



Return to the search tab to select another report.

## Annual Report for Calendar 2024

The Honorable John Fetterman (Fetterman, John)

Filed 05/15/2025 @ 3:13 PM

Print Report

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I *omitted* assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **Yes**

| # | Date       | Activity | Amount     | Who Paid?                                   | Who received payment? | Comments |
|---|------------|----------|------------|---|-----------------------|----------|
| 1 | 02/28/2024 | Speech   | \$5,000.00 | The Pittsburgh Foundation<br>Pittsburgh, PA | Spouse                | n/a      |

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

| # | Who Was Paid | Type                 | Who Paid                          | Amount Paid  | Comments |
|---|--------------|----------------------|-----------------------------------|--------------|----------|
| 1 | Spouse       | Salary               | For Good PGH Braddock, PA         | > \$1,000    | n/a      |
| 2 | Self         | Other (Book advance) | Penguin Random House New York, NY | \$172,500.00 | n/a      |

### Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

|     | Asset  | Asset Type                             | Owner  | Value                       | Income Type    | Income                    |
|-----|--|--|--------|-----------------------------|----------------|---------------------------|
| 1   | <b>Citizens Private Bank</b><br>(Providence, RI)<br><i>Type: Money Market Account,</i>   | Bank Deposit                           | Spouse | \$50,001 - \$100,000        | Interest,      | \$2,501 - \$5,000         |
| 2   | <b>PNC Bank</b><br>(Pittsburgh, PA)<br><i>Type: Checking, Money Market Account,</i>  | Bank Deposit                           | Joint  | \$15,001 - \$50,000         | None,          | None (or less than \$201) |
| 3   | <b>Citizens Securities Inc. - DC 1</b>   | Brokerage/Managed Account              | Child  |                             |                |                           |
| 3.1 | <b>Bank of America Corp Bond</b><br><i>Rate/Coupon: 5.875%</i><br><i>Matures: 3/15/2028</i>                                      | Corporate Securities<br>Corporate Bond | Child  | \$1,001 - \$15,000          | Dividends,     | \$201 - \$1,000           |
| 3.2 | <b>Citizens Securities Inc.</b><br>(Johnston, RI)<br><i>Type: Brokerage Sweep Account,</i>                                       | Bank Deposit                           | Child  | \$1,001 - \$15,000          | None,          | None (or less than \$201) |
| 3.3 | <b>JPMorgan Chase &amp; Co Bond</b><br><i>Rate/Coupon: 4.6%</i><br><i>Matures: 2/1/2025</i>                                      | Corporate Securities<br>Corporate Bond | Child  | \$1,001 - \$15,000          | Capital Gains, | \$201 - \$1,000           |
| 3.4 | <b>Wells Fargo &amp; CO Bond</b><br><i>Rate/Coupon: 5.9%</i><br><i>Matures: 6/15/2024</i><br><i>Filer comment: Fully matured</i> | Corporate Securities<br>Corporate Bond | Child  | None (or less than \$1,001) | None,          | None (or less than \$201) |
| 3.5 | <b>Western Digital Corp Bond</b><br><i>Rate/Coupon: 1.5%</i><br><i>Matures: 2/1/2024</i><br><i>Filer comment: Fully matured</i>  | Corporate Securities<br>Corporate Bond | Child  | None (or less than \$1,001) | None,          | None (or less than \$201) |
| 3.6 | <b>JPMorgan Chase &amp; Co Note</b><br><i>Rate/Coupon: 0.563%</i><br><i>Matures: 2/1/2024</i>                                    | Corporate Securities<br>Corporate Bond | Child  | None (or less than \$1,001) | None,          | None (or less than \$201) |

