Filing ID #10067873

Financial Disclosure Report

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Filer Information

Name: Hon. Joe Courtney
Status: Member
State/District: CT02

Filing Information

Filing Type: Annual Report
Filing Year: 2024
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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Commonwealth Annuity and Life Insurance Co. "Exceptional Life Policy" [WU]		$1 - $1,000	None		☐
M&T Bank Accounts [BA]		$50,001 - $100,000	None		☐
Mass Mutual Whole Life Policy [WU]		$15,001 - $50,000	None		☐
Prudential Annuities (Inherited) [WU] Description: Final distribution in 2023	SP	None	Annuity Distribution	None	☐
Saint Francis Hospital and Medical Center Pension Plan [PE]		Undetermined	None		☐
Hartford Healthcare 401k ⇒ American Funds 2025 Target Date Retirement Fd Cl R-6 (RFDTX) [MF] Description: This asset was inadvertently omitted from prior reports	SP	$15,001 - $50,000	Tax-Deferred		☐
Inherited Brokerage Account ⇒ Blackrock Emerging Markets Fund - MADCX [MF]	SP	None	Capital Gains, Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Inherited Brokerage Account ⇒ Blackrock International Fund [MF]	SP	None	Capital Gains	$1 - $200	☑
Inherited Brokerage Account ⇒ BlackRock National Muni I (MANLX) [MF]	SP	None	Dividends	$201 - $1,000	☑
Inherited Brokerage Account ⇒ BlackRock Science & Technology Opportunities Portf Insti Class (BGSIX) [MF]	SP	None	Capital Gains	$2,501 - $5,000	☑
Inherited Brokerage Account ⇒ Invesco National AMT-Free Municipal Bond ETFo (PZA) [EF]	SP	$15,001 - $50,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ iShares 0-3 Month Treasury Bond ETF (SGOV) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ iShares 20+ Year Treasury Bond ETF (TLT) [EF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Inherited Brokerage Account ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ IShares Core S&P 500 - IVV [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	☑
Inherited Brokerage Account ⇒ iShares Global Energy ETF (IXC) [EF]	SP	None	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ iShares MBS ETF (MBB) [EF]	SP	$1,001 - $15,000	Interest	$1 - $200	☑
Inherited Brokerage Account ⇒ iShares MSCI EAFE Growth ETF (EFG) [EF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Inherited Brokerage Account ⇒ iShares MSCI EAFE Value ETF (EFV) [EF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Inherited Brokerage Account ⇒ iShares MSCI Emerging Markets ex China ETF (EMXC) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF]	SP	None	Capital Gains	$1,001 - $2,500	☑
Inherited Brokerage Account ⇒	SP	$1,001 - $15,000	Capital Gains,	$201 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
iShares MSCI USA Quality Factor ETF (QUAL) [EF]			Dividends	$1,000	
Inherited Brokerage Account ⇒ iShares National Muni Bond ETF (MUB) [EF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Inherited Brokerage Account ⇒ iShares S&P 100 ETF (OEF) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited Brokerage Account ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	SP	$15,001 - $50,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ iShares Short-Term National Muni Bond ETF (SUB) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ iShares U.S. Equity Factor Rotation Active ETF (DYNF) [EF]	SP	$15,001 - $50,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ Materials Select Sector SPDR (XLB) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ MFS Core Equity Fund Class I (MRGRX) [MF]	SP	None	Capital Gains	$5,001 - $15,000	☑
Inherited Brokerage Account ⇒ PIMCO Municipal Bond Fund Class I-2 (PMUPX) [MF]	SP	None	Capital Gains, Dividends	$5,001 - $15,000	☑
Inherited Brokerage Account ⇒ SPDR Nuveen Bloomberg Short Term Municipal Bond ETF (SHM) [EF]	SP	$1,001 - $15,000	Interest	$1 - $200	☑
