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Annual Report for Calendar 2024

The Honorable John Boozman (Boozman, John)

Filed 07/23/2025 @ 1:26 PM

Print Report

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

| # | Who Was Paid | Type | Who Paid | Amount Paid | Comments |
|---|--------------|------------|--------------------------------------|-------------|----------|
| 1 | Self | Retirement | John Boozman IRA Rogers, Arkansas | \$66,426.66 | n/a |

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

| | Asset | Asset Type | Owner | Value | Income Type | Income |
|---|--|--------------|-------|-------------------------|-------------|--------------------------|
| 1 | Arvest (Bentonville, Arkansas) Type: Checking | Bank Deposit | Joint | \$50,001 - \$100,000 | Interest, | None (or less than |

| | Type: Checking, Asset | Asset Type | Owner | Value | Income Type | None \$201 |
|-----|--|--|-------|-----------------------------------|-------------|------------------------------------|
| 2 | Fed Credit Union (Washington, DC) Type: Checking, Savings, | Bank Deposit | Joint | \$1,001 - \$15,000 | Interest, | None (or less than \$201) |
| 3 | Senate Credit Union (Washington, DC) Type: Checking, | Bank Deposit | Self | \$1,001 - \$15,000 | Interest, | None (or less than \$201) |
| 4 | Merrill Lynch Account | Brokerage/Managed Account | Joint | | | |
| 4.1 | MADCX - Blackrock Emerging Markets Fund Class I | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.2 | MAHQX - Blackrock Total Return Fund Class I | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.3 | EFV - iShares MSCI EAFE Value ETF | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.4 | EFG - iShares MSCI EAFE Growth ETF | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.5 | LQD - iShares iBoxx \$ Investment Grade Corporate Bond ET | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.6 | TLT - iShares 20+ Year Treasury Bond ETF | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.7 | TIP - iShares TIPS Bond ETF | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.8 | MBB - iShares MBS ETF | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.9 | TFLO - iShares Treasury Floating Rate Bond | Mutual Funds | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |

| ID | Asset | Investment Strategy / Holding Rate | Asset Type | Owner | Value (or less than \$1,001) | Income Type | Value (or less than \$201) |
|------|--|------------------------------------|--|-------|------------------------------|-------------|----------------------------|
| 4.10 | IVV - iShares Core S&P 500 ETF | | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.11 | IYW - iShares U.S. Technology ETF | | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.12 | IWW - iShares S&P 500 Growth ETF | | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.13 | OEF - iShares S&P 100 ETF | | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.14 | QUAL - iShares MSCI USA Quality Factor ETF | | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.15 | MRGRX - MFS Core Equity Fund Class I | | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.16 | PONPX - Pimco Income Fund Class I-2 | | Mutual Funds Exchange Traded Fund/Note | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 4.17 | U.S. Treasury Bills Rate/Coupon: None Matures: None | | Government Securities US Treasury/Agency Security | Joint | \$50,001 - \$100,000 | Interest, | \$2,501 - \$5,000 |
| 5 | Invesco Short Term MM (Atlanta, GA) Type: Money Market Account, | | Bank Deposit | Joint | \$1,001 - \$15,000 | Interest, | \$201 - \$1,000 |
| 6 | ABG Bond Fund | | Mutual Funds Mutual Fund | Joint | \$100,001 - \$250,000 | Interest, | \$2,501 - \$5,000 |
| 7 | ABG Equity Fund | | Mutual Funds Mutual Fund | Joint | \$50,001 - \$100,000 | Interest, | \$1,001 - \$2,500 |

| | Asset | Asset Type | Owner | Value | Income Type | Income |
|------|--|--|-------|-----------------------------------|-------------|------------------------------------|
| 8 | John Boozman IRA | Retirement Plans IRA | Joint | | | |
| 8.1 | TBLL - Invesco Short Term Treasury ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$50,001 - \$100,000 | Interest, | \$201 - \$1,000 |
| 8.2 | CMCSA - Comcast Corporation - Class A Common Stock | Corporate Securities Stock | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 8.3 | EBAY - Ebay Inc | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.4 | ECL - Ecolab Inc. Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.5 | FBIN - Fortune Brands Innovations, Inc. Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.6 | LOW - Lowe's Companies, Inc. Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.7 | OMC - Omnicom Group Inc. Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.8 | QSR - Restaurant Brands International Inc. Common Shares | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.9 | MDLZ - Mondelez International, Inc. - Class A Common Stoc | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.10 | PG - Procter & Gamble Company | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.11 | COP - Conocophillips | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |

| Asset | Asset Type | Owner | Value | Income Type | \$201 Income |
|--|-------------------------------|-------|-----------------------------------|-------------|------------------------------------|
| 8.12 HES - Hess Corp | Corporate Securities Stock | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |
| 8.13 VLO - Valero Energy Corporation Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.14 BAC - Bank of America Corporation Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.15 COF - Capital One Financial Corp | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.16 JPM - JP Morgan Chase & Co. Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.17 TRV - The Travelers Companies, Inc. Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.18 ABBV - AbbVie Inc. Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.19 GILD - Gilead Sciences Inc | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.20 IQV - IQVIA Holdings, Inc. Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.21 JNJ - Johnson & Johnson | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.22 PFE - Pfizer Inc | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |

| | | | | | | |
|------|--|---|----------------|-----------------------------------|----------------------|--|
| 8.23 | Asset GD - General Dynamics Corporation Common Stock | Asset Type Corporate Securities Stock | Owner Joint | Value \$1,001 - \$15,000 | Income Type None, | Income None (or less than \$201) |
| 8.24 | HON - Honeywell International Inc. Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.25 | UNP - Union Pacific Corp | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.26 | ACN - Accenture plc Class A Ordinary Shares (Ireland) | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.27 | AAPL - Apple Inc | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.28 | CSCO - Cisco Systems Inc | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.29 | FI - Fiserv Inc | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.30 | HPQ - HP Inc. Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.31 | LRCX - Lam Research Corporation - Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.32 | MU - Micron Technology | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.33 | SWKS - Skyworks Solutions, Inc. - Common Stock | Corporate Securities Stock | Joint | None (or less than \$1,001) | None, | None (or less than \$201) |

| | | | | | | |
|------|---|--|----------------|--------------------------------|----------------------|--|
| 8.34 | DIS - Walt Disney Company (The) Common Asset Stock | Corporate Securities Asset Type Stock | Joint Owner | \$1,001 - Value \$15,000 | None, Income Type | None Income (or less than \$201) |
| 8.35 | DTE - Dte Energy Company | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.36 | WWSYX - Westwood Smallcap Fund Class Y | Mutual Funds Exchange Traded Fund/Note | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 8.37 | EMLP - First Trust North American Energy Infrastructure F | Mutual Funds Exchange Traded Fund/Note | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 8.38 | FLJP - Franklin FTSE Japan ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 8.39 | GEM - GS Activebeta Emerging Markets Equity ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 8.40 | QQQ - Invesco QQQ Trust, Series 1 | Mutual Funds Mutual Fund | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.41 | EFA - iShares MSCI EAFE ETF | Mutual Funds Mutual Fund | Joint | \$50,001 - \$100,000 | None, | None (or less than \$201) |
| 8.42 | IWM - iShares Russell 2000 ETF | Mutual Funds Mutual Fund | Joint | \$50,001 - \$100,000 | None, | None (or less than \$201) |
| 8.43 | IVW - iShares S&P 500 Growth ETF | Mutual Funds Mutual Fund | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 8.44 | BSPIX - Ishares S&P 500 Index Fund - Institutional | Mutual Funds Mutual Fund | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 8.45 | BBEU - JPMorgan BetaBuilders Europe ETF | Mutual Funds Exchange Traded | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |

