

000000000243 RECEIVED BY: SECRETARY OF THE SENATE Date: May 15, 2024

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

Amendment

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|---|---|---|--|
| Last Name Lujan | First Name and Middle Initial Man Ray | Annual Report Calendar Year Covered by Report 2023 | Senate Office / Agency in Which Employed Sen Lujan, NM |
| Senate Office Address (Number, Street, City, State, and ZIP Code) 498 Russell Sank Bldg | Senate Office Telephone Number (Include Area Code) 202-224-6621 | Termination Report Termination Date (mm/dd/yy) | Prior Office / Agency in Which Employed |

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

| | YES | NO | | YES | NO |
|--|--------------------------|-------------------------------------|---|--------------------------|-------------------------------------|
| Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I. | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$480 from one source)? If Yes, complete and attach PART VI. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income totaling \$200 or more from any reportable source in the reporting period? If Yes, complete and attach PART II. | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Did you, your spouse, or dependent child have any reportable liability (i.e., more than \$10,000) during the reporting period? If Yes, complete and attach PART VII. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB. | <input type="checkbox"/> | <input type="checkbox"/> | Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV. | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$480 and not otherwise exempt)? If Yes, complete and attach PART V. | <input type="checkbox"/> | <input checked="" type="checkbox"/> | If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the <u>two</u> prior years? If Yes, complete and attach PART X. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

Each question must be answered and the appropriate PART attached for each "YES" response.

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| <p style="text-align: center;">FOR OFFICIAL USE ONLY Do Not Write Below this Line</p> | <p>RECEIVED SECRETARY OF THE SENATE MAY 15 2024 9:16</p> |
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000000000244 RECEIVED BY: SECRETARY OF THE SENATE Date: May 15, 2024

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| Reporting Individual's Name | <input type="checkbox"/> Amendment | PART I. PAYMENTS TO PAY CHARITABLE ORGANIZATIONS IN LIEU OF HONORARIA | Page Number 1 |
| Bew Ray Lujan | | | |

Report the source (name and address), date, and amount of any payment from each source to a charitable organization made in lieu of honoraria to you during the reporting period. Identify the activity (speech, article, or appearance), which generated the payment (see Financial Disclosure Instructions for CY 2023, p. 17).

Note: Travel expenses in excess of \$480 related to activities giving rise to these payments must be reported on Part VI, Reimbursements.

| | Date of Payment | Name of Source | Address (City, State) | Speech, Article, or Appearance | Amount |
|----------|-----------------|--------------------------------------|-----------------------|--------------------------------|---------|
| Example: | 3/26/2X | Association of American Associations | Wash., DC | EXAMPLE Speech | \$1,000 |
| | 7/23/2X | XYZ Magazine | NY, NY | EXAMPLE Article | \$500 |
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Note: A separate, confidential report that names the charitable organization receiving such payments must be filed with the Select Committee on Ethics, Room 220, Hart Senate Office Building, Washington, DC 20510.

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| Reporting Individual's Name <i>Max Ray Lopez</i> | <input type="checkbox"/> Amendment | PART II. EARNED AND NON-INVESTMENT INCOME | Page Number <i>2</i> |
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Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which totals more than \$1,000 during the reporting period. No amount needs to be specified for your spouse (see Financial Disclosure Instructions for CY 2023, p. 18). Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban: For you and/or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do **not** include payments in lieu of honoraria reported on Part I.

| | Name of Income Source | Address (City, State) | Type of Income | Amount |
|----------|-----------------------|-----------------------|----------------|-------------------------------|
| Example: | <i>JP Computers</i> | <i>Wash., DC</i> | EXAMPLE | <i>Salary</i> \$15,000 |
| | <i>MCI (Spouse)</i> | <i>Arlington, VA</i> | EXAMPLE | <i>Salary</i> Over \$1,000 |
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RECEIVED BY: SECRETARY OF THE SENATE