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	✓
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	✓
Inherited Brokerage Account ⇒ Sweep Account [IH]	SP	$1,001 - $15,000	Interest	None	
Inherited Brokerage Account ⇒ Vanguard Russell 2000 ETF (VTWO) [EF]	SP	$15,001 - $50,000	Dividends	$1 - $200	✓
Inherited Brokerage Account ⇒ Vanguard Utilities ETF (VPU) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	✓
Inherited IRA ⇒ Causeway Emerging Markets Fund Insti Cl (CEMIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ Clearbridge Large Cap Growth [MF]	SP	None	Tax-Deferred		✓
Inherited IRA ⇒ Clearbridge Large Cap Value [MF]	SP	None	Tax-Deferred		✓
Inherited IRA ⇒ Cohen & Steers Preferred Securities and Income Fund Inc. Class I (CPXIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ Cohen & Steers Real Estate Securities Fund, Inc. Class I (CSDIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Inherited IRA ⇒ Deposit Sweep Account [BA]	SP	$1,001 - $15,000	Tax-Deferred		
Inherited IRA ⇒ Franklin Investment Grade [MF] DESCRIPTION: Previously reported as Franklin Liberty IG Corp	SP	None	Tax-Deferred		
Inherited IRA ⇒ Franklin Small Cap Value Fund [EF]	SP	None	Tax-Deferred		✓
Inherited IRA ⇒ Franklin US Core Bond [MF]	SP	None	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: Name change from Franklin Liberty US Core Bond					
Inherited IRA ⇒ Franklin US Large Cap [MF]	SP	None	Tax-Deferred		☑
Inherited IRA ⇒ Global X MLP ETF (MLPA) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Inherited IRA ⇒ High Income Fund - I Class (AHIIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Inherited IRA ⇒ Invesco Preferred ETF (PGX) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Inherited IRA ⇒ Invesco RAFI Developed Markets ex-U.S. ETF (PXF) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Inherited IRA ⇒ IShares 0-5 Year High [MF]	SP	None	Tax-Deferred		☐
Inherited IRA ⇒ iShares International Select Dividend ETF (IDV) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Inherited IRA ⇒ iShares J.P. Morgan USD Emerging Markets Bond ETF (EMB) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Inherited IRA ⇒ iShares MSCI Emerging Markets ex China ETF (EMXC) [EF]	SP	None	Tax-Deferred		☑
Inherited IRA ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF]	SP	None	Tax-Deferred		☑
Inherited IRA ⇒ IShares US Treasury Bond [EF]	SP	None	Tax-Deferred		☑
Inherited IRA ⇒ JP Morgan Mortgage Backed Securities [EF]	SP	None	Tax-Deferred		☐
Inherited IRA ⇒ Loomis Sayles Senior Floating Rate and Fixed Income Fd Cl Y (LSFYX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Inherited IRA ⇒	SP	None	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Martin Currie Emerging Markets [MF]					
Inherited IRA ⇒ Royce Premier Fund Investment Class (RYPRX) [MF]	SP	None	Tax-Deferred		✓
Inherited IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ T. Rowe Price Floating Rate Fund, Inc. (PRFRX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ Templeton Foreign Fund [MF]	SP	None	Tax-Deferred		✓
Inherited IRA ⇒ Vanguard Emerging Markets Bond Fund Admiral Shares (VEGBX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ Vanguard FTSE Developed Markets [EF]	SP	None	Tax-Deferred		✓
Inherited IRA ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	None	Tax-Deferred		✓
Inherited IRA ⇒ Western Asset Core Plus [MF]	SP	None	Tax-Deferred		✓
Inherited IRA ⇒ Western Asset Short Term [MF]	SP	None	Tax-Deferred		
IRA ⇒ Brown Advisory Sustainable Growth Fd Inst Shs (BAFWX) [MF]		None	Tax-Deferred		✓
IRA ⇒ Calvert Small Cap [MF]		None	Tax-Deferred		✓
IRA ⇒ Calvert US Large Cap Value [EF]		None	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