| Asset | Exchange Traded Fund/Note | Owner | \$50,000 Value | Income Type | (or less than \$201) |
|-------|---|---|----------------|-----------------------------|---------------------------|
| 8.46 | NMVZX - Nuance Mid Cap Value Fund Z Class | Mutual Funds Mutual Fund | Joint | None (or less than \$1,001) | None (or less than \$201) |
| 8.47 | TPYP - Tortoise North American Pipeline ETF | Mutual Funds Mutual Fund | Joint | \$50,001 - \$100,000 | None (or less than \$201) |
| 8.48 | MDY - S&P Midcap 400 SPDR | Mutual Funds Exchange Traded Fund/Note | Joint | \$50,001 - \$100,000 | None (or less than \$201) |
| 8.49 | SPY - S&P 500 SPDR | Mutual Funds Exchange Traded Fund/Note | Joint | \$1,001 - \$15,000 | None (or less than \$201) |
| 8.50 | KRE - SPDR S&P Regional Banking ETF | Mutual Funds Mutual Fund | Joint | \$1,001 - \$15,000 | None (or less than \$201) |
| 8.51 | EMRZX - Emerging Markets Fund Class Z | Mutual Funds Mutual Fund | Joint | \$15,001 - \$50,000 | None (or less than \$201) |
| 8.52 | VWO - Vanguard FTSE Emerging Markets ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$1,001 - \$15,000 | None (or less than \$201) |
| 8.53 | VEA - Vanguard FTSE Developed Markets ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$50,001 - \$100,000 | None (or less than \$201) |
| 8.54 | GBIL - Goldman Sachs Access Treasury 0-1 Year ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$15,001 - \$50,000 | None (or less than \$201) |
| 8.55 | TLT - iShares 20+ Year Treasury Bond ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$15,001 - \$50,000 | None (or less than \$201) |
| 8.56 | IEF - iShares 7-10 Year Treasury Bond ETF | Mutual Funds Mutual Fund | Joint | \$1,001 - \$15,000 | None (or less than \$201) |

| Asset | Asset Type | Owner | Value | Income Type | max. Income (\$201) |
|--|--|-------|-----------------------------|-------------|------------------------------------|
| 8.57 SHY - iShares 1-3 Year Treasury Bond ETF | Mutual Funds Mutual Fund | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 8.58 AGZ - iShares Agency Bond ETF | Mutual Funds Mutual Fund | Joint | \$50,001 - \$100,000 | None, | None (or less than \$201) |
| 8.59 TLH - iShares 10-20 Year Treasury Bond ETF | Mutual Funds Mutual Fund | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 8.60 IEI - iShares 3-7 Year Treasury Bond ETF | Mutual Funds Mutual Fund | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 8.61 SCHP - Schwab U.S. TIPS ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 8.62 DBLEX - Doubleline Emerging Markets Income Fund Class I | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.63 GIGB - Goldman Sachs Access Investment Grade Corporate Bo | Corporate Securities Stock | Joint | \$100,001 - \$250,000 | None, | None (or less than \$201) |
| 8.64 MBB - iShares MBS ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$50,001 - \$100,000 | None, | None (or less than \$201) |
| 8.65 CMBS - CMBS Ishares ETF | Mutual Funds Mutual Fund | Joint | \$50,001 - \$100,000 | None, | None (or less than \$201) |
| 8.66 JMBS - Janus Henderson Mortgage-Backed Securities ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$100,001 - \$250,000 | None, | None (or less than \$201) |
| 8.67 PALL - abrdn Physical Palladium Shares ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |

| | Asset | Asset Type | Owner | Value | Income Type | Income |
|------|--|--|-------|------------------------|-------------|------------------------------------|
| 8.68 | PPLT - abrdn Physical Platinum Shares ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.69 | SLV - iShares Silver Trust | Mutual Funds Mutual Fund | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.70 | VNQI - Vanguard Global ex-U.S. Real Estate ETF | Mutual Funds Exchange Traded Fund/Note | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.71 | GOOGL - Alphabet Inc. - Class A Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.72 | AMAT - Applied Materials, Inc. - Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.73 | MSFT - Microsoft Corp | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.74 | MSI - Motorola Solutions, Inc. Common Stock | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.75 | AAXJ - iShares MSCI All Country Asia ex Japan ETF | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.76 | SCZ - iShares MSCI EAFE Small-Cap ETF | Corporate Securities Stock | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 8.77 | DALCX - Dean Mid Cap Value Fund No-Load | Corporate Securities Stock | Joint | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 8.78 | FTGC - First Trust Global Tactical Commodity Strategy Fun | Corporate Securities Stock | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |

| | | | | | | |
|------|---|---|----------------|--------------------------------------|--------------------------|------------------------------------|
| 9 | Marcus Asset (Draper, Utah) <i>Type: Savings,</i> | Bank Deposit Asset Type | Joint Owner | \$100,001 Value - \$250,000 | Interest, Income Type | \$2,501 Income - |
| 10 | Rocky Branch Resorts, LLC (1/4 interest) <i>Description: Rental property and undeveloped land (Rogers, Arkansas)</i> | Real Estate Commercial | Joint | \$250,001 - \$500,000 | Rent/Royalties, | \$15,001 - \$50,000 |
| 11 | Northwestern Mutual Whole Life <i>Provider: Northwestern Mutual</i> | Life Insurance Whole | Self | \$50,001 - \$100,000 | Dividends, | \$1,001 - \$2,500 |
| 12 | Cotswalds Partners, LLC (1/3 Interest) <i>Company: Cotswalds Partners, LLC (1/3 interest) (Rogers, Arkansas) Description: Real Estate Unimproved Land</i> | Business Entity Limited Liability Company (LLC) | Joint | | | |
| 12.1 | Lot M <i>Description: Unimproved Land (Rogers, Arkansas)</i> | Real Estate Unimproved Land | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 12.2 | Lot N <i>Description: Unimproved Land (Rogers, Arkansas)</i> | Real Estate Unimproved Land | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 12.3 | Lot R <i>Description: Unimproved Land (Rogers, Arkansas)</i> | Real Estate Unimproved Land | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 12.4 | Lot T <i>Description: Unimproved Land (Rogers, Arkansas)</i> | Real Estate Unimproved Land | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 12.5 | Lot U <i>Description: Unimproved Land (Rogers, Arkansas)</i> | Real Estate Unimproved Land | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 12.6 | Lot Y <i>Description: Unimproved Land (Rogers, Arkansas)</i> | Real Estate Unimproved Land | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 12.7 | Lot Z <i>Description: Unimproved Land (Rogers, Arkansas)</i> | Real Estate Unimproved Land | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |
| 12.8 | Lot HH <i>Description: Unimproved Land (Rogers, Arkansas)</i> | Real Estate Unimproved Land | Joint | \$15,001 - \$50,000 | None, | None (or less than \$201) |

| | | | | | | |
|------|--|---------------------------|-----------------|---------------------------------|----------------------|--|
| 12.9 | Asset Lot II | Asset Type Real Estate | Owner Joint | Value \$15,001 - \$50,000 | Income Type None, | Income None (or less than \$201) |
| | <i>Description:</i> Unimproved Land (Rogers, Arkansas) | | Unimproved Land | | | |

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

| # | Transaction Date | Owner | Ticker | Asset Name | Type | Amount | Comment |
|----|------------------|-------|--------|--|-------------------|------------------------|---------|
| 1 | 02/20/2024 | Joint | TLH | iShares 10-20 Year Treasury Bond ETF | Purchase | \$1,001 - \$15,000 | -- |
| 2 | 02/20/2024 | Joint | SCHP | Schwab U.S. TIPS ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 3 | 02/20/2024 | Joint | TLT | iShares 20+ Year Treasury Bond ETF | Purchase | \$1,001 - \$15,000 | -- |
| 4 | 02/20/2024 | Joint | IEF | iShares 7-10 Year Treasury Bond ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 5 | 03/28/2024 | Joint | AMAT | Applied Materials | Purchase | \$1,001 - \$15,000 | -- |
| 6 | 03/28/2024 | Joint | HES | Hess Corp | Sale (Full) | \$1,001 - \$15,000 | -- |
| 7 | 04/11/2024 | Joint | SCZ | iShares MSCI EAFE Small-Cap ETF | Purchase | \$15,001 - \$50,000 | -- |
| 8 | 04/11/2024 | Joint | EFA | iShares MSCI EAFE ETF | Sale (Partial) | \$15,001 - \$50,000 | -- |
| 9 | 04/16/2024 | Joint | JMBS | Janus Henderson Mortgage-Backed Securities ETF | Purchase | \$1,001 - \$15,000 | -- |
| 10 | 04/16/2024 | Joint | CMBS | iShares CMBS Bond ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 11 | 05/31/2024 | Joint | EFA | iShares MSCI EAFE ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 12 | 05/31/2024 | Joint | IVW | iShares S&P 500 Growth ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 13 | 05/31/2024 | Joint | GIGB | Goldman Sachs Access Investment Grade Corporate Bo | Purchase | \$1,001 - \$15,000 | -- |
| 14 | 06/04/2024 | Joint | MDY | SPDR MidCap Trust Series I | Sale | \$1,001 - | -- |