|      | <i>Matures: 2/16/2024</i><br><b>File Comment:</b> Fully matured   | Asset Type                             | Owner | Value                       | Income Type                 | \$2011<br>Income          |
|------|---|--|-------|-----------------------------|-----------------------------|---------------------------|
| 3.7  | <b>First Horizon Corporation Note</b><br><i>Rate/Coupon: 4.0%</i><br><i>Matures: 5/26/2025</i>  | Corporate Securities<br>Corporate Bond | Child | None (or less than \$1,001) | None,                       | None (or less than \$201) |
| 3.8  | <b>Steel Dynamics Inc Ser B Note</b><br><i>Rate/Coupon: 5.0%</i><br><i>Matures: 12/15/2026</i>  | Corporate Securities<br>Corporate Bond | Child | \$1,001 - \$15,000          | None,                       | None (or less than \$201) |
| 3.9  | <b>Verisign Inc Ser B Note</b><br><i>Rate/Coupon: 4.75%</i><br><i>Matures: 7/15/2027</i>  | Corporate Securities<br>Corporate Bond | Child | \$1,001 - \$15,000          | None,                       | None (or less than \$201) |
| 3.10 | <b>Concentrix Corp Note</b><br><i>Rate/Coupon: 6.6%</i><br><i>Matures: 8/2/2028</i>   | Corporate Securities<br>Corporate Bond | Child | None (or less than \$1,001) | Interest,<br>Capital Gains, | \$201 - \$1,000           |
| 3.11 | <b>Kyndryl Hldgs Inc Ser B Note</b><br><i>Rate/Coupon: 2.7%</i><br><i>Matures: 10/15/2028</i>   | Corporate Securities<br>Corporate Bond | Child | None (or less than \$1,001) | None,                       | None (or less than \$201) |
| 3.12 | <b>Cheniere Energy Partners L P Note</b><br><i>Rate/Coupon: 4.5%</i><br><i>Matures: 10/1/2029</i>   | Corporate Securities<br>Corporate Bond | Child | \$1,001 - \$15,000          | Interest,                   | \$201 - \$1,000           |
| 3.13 | <b>Phillips 66 Partners LP Note</b><br><i>Rate/Coupon: 3.15%</i><br><i>Matures: 12/15/2029</i>  | Corporate Securities<br>Corporate Bond | Child | \$1,001 - \$15,000          | None,                       | None (or less than \$201) |
| 3.14 | <b>Paramount Global Note</b><br><i>Rate/Coupon: 4.95%</i><br><i>Matures: 1/15/2031</i><br><i>Filer comment: Full sale less than \$1,000</i> | Corporate Securities<br>Corporate Bond | Child | None (or less than \$1,001) | Interest,<br>Capital Gains, | \$201 - \$1,000           |
| 3.15 | <b>Yamana Gold Inc Ser B Note</b><br><i>Rate/Coupon: 2.63%</i><br><i>Matures: 8/15/2031</i>   | Corporate Securities<br>Corporate Bond | Child | None (or less than \$1,001) | None,                       | None (or less than \$201) |
| 3.16 | <b>Autodesk Inc Note</b><br><i>Rate/Coupon: 2.4%</i><br><i>Matures: 12/15/2031</i>  | Corporate Securities<br>Corporate Bond | Child | None (or less than \$1,001) | Interest,<br>Capital Gains, | \$201 - \$1,000           |
| 3.17 | <b>Ford Mtr Co DEL Note</b><br><i>Rate/Coupon: 3.25%</i><br><i>Matures: 2/12/2032</i>   | Corporate Securities<br>Corporate Bond | Child | \$1,001 - \$15,000          | None,                       | None (or less than \$201) |
| 3.18 | <b>Leidos Inc Note</b><br><i>Rate/Coupon: 5.75%</i><br><i>Matures: 3/15/2033</i>  | Corporate Securities<br>Corporate Bond | Child | \$1,001 - \$15,000          | None,                       | None (or less than \$201) |
| 3.19 | <b>Dell Inc Note</b><br><i>Rate/Coupon: 6.5%</i><br><i>Matures: 4/15/2038</i>   | Corporate Securities<br>Corporate Bond | Child | \$1,001 - \$15,000          | None,                       | None (or less than \$201) |

|      |   |   |                |                                |                             |                                 |
|------|---|---|----------------|--------------------------------|-----------------------------|---------------------------------|
| 3.20 | Asset<br><b>Viatrix Inc Ser B Note</b><br><i>Rate/Coupon: 3.85%</i><br><i>Matures: 6/22/2040</i>  | Asset Type<br>Corporate Securities<br>Corporate Bond          | Owner<br>Child | Value<br>\$1,001 -<br>\$15,000 | Income Type<br>Interest,    | Income<br>\$201 -<br>\$1,000    |
| 3.21 | <b>Florida Pwr &amp; Lt Co Bond</b><br><i>Rate/Coupon: 3.8%</i><br><i>Matures: 12/15/2042</i>   | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000          | None,                       | None (or<br>less than<br>\$201) |
| 3.22 | <b>Kinder Morgan Inc</b><br><i>Rate/Coupon: 5.55%</i><br><i>Matures: 6/1/2045</i>   | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000          | Interest,                   | \$201 -<br>\$1,000              |
| 3.23 | <b>Nationwide Finl Svcs Inc Note</b><br><i>Rate/Coupon: 6.75%</i><br><i>Matures: 5/15/2087</i>  | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000          | Interest,                   | \$201 -<br>\$1,000              |
| 3.24 | <b>National Fin Auth N H Fed Lease</b><br><i>Rate/Coupon: 3.25%</i><br><i>Matures: 4/1/2028</i>   | Government<br>Securities<br>Municipal Security                | Child          | \$1,001 -<br>\$15,000          | None,                       | None (or<br>less than<br>\$201) |
| 3.25 | <b>United States Treas Ser B-2024</b><br><i>Rate/Coupon: None</i><br><i>Matures: None</i><br><i>Filer comment: Fully matured</i>                      | Government<br>Securities<br>US<br>Treasury/Agency<br>Security | Child          | None (or less<br>than \$1,001) | None,                       | None (or<br>less than<br>\$201) |
| 3.26 | <b>United States Treas Ser B-2024 2.75%<br/>2/15/2024</b><br><i>Rate/Coupon: None</i><br><i>Matures: None</i><br><i>Filer comment: Fully matured</i>  | Government<br>Securities<br>US<br>Treasury/Agency<br>Security | Child          | None (or less<br>than \$1,001) | Interest,<br>Capital Gains, | \$201 -<br>\$1,000              |
| 3.27 | <b>United States Treas Ser BC-2024 2.5%<br/>5/31/2024</b><br><i>Rate/Coupon: None</i><br><i>Matures: None</i><br><i>Filer comment: Fully matured</i>  | Government<br>Securities<br>US<br>Treasury/Agency<br>Security | Child          | None (or less<br>than \$1,001) | Interest,<br>Capital Gains, | \$201 -<br>\$1,000              |
| 3.28 | <b>United States Treas NTS Note 2.375%<br/>8/5/2024</b><br><i>Rate/Coupon: None</i><br><i>Matures: None</i><br><i>Filer comment: Fully matured</i>    | Government<br>Securities<br>US<br>Treasury/Agency<br>Security | Child          | None (or less<br>than \$1,001) | Interest,<br>Capital Gains, | \$201 -<br>\$1,000              |
| 3.29 | <b>United States Treas Ser BL-2024 4.5%<br/>11/30/2024</b><br><i>Rate/Coupon: None</i><br><i>Matures: None</i><br><i>Filer comment: Fully matured</i> | Government<br>Securities<br>US<br>Treasury/Agency<br>Security | Child          | None (or less<br>than \$1,001) | Interest,                   | \$201 -<br>\$1,000              |
| 3.30 | <b>United States Treas Ser AK-2025 1.5%<br/>2/15/2025</b><br><i>Rate/Coupon: None</i><br><i>Matures: None</i>   | Government<br>Securities<br>US<br>Treasury/Agency             | Child          | \$1,001 -<br>\$15,000          | None,                       | None (or<br>less than<br>\$201) |