IRA ⇒ CCM Community Impact Fund [EF]		None	Tax-Deferred		✓
IRA ⇒ Delaware Corporate Bond Fund [EF]		None	Tax-Deferred		✓
IRA ⇒ Goldman Sachs International Equity ESG Fund - Institutional Shares (GSIEX) [MF]		None	Tax-Deferred		✓
IRA ⇒ iShares 10-20 Year Treasury Bond ETF (TLH) [EF]		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]		$1,001 - $15,000	Tax-Deferred		✓
IRA ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF]		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ iShares ESG Aware MSCI EAFE ETF (ESGD) [EF] DESCRIPTION: Listed on prior reports as IShares ESG Aware MSCI		None	Tax-Deferred		✓
IRA ⇒ iShares ESG Aware MSCI EM ETF (ESGE) [EF]		None	Tax-Deferred		✓
IRA ⇒ IShares US Treasury Bond [EF]		None	Tax-Deferred		✓
IRA ⇒ J.P. Morgan Exchange-Traded Fund Trust JPMorgan Active Growth ETF (JGRO) [EF]		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ J.P. Morgan Exchange-Traded Fund Trust JPMorgan International Research Enhanced Equity ETF (JIRE) [EF]		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ JPMorgan Active Value ETF (JAVA) [EF]		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ JPMorgan ActiveBuilders Emerging Markets Equity ETF (JEMA) [EF]		$15,001 - $50,000	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
IRA ⇒ JPMorgan BetaBuilders International Equity ETF (BBIN) [EF]		$50,001 - $100,000	Tax-Deferred		✓
IRA ⇒ JPMorgan BetaBuilders U.S. Equity ETF (BBUS) [EF]		$100,001 - $250,000	Tax-Deferred		✓
IRA ⇒ JPMorgan BetaBuilders U.S. Mid Cap Equity ETF (BBMC) [EF]		$1,001 - $15,000	Tax-Deferred		✓
IRA ⇒ JPMorgan BetaBuilders USD High Yield Corporate Bond ETF (BBHY) [EF]		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ JPMorgan Core Plus Bond ETF (JCPB) [EF]		$50,001 - $100,000	Tax-Deferred		✓
IRA ⇒ JPMorgan Global Select Equity ETF (JGLO) [EF]		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ JPMorgan Income ETF (JPIE) [EF]		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ Nuveen ESG Large Cap Growth [MF]		None	Tax-Deferred		✓
IRA ⇒ Nuveen ESG Large Cap Value [MF]		None	Tax-Deferred		✓
IRA ⇒ Schwab Long-Term U.S. Treasury ETF (SCHQ) [EF]		None	Tax-Deferred		✓
IRA ⇒ Sweep Account [BA]		$15,001 - $50,000	Tax-Deferred		☐
IRA ⇒ TIAA CREF Core Impact [EF]		None	Tax-Deferred		✓
IRA ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		$1,001 - $15,000	Tax-Deferred		✓
IRA ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]		$1,001 - $15,000	Tax-Deferred		✓
IRA ⇒		None	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Vanguard Total International Bond [EF]					
Rollover IRA ⇒ AB Sustainable Global Thematic Fund - ADV (ATEYX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
Rollover IRA ⇒ Brown Advantage Sustainable [MF]	SP	None	Tax-Deferred		✓
Rollover IRA ⇒ Calvert Green Bond Fund Class I (CGBIX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
Rollover IRA ⇒ Calvert Income Fund Class I (CINCX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
Rollover IRA ⇒ Calvert Small Cap [MF]	SP	None	Tax-Deferred		✓
Rollover IRA ⇒ Calvert US Large Cap Value [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
Rollover IRA ⇒ CCM Community Impact Fund [MF]	SP	None	Tax-Deferred		✓
Rollover IRA ⇒ Delaware Corporate Bond [MF]	SP	None	Tax-Deferred		✓
Rollover IRA ⇒ Federated Hermes SDG Engagement Equity Fund IS Class (FHESX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Federated Hermes SDG Engagement High Yield Credit Fund IS Class (FHHIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Goldman Sachs International Equity ESG Fund - Institutional Shares (GSIEX) [MF]	SP	None	Tax-Deferred		✓