Date: May 15, 2024

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| Reporting Individual's Name <i>Don Ray Lujan</i> | <input type="checkbox"/> Amendment | PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES | Page Number 3 |
|---|------------------------------------|--|-------------------------|

| BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child (see Financial Disclosure Instructions for CY 2023, p. 20) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan. | BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, check the first column. | | | | | | | | | BLOCK C Type and Amount of Income | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | | | | | | | | | | Type of Income | | | | | Amount of Income | | | | | | | | | | | | | | | | | | | | |
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000*** | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | None | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other <i>(Specify Type)</i> | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000*** | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Actual Amount Required if "Other" Specified | | |
| Example: S, DC, or J | IBM Corp. (stock) | | | | | | | | | X | | | | | | | | | Example | | X | | | | | | | | | | | Example | | | |
| | (S) Keystone Fund | | | | | | | | | | | | X | | | | | | | Example | X | | | | | | | | | | | Example | | | |
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EXEMPTION TEST (see Financial Disclosure Instructions for CY 2023): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Date: May 15, 2024

Reporting Individual's Name: Amendment **Blue Ray Ligon**

PART IV. TRANSACTIONS

Page Number **5**

| Report any purchase, sale, or exchange by you, your spouse, or dependent child (see Financial Disclosure Instructions for CY 2023, p. 30) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. | Transaction Type (x) | | | Transaction Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | | |
|--|----------------------|------|----------|----------------------------------|---------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|---------------------|---------------------------|----------------------------|-----------------------------|-------------------|--|--|
| | Purchase | Sale | Exchange | | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000*** | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | | |
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| Identification of Assets | | | | | | | | | | | | | | | | | |
| Example: S, DC, or J IBM Corp. (stock) NYSE | X | | | 2/1/2X | X | | | | E | X | A | M | P | L | E | | |
| (DC) Microsoft (stock) NASDAQ/OTC | | X | | 1/27/2X | | | X | E | X | A | M | P | L | E | | | |
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EXEMPTION TEST (see Financial Disclosure Instructions for CY 2023): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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| Reporting Individual's Name | <input type="checkbox"/> Amendment | PART V. GIFTS | Page Number |
| <i>Ben Ray Lujan</i> | | | <i>6</i> |

Report all gifts aggregating more than \$480 from a single source received by you, your spouse, or your dependent children. You **must** provide the name of each source, a description of the gift(s) received, and the value of all gifts aggregating more than \$480 in value (see Financial Disclosure Instructions for CY 2023, p. 33). Gifts with a value of \$166 or less need not be aggregated towards the disclosure threshold. "Gift" is defined in the Financial Disclosure Instructions for CY 2023. Gifts approved by the Select Committee on Ethics **must** be included if they do not meet any of the exclusions below.

Exclude: (1) Bequests and other forms of inheritance; (2) Political campaign contributions; (3) Communications to your offices including subscriptions to newspapers and periodicals; (4) Consumable products provided by home state businesses to your offices, if those products are intended for consumption by persons other than yourself; (5) Gifts received prior to your federal employment; (6) Gifts to your spouse or dependent child totally independent of his or her relationship to you; (7) Gifts from relatives; (8) Personal hospitality of any individual (see page 24, Financial Disclosure Instructions for CY 2023); (9) Meals and beverages **unless** consumed in connection with a gift of overnight lodging; and (10) Food, lodging, transportation, and entertainment provided by a foreign government within a foreign country, or by federal, state, or local governments.

| | Name of Source | Address of Source | Dates and Brief Description | Gift Value |
|-----------------|--------------------------|--------------------|---|--------------|
| Example: | <i>Mr. John Q. Smith</i> | <i>Anytown, VA</i> | EXAMPLE <i>August 12, 202X, Silver platter – Ethics Committee wedding waiver granted</i> | <i>\$400</i> |
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Note: The Senate Gifts Rule prohibits most gifts from lobbyists and foreign agents and most gifts from other sources in excess of \$49.99.

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| Reporting Individual's Name <i>Ben Ray Lujan</i> | <input type="checkbox"/> Amendment | PART VI. REIMBURSEMENTS | Page Number <i>7</i> |
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Report travel related expenses from each source aggregating more than \$480 in value during the reporting period received by you, your spouse and/or dependent child in connection with your provision of services at a speaking engagement, fact-finding event, or other event (personal, campaign, or otherwise). Disclosure is required regardless of whether those expenses were **reimbursed** to the individual or **paid directly** by the sponsoring organization. A description of the itinerary, including date(s) and the nature of expenses is required (see Financial Disclosure Instructions for CY 2023, p. 35). If you were reimbursed for more than one trip from the same sponsor and the trips added together were worth more than \$480, then you **must** report each trip individually, even if the reimbursement for each separate trip does not equal more than \$480.