| # | Date | Owner | Ticker | Asset Name | Type | Amount | Comment |
|----|------------|-------|--------|--|----------------|---------------------|---------|
| | | | | | (Partial) | \$15,000 | |
| 15 | 06/04/2024 | Joint | GEM | Goldman Sachs ActiveBeta Emerging Markets Equity E | Purchase | \$1,001 - \$15,000 | -- |
| 16 | 06/04/2024 | Joint | NMVZX | Nuance Mid Cap Value Fund Z Class | Purchase | \$1,001 - \$15,000 | -- |
| 17 | 06/04/2024 | Joint | SPY | S&P 500 SPDR | Purchase | \$1,001 - \$15,000 | -- |
| 18 | 06/04/2024 | Joint | VEA | Vanguard FTSE Developed Markets ETF | Purchase | \$15,001 - \$50,000 | -- |
| 19 | 06/04/2024 | Joint | EFA | iShares MSCI EAFE ETF | Sale (Partial) | \$15,001 - \$50,000 | -- |
| 20 | 06/20/2024 | Joint | MSFT | Microsoft Corp | Purchase | \$1,001 - \$15,000 | -- |
| 21 | 06/20/2024 | Joint | CMCSA | Comcast Corporation - Class A Common Stock | Sale (Full) | \$1,001 - \$15,000 | -- |
| 22 | 06/24/2024 | Joint | DALCX | Dean Mid Cap Value Fund No-Load | Purchase | \$1,001 - \$15,000 | -- |
| 23 | 06/24/2024 | Joint | NMVZX | Nuance Mid Cap Value Fund Z Class | Sale (Full) | \$1,001 - \$15,000 | -- |
| 24 | 06/26/2024 | Joint | SCHP | Schwab U.S. TIPS ETF | Purchase | \$1,001 - \$15,000 | -- |
| 25 | 06/26/2024 | Joint | IEF | iShares 7-10 Year Treasury Bond ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 26 | 06/26/2024 | Joint | SHY | iShares 1-3 Year Treasury Bond ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 27 | 06/26/2024 | Joint | JMBS | Janus Henderson Mortgage-Backed Securities ETF | Purchase | \$1,001 - \$15,000 | -- |
| 28 | 06/26/2024 | Joint | TLH | iShares 10-20 Year Treasury Bond ETF | Purchase | \$1,001 - \$15,000 | -- |
| 29 | 07/04/2024 | Joint | IVV | iShares Core S&P 500 ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 30 | 07/18/2024 | Joint | VEA | Vanguard FTSE Developed Markets ETF | Purchase | \$1,001 - \$15,000 | -- |
| 31 | 07/18/2024 | Joint | MDY | SPDR MidCap Trust Series I | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 32 | 07/26/2024 | Joint | LRCX | Lam Research Corporation - Common Stock | Sale (Partial) | \$1,001 - \$15,000 | -- |