Rollover IRA ⇒ iShares ESG Aware MSCI EAFE ETF (ESGD) [EF] DESCRIPTION: Listed on prior reports as IShares Inc IShares ESG	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ iShares ESG Aware MSCI EM ETF (ESGE) [EF]	SP	None	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Rollover IRA ⇒ iShares ESG Aware USD Corporate Bond ETF (SUSC) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Rollover IRA ⇒ IShares US Treasury Bond [MF]	SP	None	Tax-Deferred		☑
Rollover IRA ⇒ Large Cap Equity Fund - I Class (AFEIX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Rollover IRA ⇒ Mirova Global Megatrends Fund Class Y (ESGYX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Rollover IRA ⇒ Nuveen ESG Large Cap Growth [EF]	SP	None	Tax-Deferred		☑
Rollover IRA ⇒ Nuveen ESG Large Cap Value [MF]	SP	None	Tax-Deferred		☑
Rollover IRA ⇒ Schwab Long-Term U.S. Treasury ETF (SCHQ) [EF]	SP	None	Tax-Deferred		☑
Rollover IRA ⇒ Sweep Account [BA]	SP	$1,001 - $15,000	Tax-Deferred		☐
Rollover IRA ⇒ TIAA CREF Core Impact [MF]	SP	None	Tax-Deferred		☑
Rollover IRA ⇒ UBS Engage For Impact Fund Class P2 (EIPTX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Rollover IRA ⇒ UBS International Sustainable Equity Fund Class P2 (ESPTX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Rollover IRA ⇒ UBS Sustainable Development Bank Bond Fund Class P2 (UDBTX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Rollover IRA ⇒ Vanguard Total International Bond [MF]	SP	None	Tax-Deferred		☑
Rollover IRA ⇒ Victory Pioneer Global Equity Fund Class Y (PGSYX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
TR 403(b) ⇒ T. Rowe Price Retirement 2025 [MF]	SP	$100,001 - $250,000	Tax-Deferred		☐

* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
IRA ⇒ Nuveen ESG Large-Cap Growth ETF (NULG) [EF]		10/23/2024	S	$15,001 - $50,000	☐
IRA ⇒ Nuveen ESG Large-Cap Value ETF (NULV) [EF]		10/23/2024	S	$50,001 - $100,000	☐
IRA ⇒ iShares ESG Aware MSCI EM ETF (ESGE) [EF]		10/23/2024	S	$15,001 - $50,000	☐
IRA ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF]		10/23/2024	S	$15,001 - $50,000	☐
IRA ⇒ iShares ESG Aware MSCI EAFE ETF (ESGD) [EF]		10/23/2024	S	$15,001 - $50,000	☐
IRA ⇒ Schwab Long-Term U.S. Treasury ETF (SCHQ) [EF]		10/23/2024	S	$1,001 - $15,000	☐
IRA ⇒ Vanguard Total International Bond ETF (BNDX) [EF]		10/23/2024	S	$15,001 - $50,000	☐
IRA ⇒ Calvert Small Cap Fund Class I (CSVIX) [MF]		10/23/2024	S	$15,001 - $50,000	☐
IRA ⇒ Calvert U.S. Large Cap Value Responsible Index Fund Class I (CFJIX) [MF]		10/23/2024	S	$50,001 - $100,000	☐
IRA ⇒ Goldman Sachs International Equity ESG Fund - Institutional Shares (GSIEX) [MF]		10/23/2024	S	$15,001 - $50,000	☐
IRA ⇒ Brown Advisory Sustainable Growth Fd Inst Shs (BAFWX)		10/23/2024	S	$50,001 - $100,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
[MF]					
IRA ⇒ Community Reinvestment Act Qualified Investment Fund Institutional Class (CRANX) [MF]		10/23/2024	S	$15,001 - $50,000	☐
IRA ⇒ Macquarie Corporate Bond Fund Institutional (DGCIX) [MF]		10/23/2024	S	$15,001 - $50,000	☐
IRA ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF]		10/24/2024	P	$15,001 - $50,000	
IRA ⇒ iShares 10-20 Year Treasury Bond ETF (TLH) [EF]		10/24/2024	P	$15,001 - $50,000	
IRA ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]		10/24/2024	P	$1,001 - $15,000	
IRA ⇒ JPMorgan BetaBuilders USD High Yield Corporate Bond ETF (BBHY) [EF]		10/24/2024	P	$15,001 - $50,000	
IRA ⇒ JPMorgan Core Plus Bond ETF (JCPB) [EF]		10/24/2024	P	$50,001 - $100,000	
IRA ⇒ JPMorgan BetaBuilders U.S. Equity ETF (BBUS) [EF]		10/24/2024	P	$100,001 - $250,000	
IRA ⇒ JPMorgan BetaBuilders International Equity ETF (BBIN) [EF]		10/24/2024	P	$50,001 - $100,000	
IRA ⇒ JPMorgan Equity Premium Income ETF (JEPI) [EF]		10/24/2024	P	$15,001 - $50,000	
IRA ⇒ JPMorgan ActiveBuilders Emerging Markets Equity ETF (JEMA) [EF]		10/24/2024	P	$15,001 - $50,000	
IRA ⇒ JPMorgan Active Value ETF (JAVA) [EF]		10/24/2024	P	$15,001 - $50,000	