|      | Asset  | Security Asset Type                                  | Owner | Value                       | Income Type               | Income                    |
|------|--|--|-------|-----------------------------|---------------------------|---------------------------|
| 3.31 | <b>United States Treas NTS Note 2.125% 5/15/2025</b><br><i>Rate/Coupon: None</i><br><i>Matures: None</i>   | Government Securities<br>US Treasury/Agency Security | Child | \$1,001 - \$15,000          | Interest,                 | \$201 - \$1,000           |
| 3.32 | <b>United States Treasury Note 3.125% 8/15/2025</b><br><i>Rate/Coupon: None</i><br><i>Matures: None</i>    | Government Securities<br>US Treasury/Agency Security | Child | \$1,001 - \$15,000          | Interest,                 | \$201 - \$1,000           |
| 3.33 | <b>United States Treas Ser AU-2025 4.5% 11/15/2025</b><br><i>Rate/Coupon: None</i><br><i>Matures: None</i> | Government Securities<br>US Treasury/Agency Security | Child | \$1,001 - \$15,000          | Interest,                 | \$201 - \$1,000           |
| 3.34 | <b><a href="#">BIBDX</a> - Blackrock Global Dividend Ptf Institutional</b>                                 | Mutual Funds<br>Mutual Fund                          | Child | None (or less than \$1,001) | Excepted Investment Fund, | \$201 - \$1,000           |
| 3.35 | <b><a href="#">BMCIX</a> - Blackrock High Equity Income Fund - Institutiona</b>                            | Mutual Funds<br>Mutual Fund                          | Child | \$1,001 - \$15,000          | Excepted Investment Fund, | \$201 - \$1,000           |
| 3.36 | <b><a href="#">BDHIX</a> - Blackrock Dynamic High Income Portfolio - Instit</b>                            | Mutual Funds<br>Mutual Fund                          | Child | \$1,001 - \$15,000          | Excepted Investment Fund, | \$201 - \$1,000           |
| 3.37 | <b><a href="#">BHYIX</a> - Blackrock High Yield Bond Portfolio - Institutio</b>                            | Mutual Funds<br>Mutual Fund                          | Child | \$1,001 - \$15,000          | Excepted Investment Fund, | \$201 - \$1,000           |
| 3.38 | <b><a href="#">IGRO</a> - iShares International Dividend Growth ETF</b>                                    | Mutual Funds<br>Exchange Traded Fund/Note            | Child | \$1,001 - \$15,000          | Excepted Investment Fund, | None (or less than \$201) |
| 3.39 | <b><a href="#">DGRO</a> - iShares Core Dividend Growth ETF</b>   | Mutual Funds<br>Exchange Traded Fund/Note            | Child | \$1,001 - \$15,000          | Excepted Investment Fund, | \$201 - \$1,000           |
| 3.40 | <b><a href="#">VYM</a> - High Dividend Yield Vanguard ETF</b>  | Mutual Funds<br>Exchange Traded Fund/Note            | Child | \$1,001 - \$15,000          | Excepted Investment Fund, | None (or less than \$201) |
| 3.41 | <b><a href="#">IGSB</a> - iShares 1-5 Year Investment Grade Corporate Bond E</b>                           | Mutual Funds<br>Exchange Traded Fund/Note            | Child | None (or less than \$1,001) | Excepted Investment Fund, | None (or less than \$201) |
| 3.42 | <b><a href="#">MBB</a> - iShares MBS ETF</b>   | Mutual Funds<br>Exchange Traded Fund/Note            | Child | None (or less than \$1,001) | Excepted Investment Fund, | None (or less than \$201) |

