	Trust	n/a
13	Jan 2023 to present	Other (Manager)	Ptolemy, LLC Omaha, NE	Company	n/a
14	Jan 2023 to present	Other (Manager)	Ortelius, LLC Omaha, NE	Company	n/a
15	Apr 2015 to present	Other (Member)	Progress, Inc. - Strategic Command Consultation Committee	Nonprofit Organization	n/a

#	Position	Position Held	Omaha, NE		Comments
	Dates		Entity	Entity Type	
16	Jan 2014 to present	Director	Offutt Advisory Council Offutt AFB, NE	Nonprofit Organization	n/a
17	Oct 2024 to present	Other (Manager)	Cellarius, LLC Omaha, Nebraska	Company	n/a
18	Mar 2015 to present	Other (Manager)	Andor, LLC Omaha, Nebraska	Company	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Jan 2015	State of Nebraska Lincoln, NE	Continuing participation in an employee benefit plan	Continuing participation in state employee defined benefit plan, as disclosed in part 3	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

Attachments

Document Title	Date/Time Added
Ricketts Senate FD Amdt Letter - 5-25.pdf	05/15/2025 @ 8:02 AM

No comments added.