IRA ⇒ JPMorgan Income ETF (JPIE) [EF]		10/24/2024	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
IRA ⇒ J.P. Morgan Exchange-Traded Fund Trust JPMorgan Active Growth ETF (JGRO) [EF]		10/24/2024	P	$15,001 - $50,000	
IRA ⇒ JPMorgan Global Select Equity ETF (JGLO) [EF]		10/24/2024	P	$15,001 - $50,000	
IRA ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]		10/24/2024	P	$1,001 - $15,000	
IRA ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		10/24/2024	P	$1,001 - $15,000	
IRA ⇒ J.P. Morgan Exchange-Traded Fund Trust JPMorgan International Research Enhanced Equity ETF (JIRE) [EF]		10/24/2024	P	$15,001 - $50,000	
IRA ⇒ iShares 10-20 Year Treasury Bond ETF (TLH) [EF]		11/07/2024	P	$1,001 - $15,000	
IRA ⇒ JPMorgan Core Plus Bond ETF (JCPB) [EF]		11/07/2024	P	$1,001 - $15,000	
IRA ⇒ JPMorgan BetaBuilders U.S. Equity ETF (BBUS) [EF]		11/07/2024	P	$1,001 - $15,000	
IRA ⇒ JPMorgan BetaBuilders U.S. Mid Cap Equity ETF (BBMC) [EF]		11/07/2024	P	$1,001 - $15,000	
IRA ⇒ JPMorgan Active Value ETF (JAVA) [EF]		11/07/2024	P	$1,001 - $15,000	
IRA ⇒ JPMorgan BetaBuilders USD High Yield Corporate Bond ETF (BBHY) [EF]		11/07/2024	S (partial)	$1,001 - $15,000	☐
IRA ⇒ JPMorgan Equity Premium Income ETF (JEPI) [EF]		11/07/2024	S	$15,001 - $50,000	☐
IRA ⇒ JPMorgan BetaBuilders U.S. Mid Cap Equity ETF (BBMC) [EF]		12/11/2024	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
IRA ⇒ JPMorgan BetaBuilders U.S. Equity ETF (BBUS) [EF]		12/11/2024	S (partial)	$1,001 - $15,000	☐
IRA ⇒ JPMorgan Income ETF (JPIE) [EF]		12/11/2024	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Nuveen Core Impact Bond Fund I (TSBHX) [MF]		10/23/2024	S	$100,001 - $250,000	☐
IRA ⇒ BlackRock Liquidity Funds FedFund - Premier (BUPXX) [MF]		10/23/2024	S	$1,001 - $15,000	☐
Rollover IRA ⇒ iShares ESG Aware USD Corporate Bond ETF (SUSC) [EF]	SP	10/28/2024	P	$15,001 - $50,000	
Rollover IRA ⇒ UBS Sustainable Development Bank Bond Fund Class P2 (UDBTX) [MF]	SP	10/28/2024	P	$15,001 - $50,000	
Rollover IRA ⇒ UBS International Sustainable Equity Fund Class P2 (ESPTX) [MF]	SP	10/28/2024	P	$15,001 - $50,000	
Rollover IRA ⇒ UBS Engage For Impact Fund Class P2 (EIPTX) [MF]	SP	10/28/2024	P	$15,001 - $50,000	
Rollover IRA ⇒ Calvert Green Bond Fund Class I (CGBIX) [MF]	SP	10/28/2024	P	$15,001 - $50,000	
Rollover IRA ⇒ Calvert Income Fund Class I (CINCX) [MF]	SP	10/28/2024	P	$15,001 - $50,000	
Rollover IRA ⇒ Large Cap Equity Fund - I Class (AFEIX) [MF]	SP	10/28/2024	P	$15,001 - $50,000	
Rollover IRA ⇒ Federated Hermes SDG Engagement Equity Fund IS Class (FHESX) [MF]	SP	10/28/2024	P	$1,001 - $15,000	
Rollover IRA ⇒ Federated Hermes SDG Engagement High Yield Credit Fund IS Class (FHHIX) [MF]	SP	10/28/2024	P	$1,001 - $15,000	
Rollover IRA ⇒	SP	10/28/2024	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Victory Pioneer Global Equity Fund Class Y (PGSYX) [MF]					
Rollover IRA ⇒ Mirova Global Megatrends Fund Class Y (ESGYX) [MF]	SP	10/28/2024	P	$15,001 - $50,000	
Rollover IRA ⇒ AB Sustainable Global Thematic Fund - ADV (ATEYX) [MF]	SP	10/28/2024	P	$15,001 - $50,000	
Rollover IRA ⇒ Nuveen ESG Large-Cap Growth ETF (NULG) [EF]	SP	10/28/2024	S	$15,001 - $50,000	☐
Rollover IRA ⇒ Nuveen ESG Large-Cap Value ETF (NULV) [EF]	SP	10/28/2024	S	$15,001 - $50,000	☐
Rollover IRA ⇒ iShares ESG Aware MSCI EM ETF (ESGE) [EF]	SP	10/28/2024	S	$15,001 - $50,000	☐
Rollover IRA ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF]	SP	10/28/2024	S	$1,001 - $15,000	☐
Rollover IRA ⇒ iShares ESG Aware MSCI EAFE ETF (ESGD) [EF]	SP	10/28/2024	S (partial)	$1,001 - $15,000	☐
Rollover IRA ⇒ Schwab Long-Term U.S. Treasury ETF (SCHQ) [EF]	SP	10/28/2024	S	$1,001 - $15,000	☐
Rollover IRA ⇒ Vanguard Total International Bond ETF (BNDX) [EF]	SP	10/28/2024	S	$1,001 - $15,000	☐