|      |   |   |                |   |                                       |                                 |
|------|---|---|----------------|---|---------------------------------------|---------------------------------|
| 3.43 | <b>FALN</b> - iShares Fallen Angels USD<br>Asset<br>Bond ETF  | Mutual Funds<br>Asset Type<br>Exchange Traded                 | Child<br>Owner | None (or less<br>Value<br>than \$1,001) | Excepted<br>Income Type<br>Investment | \$201 -<br>Income<br>\$1,000    |
|      | <i>Filer comment:</i> Partial sales less than<br>\$1,000      | Fund/Note   |                |   | Fund,                                 |                                 |
| 3.44 | <b>PFF</b> - iShares Preferred and Income<br>Securities ETF   | Mutual Funds<br>Exchange Traded                               | Child          | None (or less<br>than \$1,001)          | Excepted<br>Investment                | None (or<br>less than<br>\$201) |
|      | <i>Filer comment:</i> 12/31/24 Full sale less than<br>\$1,000 | Fund/Note   |                |   | Fund,                                 |                                 |
| 3.45 | <b>Celanese US Hldgs LLC Note 6.33%<br/>7/15/2029</b>         | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000                   | None,                                 | None (or<br>less than<br>\$201) |
|      | <i>Rate/Coupon:</i> 6.33%<br><i>Matures:</i> 7/15/2029        |   |                |   |                                       |                                 |
| 3.46 | <b>Nokia Corp</b>   | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000                   | None,                                 | None (or<br>less than<br>\$201) |
|      | <i>Rate/Coupon:</i> 6.625%<br><i>Matures:</i> 5/15/2039       |   |                |   |                                       |                                 |
| 3.47 | <b>Freeport McMoran</b>                                       | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000                   | None,                                 | None (or<br>less than<br>\$201) |
|      | <i>Rate/Coupon:</i> 9.5%<br><i>Matures:</i> 06/01/2031        |   |                |   |                                       |                                 |
| 3.48 | <b>Concentrix Corp Note</b>                                   | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000                   | None,                                 | None (or<br>less than<br>\$201) |
|      | <i>Rate/Coupon:</i> 6.85%<br><i>Matures:</i> 08/02/2033       |   |                |   |                                       |                                 |
| 3.49 | <b>Ikon Office Solutions Inc Bond</b>                         | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000                   | None,                                 | None (or<br>less than<br>\$201) |
|      | <i>Rate/Coupon:</i> 6.75%<br><i>Matures:</i> 12/1/2025        |   |                |   |                                       |                                 |
| 3.50 | <b>ENSTAR FINANCE LLC</b>                                     | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000                   | None,                                 | None (or<br>less than<br>\$201) |
|      | <i>Rate/Coupon:</i> 5.5%<br><i>Matures:</i> 01/15/2042        |   |                |   |                                       |                                 |
| 3.51 | <b>V F CORP NOTE CALL MAKE WHOLE</b>                          | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000                   | None,                                 | None (or<br>less than<br>\$201) |
|      | <i>Rate/Coupon:</i> 2.95%<br><i>Matures:</i> 04/23/2030       |   |                |   |                                       |                                 |
| 3.52 | <b>KYNDRYL HOLDINGS INC NOTE</b>                              | Corporate Securities<br>Corporate Bond                        | Child          | None (or less<br>than \$1,001)          | Interest,<br>Capital Gains,           | \$201 -<br>\$1,000              |
|      | <i>Rate/Coupon:</i> 6.35%<br><i>Matures:</i> 02/20/2034       |   |                |   |                                       |                                 |
| 3.53 | <b>United States Treas NTS Note 4.875%<br/>5/31/2026</b>      | Government<br>Securities<br>US<br>Treasury/Agency<br>Security | Child          | \$1,001 -<br>\$15,000                   | Interest,                             | \$201 -<br>\$1,000              |
|      | <i>Rate/Coupon:</i> None<br><i>Matures:</i> None              |   |                |   |                                       |                                 |
| 3.54 | <b>United States Treas NTS Note 4.375%<br/>8/15/2026</b>      | Government<br>Securities<br>US<br>Treasury/Agency<br>Security | Child          | \$1,001 -<br>\$15,000                   | None,                                 | None (or<br>less than<br>\$201) |
|      | <i>Rate/Coupon:</i> None<br><i>Matures:</i> None              |   |                |   |                                       |                                 |