| Transaction ID | Transaction Date | Counter | Symbol | Instrument | Type | Amount | Comment |
|----------------|------------------|---------|--------|--|----------|---------------------|---------|
| 33 | 07/26/2024 | Joint | GOOGL | Alphabet Inc. - Class A Common Stock | Purchase | \$1,001 - \$15,000 | |
| 34 | 07/26/2024 | Joint | MSI | Motorola Solutions, Inc. Common Stock | Purchase | \$1,001 - \$15,000 | -- |
| 35 | 09/06/2024 | Joint | PALL | Aberdeen Standard Physical Palladium Shares ETF | Purchase | \$1,001 - \$15,000 | -- |
| 36 | 09/06/2024 | Joint | PPLT | Aberdeen Standard Physical Platinum Shares ETF | Purchase | \$1,001 - \$15,000 | -- |
| 37 | 09/06/2024 | Joint | FTGC | First Trust Global Tactical Commodity Strategy Fun | Purchase | \$15,001 - \$50,000 | -- |
| 38 | 09/06/2024 | Joint | GIGB | Goldman Sachs Access Investment Grade Corporate Bo | Purchase | \$1,001 - \$15,000 | -- |
| 39 | 09/06/2024 | Joint | TBILL | Invesco Short Term Treasury ETF | Purchase | \$15,001 - \$50,000 | -- |
| 40 | 09/06/2024 | Joint | AGZ | iShares Agency Bond ETF | Purchase | \$1,001 - \$15,000 | -- |
| 41 | 09/06/2024 | Joint | MBB | MBS Ishares ETF | Purchase | \$1,001 - \$15,000 | -- |
| 42 | 09/06/2024 | Joint | TLT | iShares 20+ Year Treasury Bond ETF | Purchase | \$1,001 - \$15,000 | -- |
| 43 | 09/06/2024 | Joint | IEI | iShares 3-7 Year Treasury Bond ETF | Purchase | \$1,001 - \$15,000 | -- |
| 44 | 09/06/2024 | Joint | CMBS | iShares CMBS Bond ETF | Purchase | \$1,001 - \$15,000 | -- |
| 45 | 09/06/2024 | Joint | TLH | iShares 10-20 Year Treasury Bond ETF | Purchase | \$1,001 - \$15,000 | -- |
| 46 | 09/06/2024 | Joint | SHY | iShares 1-3 Year Treasury Bond ETF | Purchase | \$1,001 - \$15,000 | -- |
| 47 | 09/06/2024 | Joint | IVV | iShares Core S&P 500 ETF | Purchase | \$1,001 - \$15,000 | -- |
| 48 | 09/06/2024 | Joint | IEF | iShares 7-10 Year Treasury Bond ETF | Purchase | \$1,001 - \$15,000 | -- |
| 49 | 09/06/2024 | Joint | JMBS | Janus Henderson Mortgage-Backed Securities ETF | Purchase | \$1,001 - \$15,000 | -- |
| 50 | 09/06/2024 | Joint | SCHP | Schwab U.S. TIPS ETF | Purchase | \$1,001 - \$15,000 | -- |

| 51 | 09/06/2024 | Joint | WWSYX | Westwood Quality Smallcap Fund - Ultra | Sale | \$1,001 - | -- |
|----|------------|-------|--------|--|----------------|---------------------|---------|
| # | Date | Owner | Ticker | Share Name | (Partial) | \$15,000 | Comment |
| 52 | 09/06/2024 | Joint | EMLP | First Trust North American Energy Infrastructure F | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 53 | 09/06/2024 | Joint | TPYP | Tortoise North American Pipeline ETF | Sale (Partial) | \$15,001 - \$50,000 | -- |
| 54 | 09/06/2024 | Joint | VNQI | Vanguard Global ex-U.S. Real Estate ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 55 | 09/09/2024 | Joint | EFA | iShares MSCI EAFE ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 56 | 09/09/2024 | Joint | MDY | S&P Midcap 400 SPDR | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 57 | 09/09/2024 | Joint | VEA | Vanguard FTSE Developed Markets ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 58 | 09/26/2024 | Joint | FI | Fiserv Inc | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 59 | 10/06/2024 | Joint | IVV | iShares Core S&P 500 ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 60 | 10/09/2024 | Joint | IWM | iShares Russell 2000 ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 61 | 11/13/2024 | Joint | SPY | SPDR S&P 500 | Purchase | \$1,001 - \$15,000 | -- |
| 62 | 11/20/2024 | Joint | AAXJ | iShares MSCI All Country Asia ex Japan ETF | Purchase | \$1,001 - \$15,000 | -- |
| 63 | 11/20/2024 | Joint | SWKS | Skyworks Solutions, Inc. - Common Stock | Sale (Full) | \$1,001 - \$15,000 | -- |
| 64 | 11/20/2024 | Joint | BBEU | JPMorgan BetaBuilders Europe ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 65 | 11/20/2024 | Joint | VWO | Vanguard FTSE Emerging Markets ETF | Sale (Partial) | \$1,001 - \$15,000 | -- |
| 66 | 12/23/2024 | Joint | AMAT | Applied Materials, Inc. - Common Stock | Sale (Partial) | \$1,001 - \$15,000 | -- |