Rollover IRA ⇒ Calvert Small Cap Fund Class I (CSVIX) [MF]	SP	10/28/2024	S	$15,001 - $50,000	☐
Rollover IRA ⇒ Calvert U.S. Large Cap Value Responsible Index Fund Class I (CFJIX) [MF]	SP	10/28/2024	S (partial)	$15,001 - $50,000	☐
IRA ⇒ Calvert Small Cap Fund Class I (CSVIX) [MF]		02/15/2024	P	$15,001 - $50,000	
IRA ⇒ Nuveen ESG Large-Cap Growth ETF (NULG) [EF]		02/15/2024	S (partial)	$1,001 - $15,000	☐
IRA ⇒		02/15/2024	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Nuveen ESG Large-Cap Value ETF (NULV) [EF]					
IRA ⇒ iShares ESG Aware MSCI EM ETF (ESGE) [EF]		02/15/2024	P	$1,001 - $15,000	
IRA ⇒ Vanguard Total International Bond ETF (BNDX) [EF]		02/15/2024	P	$1,001 - $15,000	
IRA ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF]		02/15/2024	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Brown Advisory Sustainable Growth Fd Inst Shs (BAFWX) [MF]		02/15/2024	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Nuveen Core Impact Bond Fund I (TSBHX) [MF]		02/15/2024	P	$1,001 - $15,000	
IRA ⇒ Macquarie Corporate Bond Fund Institutional (DGCIX) [MF]		02/15/2024	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Community Reinvestment Act Qualified Investment Fund Institutional Class (CRANX) [MF]		02/15/2024	S (partial)	$1,001 - $15,000	☐
IRA ⇒ BlackRock Liquidity Funds FedFund - Premier (BUPXX) [MF]		02/15/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ iShares International Select Dividend ETF (IDV) [EF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ iShares J.P. Morgan USD Emerging Markets Bond ETF (EMB) [EF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ Invesco RAFI Developed Markets ex-U.S. ETF (PXF) [EF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ Invesco Preferred ETF (PGX) [EF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ Global X MLP ETF (MLPA) [EF]	SP	12/09/2024	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Inherited IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ High Income Fund - I Class (AHIIX) [MF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ Cohen & Steers Preferred Securities and Income Fund Inc. Class I (CPXIX) [MF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ Vanguard Emerging Markets Bond Fund Admiral Shares (VEGBX) [MF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ Cohen & Steers Preferred Securities and Income Fund Inc. Class I (CPXIX) [MF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ T. Rowe Price Floating Rate Fund, Inc. (PRFRX) [MF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ Loomis Sayles Senior Floating Rate and Fixed Income Fd Cl Y (LSFYX) [MF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ iShares MSCI Emerging Markets ex China ETF (EMXC) [EF]	SP	12/09/2024	S	$1,001 - $15,000	☐
Inherited IRA ⇒ Franklin U.S. Large Cap Multifactor Index ETF (FLQL) [EF]	SP	12/09/2024	S	$1,001 - $15,000	☐
Inherited IRA ⇒ Franklin U.S. Core Bond ETF (FLCB) [EF]	SP	12/09/2024	S	$1,001 - $15,000	☐
Inherited IRA ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF]	SP	12/09/2024	S	$1,001 - $15,000	☐
Inherited IRA ⇒	SP	12/09/2024	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
iShares MSCI USA Quality Factor ETF (QUAL) [EF]					
Inherited IRA ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	12/09/2024	S	$1,001 - $15,000	
Inherited IRA ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]	SP	12/09/2024	S	$1,001 - $15,000	
Inherited IRA ⇒ Franklin Value Fund Advisor Class (FVADX) [MF]	SP	12/09/2024	S	$1,001 - $15,000	
Inherited IRA ⇒ Martin Currie Emerging Markets Fund Class I (MCEIX) [MF]	SP	12/09/2024	S	$1,001 - $15,000	
Inherited IRA ⇒ Putnam Large Cap Value Fund Class Y (PEIYX) [MF]	SP	12/09/2024	S	$1,001 - $15,000	
Inherited IRA ⇒ ClearBridge Large Cap Growth Fd Cl A (SBLGX) [MF]	SP	12/09/2024	S	$1,001 - $15,000	