|      |  |   |                |                                |                                 |                                 |
|------|--|---|----------------|--------------------------------|---------------------------------|---------------------------------|
| 3.55 | <b>United States Treas NTS Note 4.0%</b><br>Asset<br>2/15/2026   | Government<br>Asset Type<br>Securities                        | Child<br>Owner | \$1,001 -<br>Value<br>\$15,000 | Interest,<br>Income Type        | \$201 -<br>Income<br>\$1,000    |
|      | <i>Rate/Coupon:</i> None<br><i>Matures:</i> None   | US<br>Treasury/Agency<br>Security                             |                |                                |                                 |                                 |
| 3.56 | <b>United States Treas NTS Note 4.25%;</b><br><b>11/30/2026</b><br><i>Rate/Coupon:</i> None<br><i>Matures:</i> None                      | Government<br>Securities<br>US<br>Treasury/Agency<br>Security | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201) |
| 3.57 | <b>GENERAL MOTORS COMPANY NOTE</b><br><i>Rate/Coupon:</i> 6.6%<br><i>Matures:</i> 04/01/2036   | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201) |
| 3.58 | <b>BLACKSTONE SECD LENDING FD<br/>NOTE</b><br><i>Rate/Coupon:</i> 5.35%<br><i>Matures:</i> 04/13/2028                                    | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201) |
| 3.59 | <a href="#">CLOA</a> - iShares AAA CLO Active ETF  | Mutual Funds<br>Exchange Traded<br>Fund/Note                  | Child          | \$1,001 -<br>\$15,000          | Excepted<br>Investment<br>Fund, | None (or<br>less than<br>\$201) |
| 3.60 | <a href="#">BINC</a> - BlackRock ETF Trust II<br>BlackRock Flexible Income E   | Mutual Funds<br>Exchange Traded<br>Fund/Note                  | Child          | \$1,001 -<br>\$15,000          | Excepted<br>Investment<br>Fund, | None (or<br>less than<br>\$201) |
| 3.61 | <a href="#">BFRIX</a> - Blackrock Floating Rate<br>Income Portfolio - Insti<br><i>Filer comment:</i> 12/31/24 value less than<br>\$1,000 | Mutual Funds<br>Mutual Fund                                   | Child          | None (or less<br>than \$1,001) | Excepted<br>Investment<br>Fund, | None (or<br>less than<br>\$201) |
| 3.62 | <a href="#">BIDD</a> - Blackrock International<br>Dividend ETF   | Mutual Funds<br>Exchange Traded<br>Fund/Note                  | Child          | \$1,001 -<br>\$15,000          | Excepted<br>Investment<br>Fund, | None (or<br>less than<br>\$201) |
| 3.63 | <a href="#">BALI</a> - iShares Advantage Large Cap<br>Income ETF   | Mutual Funds<br>Exchange Traded<br>Fund/Note                  | Child          | \$1,001 -<br>\$15,000          | Excepted<br>Investment<br>Fund, | None (or<br>less than<br>\$201) |
| 3.64 | <a href="#">HYGW</a> - iShares Trust iShares High<br>Yield Corporate Bond Bu   | Mutual Funds<br>Exchange Traded<br>Fund/Note                  | Child          | \$1,001 -<br>\$15,000          | Excepted<br>Investment<br>Fund, | None (or<br>less than<br>\$201) |
| 3.65 | <a href="#">QLTA</a> - iShares Aaa A Rated<br>Corporate Bond ETF   | Mutual Funds<br>Exchange Traded<br>Fund/Note                  | Child          | \$1,001 -<br>\$15,000          | Excepted<br>Investment<br>Fund, | None (or<br>less than<br>\$201) |
| 3.66 | <a href="#">SCHY</a> - Schwab International<br>Dividend Equity ETF   | Mutual Funds<br>Mutual Fund                                   | Child          | \$1,001 -<br>\$15,000          | Excepted<br>Investment<br>Fund, | None (or<br>less than<br>\$201) |
| 3.67 | <b>KYNDRYL HLDGS INC SER B NOTE</b><br><i>Rate/Coupon:</i> 4.1%  | Corporate Securities<br>Corporate Bond                        | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than           |

|     | <i>Matures: 10/15/2041</i><br>Asset   | Asset Type                             | Owner | Value                    | Income Type | \$201<br>Income                 |
|-----|---|--|-------|--------------------------|-------------|---------------------------------|
| 4   | <b>Citizens Securities Inc. - DC 2</b>  | Brokerage/Managed Account              | Child |                          |             |                                 |
| 4.1 | <b>Barclays Bank PLC Index-Linked</b><br><i>Rate/Coupon: 0%</i><br><i>Matures: 10/29/2027</i>                         | Corporate Securities<br>Corporate Bond | Child | \$15,001 -<br>\$50,000   | Interest,   | \$201 -<br>\$1,000              |
| 4.2 | <b>Morgan Stanley BK N Index-Linked A CD (0%) 4/28/2028</b><br>(Johnston, RI)<br><i>Type: Certificate of Deposit,</i> | Bank Deposit                           | Child | \$100,001 -<br>\$250,000 | Interest,   | \$5,001 -<br>\$15,000           |
| 5   | <b>Citizens Securities Inc. - DC 3</b>  | Brokerage/Managed Account              | Child |                          |             |                                 |
| 5.1 | <b>Barclays Bank PLC Index-Linked</b><br><i>Rate/Coupon: 0%</i><br><i>Matures: 10/29/2027</i>                         | Corporate Securities<br>Corporate Bond | Child | \$15,001 -<br>\$50,000   | Interest,   | \$201 -<br>\$1,000              |
| 5.2 | <b>Morgan Stanley BK N Index-Linked A CD 0% 4/28/2028</b><br>(Johnston, RI)<br><i>Type: Certificate of Deposit,</i>   | Bank Deposit                           | Child | \$100,001 -<br>\$250,000 | Interest,   | \$5,001 -<br>\$15,000           |
| 6   | <b>Merrill Lynch UTMA - DC 1</b>  | UGMA/UTMA                              | Child |                          |             |                                 |
| 6.1 | <b>Merrill Lynch Direct Deposit Program</b><br>(Jacksonville, FL)<br><i>Type: Money Market Account,</i>               | Bank Deposit                           | Child | \$15,001 -<br>\$50,000   | None,       | None (or<br>less than<br>\$201) |
| 6.2 | <b><a href="#">ADBE</a> - Adobe Systems Inc</b>   | Corporate Securities<br>Stock          | Child | \$1,001 -<br>\$15,000    | None,       | None (or<br>less than<br>\$201) |
| 6.3 | <b><a href="#">GOOG</a> - Alphabet Cl C</b>   | Corporate Securities<br>Stock          | Child | \$1,001 -<br>\$15,000    | None,       | None (or<br>less than<br>\$201) |
| 6.4 | <b><a href="#">AMZN</a> - Amazon.com Inc</b>  | Corporate Securities<br>Stock          | Child | \$1,001 -<br>\$15,000    | None,       | None (or<br>less than<br>\$201) |
| 6.5 | <b><a href="#">T</a> - AT&amp;T Inc</b>   | Corporate Securities<br>Stock          | Child | \$1,001 -<br>\$15,000    | None,       | None (or<br>less than<br>\$201) |
| 6.6 | <b><a href="#">BX</a> - Blackstone Inc</b>  | Corporate Securities<br>Stock          | Child | \$1,001 -<br>\$15,000    | None,       | None (or<br>less than<br>\$201) |
| 6.7 | <b><a href="#">STZ</a> - Constellation Brands Inc</b>   | Corporate Securities<br>Stock          | Child | \$1,001 -<br>\$15,000    | None,       | None (or<br>less than<br>\$201) |