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

| # | Owner | Ticker | Asset Name | Transaction Type | Transaction Date | Amount | Comments |
|----|-------|-----------------------|---|------------------|------------------|--------------------------|----------|
| 1 | Joint | MADCX | Blackrock Emerging Markets Fund Class I | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 2 | Joint | MAHQX | Blackrock Total Return Fund Class I | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 3 | Joint | EFV | iShares MSCI EAFE Value ETF | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 4 | Joint | EFG | iShares MSCI EAFE Growth ETF | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 5 | Joint | LQD | iShares iBoxx \$ Investment Grade Corporate Bond ET | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 6 | Joint | TLT | iShares 20+ Year Treasury Bond ETF | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 7 | Joint | TIP | iShares TIPS Bond ETF | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 8 | Joint | MBB | iShares MBS ETF | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 9 | Joint | TFLO | iShares Treasury Floating Rate Bond ETF | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 10 | Joint | IVV | iShares Core S&P 500 ETF | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |

| 11 # | Joint Owner | IYW Ticker | iShares Name | Sale (Full) Type | 01/23/2024 Date | \$1,001 Amount | n/a Comments |
|------|-------------|-------------------------------|--|---------------------|--------------------|--------------------------|-----------------|
| | | | Technology ETF | | | \$15,000 | |
| 12 | Joint | IYW | iShares S&P 500 Growth ETF | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 13 | Joint | OEF | iShares S&P 100 ETF | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 14 | Joint | QUAL | iShares MSCI USA Quality Factor ETF | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 15 | Joint | MRGRX | MFS Core Equity Fund Class I | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |
| 16 | Joint | PONPX | Pimco Income Fund Class I-2 | Sale (Full) | 01/23/2024 | \$1,001 - \$15,000 | n/a |

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

| # | Incurred | Debtor | Type | Points | Rate (Term) | Amount | Creditor | Comments |
|---|----------|--------|----------|--------|---------------------|-------------------------|------------------------------------|--|
| 1 | 2016 | Joint | Mortgage | 0 | 3.37% (30 years) | \$250,001 -\$500,000 | Truist Baltimore, MD | n/a |
| 2 | 2021 | Joint | Mortgage | 0 | 4.25% (3 years) | \$100,001 -\$250,000 | Signature Bank Rogers, AR | Mortgage Rocky Branch Resort, Undeveloped Land |
| 3 | 2020 | Joint | Mortgage | 0 | 4.75% (30 years) | \$100,001 -\$250,000 | Signature Bank Rogers, AR | Mortgage Rocky Branch Resort - Signers only |

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

| # | Position Dates | Position Held | Entity | Entity Type | Comments |
|---|---------------------|---------------|-----------------------------------|---------------------------------|----------|
| 1 | Mar 2008 to present | Partner | Cotswalls Partners Rogers, AR | Other (Real Estate Development) | n/a |
| 2 | Oct 2020 to present | Partner | Rocky Branch Resort Rogers, AR | Other (Rental Property) | n/a |

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

Only required if you are a candidate or this is your first report Did any person or entity pay more than \$5,000 to you or for services provided by you? **No**

Attachments & Comments

No attachments added.

No comments added.