Inherited IRA ⇒ PGIM Total Return Bond Fund Class Z (PDBZX) [MF]	SP	12/09/2024	S	$1,001 - $15,000	
Inherited IRA ⇒ Royce Premier Fund Investment Class (RYPRX) [MF]	SP	12/09/2024	S	$1,001 - $15,000	
Inherited IRA ⇒ Templeton Foreign Fund, Advisor (TFFAX) [MF]	SP	12/09/2024	S	$1,001 - $15,000	
Inherited IRA ⇒ Causeway Emerging Markets Fund Insti Cl (CEMIX) [MF]	SP	12/09/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ PGIM Total Return Bond Fund Class Z (PDBZX) [MF]	SP	09/04/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ Western Asset Core Plus Bond Fund Class I (WACPX) [MF]	SP	09/04/2024	S	$1,001 - $15,000	
Inherited IRA ⇒ ClearBridge Large Cap Growth Fd Class I (SBLYX) [MF]	SP	02/29/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ ClearBridge Large Cap Value Fund Class I (SAIFX) [MF]	SP	02/29/2024	S	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Inherited IRA ⇒ Putnam Large Cap Value Fund Class Y (PEIYX) [MF]	SP	02/29/2024	P	$1,001 - $15,000	
Inherited IRA ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	02/21/2024	S (partial)	$1,001 - $15,000	☐
Inherited IRA ⇒ Franklin U.S. Large Cap Multifactor Index ETF (FLQL) [EF]	SP	02/21/2024	S (partial)	$1,001 - $15,000	☐
Rollover IRA ⇒ Goldman Sachs International Equity ESG Fund - Institutional Shares (GSIEX) [MF]	SP	10/28/2024	S	$1,001 - $15,000	☐
Rollover IRA ⇒ Brown Advisory Sustainable Growth Fd Inst Shs (BAFWX) [MF]	SP	10/28/2024	S	$15,001 - $50,000	☐
Rollover IRA ⇒ Community Reinvestment Act Qualified Investment Fund Institutional Class (CRANX) [MF]	SP	10/28/2024	S	$15,001 - $50,000	☐
Rollover IRA ⇒ Macquarie Corporate Bond Fund Institutional (DGCIX) [MF]	SP	10/28/2024	S	$1,001 - $15,000	☐
Rollover IRA ⇒ BlackRock Liquidity Funds FedFund - Premier (BUPXX) [MF]	SP	10/28/2024	S	$1,001 - $15,000	☐
Rollover IRA ⇒ Calvert Income Fund Class I (CINCX) [MF]	SP	10/29/2024	P	$1,001 - $15,000	
Rollover IRA ⇒ Nuveen Core Impact Bond Fund I (TSBHX) [MF]	SP	10/29/2024	S	$50,001 - $100,000	☐
Rollover IRA ⇒ Large Cap Equity Fund - I Class (AFEIX) [MF]	SP	11/08/2024	P	$1,001 - $15,000	
Rollover IRA ⇒ UBS Sustainable Development Bank Bond Fund Class P2 (UDBTX) [MF]	SP	11/08/2024	S (partial)	$1,001 - $15,000	☐
Rollover IRA ⇒ AB Sustainable Global Thematic Fund - ADV (ATEYX) [MF]	SP	12/11/2024	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Rollover IRA ⇒ Large Cap Equity Fund - I Class (AFEIX) [MF]	SP	12/18/2024	P	$1,001 - $15,000	
Rollover IRA ⇒ Calvert Small Cap Fund Class I (CSVIX) [MF]	SP	02/15/2024	P	$1,001 - $15,000	
Rollover IRA ⇒ Nuveen ESG Large-Cap Growth ETF (NULG) [EF]	SP	02/15/2024	S (partial)	$1,001 - $15,000	☐
Rollover IRA ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF]	SP	02/15/2024	S (partial)	$1,001 - $15,000	☐
Rollover IRA ⇒ Nuveen Core Impact Bond Fund I (TSBHX) [MF]	SP	02/15/2024	P	$1,001 - $15,000	
Rollover IRA ⇒ Community Reinvestment Act Qualified Investment Fund Institutional Class (CRANX) [MF]	SP	02/15/2024	S (partial)	$1,001 - $15,000	☐
Rollover IRA ⇒ Brown Advisory Sustainable Growth Fd Inst Shs (BAFWX) [MF]	SP	02/15/2024	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ BlackRock National Muni I (MANLX) [MF]	SP	06/06/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Goldman Sachs GQG Partners International Opportunities Fund Institutional Shares (GSIMX) [MF]	SP	06/06/2024	P	$15,001 - $50,000	
Inherited Brokerage Account ⇒ BlackRock International I (MAILX) [MF]	SP	06/06/2024	S	$15,001 - $50,000	☐
Inherited Brokerage Account ⇒ BlackRock Science & Technology Opportunities Portf Insti Class (BGSIX) [MF]	SP	06/06/2024	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ PIMCO Municipal Bond Fund Class I-2 (PMUPX) [MF]	SP	06/06/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	SP	06/06/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒	SP	06/06/2024	S	$15,001 - $50,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