|      |  |   |                |                                |                                 |   |
|------|--|---|----------------|--------------------------------|---------------------------------|---|
| 6.8  | <a href="#">Asset DIS</a> - Walt Disney Company  | Asset Type<br>Corporate Securities<br>Stock | Owner<br>Child | Value<br>\$1,001 -<br>\$15,000 | Income Type<br>None,            | Income<br>None (or<br>less than<br>\$201) |
| 6.9  | <a href="#">LRCX</a> - Lam Research Corp   | Corporate Securities<br>Stock               | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201)           |
| 6.10 | <a href="#">MPC</a> - Marathon Petroleum Corp  | Corporate Securities<br>Stock               | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201)           |
| 6.11 | <a href="#">QCOM</a> - Qualcomm Inc  | Corporate Securities<br>Stock               | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201)           |
| 6.12 | <a href="#">VLO</a> - Valero Energy Corp   | Corporate Securities<br>Stock               | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201)           |
| 6.13 | <a href="#">YORW</a> - York Water Company  | Corporate Securities<br>Stock               | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201)           |
| 6.14 | <a href="#">QQQ</a> - Nasdaq QQQ Invesco ETF   | Mutual Funds<br>Mutual Fund                 | Child          | \$1,001 -<br>\$15,000          | Excepted<br>Investment<br>Fund, | None (or<br>less than<br>\$201)           |
| 6.15 | <a href="#">DGICB</a> - Donegal Group, Inc. - Class<br>B Common Stock                            | Corporate Securities<br>Stock               | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201)           |
| 6.16 | <a href="#">NVDA</a> - Nvidia Corp   | Corporate Securities<br>Stock               | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201)           |
| 6.17 | <a href="#">CRM</a> - Salesforce Inc   | Corporate Securities<br>Stock               | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201)           |
| 7    | <b>Merrill Lynch UTMA - DC 2</b>   | UGMA/UTMA                                   | Child          |                                |                                 |   |
| 7.1  | <b>Merrill Lynch Direct Deposit Program</b><br>(Jacksonville, FL)<br>Type: Money Market Account, | Bank Deposit                                | Child          | \$15,001 -<br>\$50,000         | None,                           | None (or<br>less than<br>\$201)           |
| 7.2  | <a href="#">GOOG</a> - Alphabet Cl C   | Corporate Securities<br>Stock               | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201)           |
| 7.3  | <a href="#">AMZN</a> - Amazon.com Inc  | Corporate Securities<br>Stock               | Child          | \$1,001 -<br>\$15,000          | None,                           | None (or<br>less than<br>\$201)           |
| 7.4  | <a href="#">C</a> - Citigroup Inc  | Corporate Securities                        | Child          | \$1,001 -                      | None                            | None (or                                  |

| Asset   | Corporate Securities<br>Stock | Asset Type | Child<br>Owner           | \$1,001 -<br>\$15,000<br>Value | None,<br>Income Type            | None (or<br>less than<br>\$201) |
|---|-------------------------------|------------|--------------------------|--------------------------------|---------------------------------|---------------------------------|
| 7.5 <a href="#">STZ</a> - Constellation Brands Inc                        | Corporate Securities<br>Stock | Child      | \$1,001 -<br>\$15,000    | None,                          | None (or<br>less than<br>\$201) |                                 |
| 7.6 <a href="#">ERIE</a> - Erie Indemnity Company                         | Corporate Securities<br>Stock | Child      | \$1,001 -<br>\$15,000    | None,                          | None (or<br>less than<br>\$201) |                                 |
| 7.7 <a href="#">FDX</a> - Fedex Corp                                      | Corporate Securities<br>Stock | Child      | \$1,001 -<br>\$15,000    | None,                          | None (or<br>less than<br>\$201) |                                 |
| 7.8 <a href="#">T</a> - AT&T Inc.   | Corporate Securities<br>Stock | Child      | \$1,001 -<br>\$15,000    | None,                          | None (or<br>less than<br>\$201) |                                 |
| 7.9 <a href="#">JPM</a> - JP Morgan Chase & Co.<br>Common Stock           | Corporate Securities<br>Stock | Child      | \$1,001 -<br>\$15,000    | None,                          | None (or<br>less than<br>\$201) |                                 |
| 7.10 <a href="#">CRM</a> - Salesforce Inc                                 | Corporate Securities<br>Stock | Child      | \$1,001 -<br>\$15,000    | None,                          | None (or<br>less than<br>\$201) |                                 |
| 8 <b>The Huntington National Bank</b><br>(Columbus, OH)<br>Type: Savings, | Bank Deposit                  | Spouse     | \$50,001 -<br>\$100,000  | Interest,                      | \$201 -<br>\$1,000              |                                 |
| 9 <b>Dollar Bank</b><br>(Pittsburgh, PA)<br>Type: Certificate of Deposit, | Bank Deposit                  | Spouse     | \$250,001 -<br>\$500,000 | Interest,                      | \$201 -<br>\$1,000              |                                 |

## Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

| # | Transaction Date | Owner | Ticker | Asset Name   | Type           | Amount             | Comment |
|---|------------------|-------|--------|--|----------------|--------------------|---------|
| 1 | 07/19/2024       | Child | --     | CONCENTRIX CORP NOTE<br>Rate/Coupon: 6.6%<br>Matures: 08/02/2028           | Sale<br>(Full) | \$1,001 - \$15,000 | --      |
| 2 | 07/19/2024       | Child | --     | CONCENTRIX CORP NOTE<br>Rate/Coupon: 6.85%<br>Matures: 08/02/2033          | Purchase       | \$1,001 - \$15,000 | --      |
| 3 | 10/15/2024       | Child | --     | First Horizon Corporation Note<br>Rate/Coupon: 4.0%<br>Matures: 05/26/2025 | Sale<br>(Full) | \$1,001 - \$15,000 | --      |

| #  | Transaction Date | Owner | Ticker | Asset Name   | Purchase Type | Amount             | Comment |
|----|------------------|-------|--------|--|---------------|--------------------|---------|
| 4  | 10/15/2024       | Child | --     | Blackstone Secd Lending FD Note<br>Rate/Coupon: 5.35%<br>Matures: 04/13/2028   | Purchase      | \$1,001 - \$15,000 | --      |
| 5  | 10/17/2024       | Child | --     | Enstar Finance LLC<br>Rate/Coupon: 5.5%<br>Matures: 01/15/2042                 | Purchase      | \$1,001 - \$15,000 | --      |
| 6  | 10/17/2024       | Child | --     | Autodesk Inc Note<br>Rate/Coupon: 2.4%<br>Matures: 12/15/2031                  | Sale (Full)   | \$1,001 - \$15,000 | --      |
| 7  | 10/17/2024       | Child | --     | Yamana Gold Inc Ser B Note<br>Rate/Coupon: 2.63%<br>Matures: 08/15/2031        | Sale (Full)   | \$1,001 - \$15,000 | --      |
| 8  | 10/17/2024       | Child | --     | General Motors Company Note<br>Rate/Coupon: 6.6%<br>Matures: 04/01/2036        | Purchase      | \$1,001 - \$15,000 | --      |
| 9  | 10/24/2024       | Child | --     | Kyndryl Holdings Inc. Note<br>Rate/Coupon: 6.35%<br>Matures: 02/20/2034        | Sale (Full)   | \$1,001 - \$15,000 | --      |
| 10 | 10/24/2024       | Child | --     | V F Corp Note Call Make Whole<br>Rate/Coupon: 2.95%<br>Matures: 04/23/2030     | Purchase      | \$1,001 - \$15,000 | --      |
| 11 | 10/25/2024       | Child | --     | Kyndryl Hldgs Inc. Ser B Note<br>Rate/Coupon: 4.1%<br>Matures: 10/15/2041      | Purchase      | \$1,001 - \$15,000 | --      |
| 12 | 10/25/2024       | Child | --     | Kyndryl Hldgs Inc Ser B Note<br>Rate/Coupon: 2.7%<br>Matures: 10/15/2028       | Sale (Full)   | \$1,001 - \$15,000 | --      |
| 13 | 11/21/2024       | Child | --     | IKON OFFICE SOLUTIONS INC<br>BOND<br>Rate/Coupon: 6.75%<br>Matures: 12/01/2025 | Purchase      | \$1,001 - \$15,000 | --      |

### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

| # | Owner | Ticker | Asset Name  | Transaction Type | Transaction Date | Amount                   | Comments                   |
|---|-------|--------|---|------------------|------------------|--------------------------|----------------------------|
| 1 | Child | --     | Nokia Corp Note; CPN 6.625%;<br>Matures: 06/06/2024 | Purchase         | 06/06/2024       | \$1,001<br>-<br>\$15,000 | Paper PTR filed on 7/12/24 |

| #  | Owner | Ticker                | Matures<br>05/15/2039<br>Asset Name                                | Transaction<br>Type | Transaction<br>Date | Amount                   | Comments                   |
|----|-------|-----------------------|--|---------------------|---------------------|--------------------------|----------------------------|
| 2  | Child | --                    | Freeport<br>McMoran<br>Inc.; CPN<br>9.5%;<br>Matures<br>06/01/2031 | Purchase            | 06/21/2024          | \$1,001<br>-<br>\$15,000 | Paper PTR filed on 7/12/24 |
| 3  | Child | <a href="#">BIBDX</a> | Blackrock<br>Global<br>Dividend Ptf<br>Institutional               | Sale<br>(Partial)   | 07/23/2024          | \$1,001<br>-<br>\$15,000 | n/a                        |
| 4  | Child | <a href="#">BIBDX</a> | Blackrock<br>Global<br>Dividend Ptf<br>Institutional               | Sale (Full)         | 10/30/2024          | \$1,001<br>-<br>\$15,000 | n/a                        |
| 5  | Child | <a href="#">BHYIX</a> | Blackrock<br>High Yield<br>Portfolio -<br>Institutional            | Sale<br>(Partial)   | 04/10/2024          | \$1,001<br>-<br>\$15,000 | n/a                        |
| 6  | Child | <a href="#">MBB</a>   | iShares<br>MBS ETF   | Sale (Full)         | 02/02/2024          | \$1,001<br>-<br>\$15,000 | n/a                        |
| 7  | Child | <a href="#">VYM</a>   | Vanguard<br>High<br>Dividend<br>Yield ETF                          | Sale<br>(Partial)   | 02/02/2024          | \$1,001<br>-<br>\$15,000 | n/a                        |
| 8  | Child | <a href="#">IGSB</a>  | iShares 1-5<br>Year<br>Investment<br>Grade<br>Corporate<br>Bond E  | Sale (Full)         | 07/23/2024          | \$1,001<br>-<br>\$15,000 | n/a                        |
| 9  | Child | <a href="#">DGRO</a>  | iShares<br>Core<br>Dividend<br>Growth ETF                          | Sale<br>(Partial)   | 10/30/2024          | \$1,001<br>-<br>\$15,000 | n/a                        |
| 10 | Child | <a href="#">CLOA</a>  | iShares<br>AAA CLO<br>Active ETF                                   | Purchase            | 04/12/2024          | \$1,001<br>-<br>\$15,000 | n/a                        |
| 11 | Child | <a href="#">BINC</a>  | iShares<br>Flexible<br>Income<br>Active ETF                        | Purchase            | 02/06/2024          | \$1,001<br>-<br>\$15,000 | n/a                        |
| 12 | Child | <a href="#">BFRIX</a> | Blackrock<br>Floating  | Purchase            | 04/11/2024          | \$1,001<br>-             | n/a                        |

| #  | Owner | Ticker               | Asset Name<br>Portfolio -<br>Insti                                | Transaction<br>Type | Transaction<br>Date | Accounting<br>Rate<br>Income<br>Amount | Comments |
|----|-------|----------------------|---|---------------------|---------------------|--|----------|
|    |       |                      |   |                     |                     | \$15,000                               |          |
| 13 | Child | <a href="#">BIDD</a> | Blackrock<br>International<br>Dividend<br>ETF                     | Purchase            | 07/23/2024          | \$1,001<br>-<br>\$15,000               | n/a      |
| 14 | Child | <a href="#">BALI</a> | iShares<br>Advantage<br>Large Cap<br>Income ETF                   | Purchase            | 10/31/2024          | \$1,001<br>-<br>\$15,000               | n/a      |
| 15 | Child | <a href="#">HYGW</a> | iShares<br>Trust<br>iShares<br>High Yield<br>Corporate<br>Bond Bu | Purchase            | 10/31/2024          | \$1,001<br>-<br>\$15,000               | n/a      |
| 16 | Child | <a href="#">IGRO</a> | iShares<br>International<br>Dividend<br>Growth ETF                | Purchase            | 10/31/2024          | \$1,001<br>-<br>\$15,000               | n/a      |
| 17 | Child | <a href="#">QLTA</a> | iShares Aaa<br>A Rated<br>Corporate<br>Bond ETF                   | Purchase            | 10/31/2024          | \$1,001<br>-<br>\$15,000               | n/a      |
| 18 | Child | <a href="#">SCHY</a> | Schwab<br>International<br>Dividend<br>Equity ETF                 | Purchase            | 02/06/2024          | \$1,001<br>-<br>\$15,000               | n/a      |

### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

### Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? **No**

### Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

| # | Incurred | Debtor | Type     | Points | Rate (Term)       | Amount              | Creditor   | Comments |
|---|----------|--------|----------|--------|-------------------|---------------------|--|----------|
| 1 | 2005     | Self   | Mortgage | None   | 7.250% (30 years) | \$15,001 - \$50,000 | Nationstar Mortgage LLC d/b/a Mr. Cooper Coppell, TX | n/a      |

### Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **No**

### Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

| # | Date     | Parties Involved                  | Type              | Status and Terms   | Comments |
|---|----------|-----------------------------------|-------------------|--|----------|
| 1 | Jan 2024 | Penguin Random House New York, NY | Royalty Agreement | Agreement to receive book advance and royalty payments based on customary and usual terms for publication of an untitled book. | n/a      |

### Part 10. Compensation

*Only required if you are a candidate or this is your first report* Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

### Attachments & Comments

*No attachments added.*

*No comments added.*