iShares Core S&P 500 ETF (IVV) [EF]					
Inherited Brokerage Account ⇒ iShares National Muni Bond ETF (MUB) [EF]	SP	06/06/2024	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF]	SP	06/06/2024	S	$1,001 - $15,000	☑
Inherited Brokerage Account ⇒ iShares MSCI EAFE Value ETF (EFV) [EF]	SP	06/06/2024	S (partial)	$1,001 - $15,000	☑
Inherited Brokerage Account ⇒ iShares Currency Hedged MSCI EAFE ETF (HEFA) [EF]	SP	06/06/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ iShares MSCI Emerging Markets ex China ETF (EMXC) [EF]	SP	06/06/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ iShares Global Energy ETF (IXC) [EF]	SP	10/28/2024	S	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ iShares Currency Hedged MSCI EAFE ETF (HEFA) [EF]	SP	10/28/2024	S	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ Goldman Sachs GQG Partners International Opportunities Fund Institutional Shares (GSIMX) [MF]	SP	10/28/2024	S	$15,001 - $50,000	☐
Inherited Brokerage Account ⇒ PIMCO Municipal Bond Fund Class I-2 (PMUPX) [MF]	SP	10/28/2024	S	$15,001 - $50,000	☑
Inherited Brokerage Account ⇒ MFS Series Trust I: MFS Core Equity Fund - Class A (MRGAX) [MF]	SP	10/28/2024	S	$15,001 - $50,000	☑
Inherited Brokerage Account ⇒ BlackRock Science & Technology Opportunities Portf Insti Class (BGSIX) [MF]	SP	10/28/2024	S	$1,001 - $15,000	☑
Inherited Brokerage Account ⇒ BlackRock National Muni I (MANLX) [MF]	SP	10/28/2024	S	$15,001 - $50,000	☐
Inherited Brokerage Account ⇒ BlackRock Emerging Markets Fund Class I (MADCX) [MF]	SP	10/28/2024	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Inherited Brokerage Account ⇒ iShares MBS ETF (MBB) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ iShares National Muni Bond ETF (MUB) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ iShares Short-Term National Muni Bond ETF (SUB) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ iShares 0-3 Month Treasury Bond ETF (SGOV) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Invesco National AMT-Free Municipal Bond ETFo (PZA) [EF]	SP	10/29/2024	P	$15,001 - $50,000	
Inherited Brokerage Account ⇒ SPDR Nuveen Bloomberg Short Term Municipal Bond ETF (SHM) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Materials Select Sector SPDR (XLB) [EF]	SP	10/29/2024	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Inherited Brokerage Account ⇒ Real Estate Select Sector SPDR Fund (XLRE) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Vanguard Utilities ETF (VPU) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Vanguard Russell 2000 ETF (VTWO) [EF]	SP	10/29/2024	P	$15,001 - $50,000	
Inherited Brokerage Account ⇒ iShares U.S. Equity Factor Rotation Active ETF (DYNF) [EF]	SP	06/06/2024	P	$15,001 - $50,000	
Inherited Brokerage Account ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]	SP	10/29/2024	P	$1,001 - $15,000	
Rollover IRA ⇒ BlackRock Liquidity Funds FedFund - Premier (BUPXX) [MF]	SP	02/15/2024	P	$1,001 - $15,000	
Rollover IRA ⇒ Macquarie Corporate Bond Fund Institutional (DGCIX) [MF]	SP	02/15/2024	S (partial)	$1,001 - $15,000	☐

* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Connecticut Children's Medical Center	Spouse Salary	N/A

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Investment Vehicle Details

- TR 403(b) (Owner: SP)
- IRA
- Inherited IRA (Owner: SP)
  Description: IRA inherited in December 2018
- Inherited Brokerage Account (Owner: SP)
  Location: US
  Description: Account inherited in 2019
- Rollover IRA (Owner: SP)
- Hartford Healthcare 401k (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Joe Courtney , 05/14/2025