Exclude: Travel related expenses provided by federal, state, and local governments; or by a foreign government; reimbursements from campaign funds which are reported to the FEC; reimbursements to a spouse or dependent child totally independent of his or her relationship to you; and reimbursements reported to the Office of Public Records pursuant to Senate Rule 35.

| | Name of Income Source | Address of Source | Dates and Brief Description |
|----------|---------------------------|------------------------------------|---|
| Example: | <i>All States Company</i> | <i>Maintown, TX</i> EXAMPLE | <i>Roundtrip air travel from Washington, D.C. to Maintown, TX and lunch for self and spouse for speaking engagement: May 1-3, 202X</i> EXAMPLE |
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Date: May 15, 2024

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| Reporting Individual's Name | <input type="checkbox"/> Amendment | PART VII. LIABILITIES | Page Number 8 |
| <i>Dev Ray Lujan</i> | | | |

| Report liabilities over \$10,000 owed by you, your spouse, or dependent child (see Financial Disclosure Instructions for CY 2023, p. 37), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. | | | | Date Incurred | Interest Rate | Discount Points Paid for Mortgage (Senators Only) | Term if Applicable | Category of Amount | | | | | | | | | | | |
|--|----------------------------|-------------------|-------------------------------------|---------------|---------------|---|--------------------|---------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|---------------------|---------------------------|----------------------------|-----------------------------|-------------------|--|
| Exclude: (1) mortgages on your personal residences unless rented (except for Senators); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in the instructions. For more information on reporting revolving charge accounts, see Financial Disclosure Instructions for CY 2023. | | | | | | | | \$10,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000*** | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | |
| Name of Creditor | Address | Type of Liability | | | | | | | | | | | | | | | | | |
| Example: S, DC, or J | <i>First District Bank</i> | <i>Wash., DC</i> | <i>Mortgage on undeveloped land</i> | <i>2012</i> | <i>13%</i> | <i>1 pt</i> | <i>25 yrs</i> | | | X | | E | X | A | M | P | L | E | |
| | <i>(J) John Jones</i> | <i>Wash., DC</i> | <i>Promissory Note</i> | <i>2020</i> | <i>10%</i> | <i>n/a</i> | <i>On dmd</i> | | | X | | E | X | A | M | P | L | E | |
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EXEMPTION TEST (see Financial Disclosure Instructions for CY 2023): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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| Reporting Individual's Name | <input type="checkbox"/> Amendment | PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT | Page Number |
| Bey Kay Livan | | | 9 |

Report any positions held by you during the applicable reporting period, whether compensated or not (see Financial Disclosure Instructions for CY 2023, p. 38). Positions include, but are not limited to, those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month **must** be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

| | Name of Organization | Address (City, State) | Type of Organization | Position Held | From (Mo/Yr) | To (Mo/Yr) |
|----------|-----------------------------------|-----------------------|----------------------|----------------------|--------------|------------|
| Example: | National Assn. of Rock Collectors | NY, NY | EXAMPLE | Non-profit education | President | 6 / 11 |
| | Jones & Smith | Hometown, USA | EXAMPLE | Law Firm | Partner | 7 / 16 |
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Note: Compensation totaling \$200 or more from any position **must** be reported on Part II.

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| Reporting Individual's Name | <input type="checkbox"/> Amendment | PART IX. AGREEMENTS OR ARRANGEMENTS | Page Number |
| <i>Ben Ray Lujan</i> | | | <i>10</i> |

Report a description of the date, parties to, and terms of any agreement or arrangement with respect to (a) future employment; (b) a leave of absence during the period of the reporting individual's government service; (c) continuation of payments by a former employer other than the United States government; and (d) continuing participation in an employee benefit plan maintained by a former employer. For more information regarding the reporting of negotiations for any of these agreements or benefits, see Financial Disclosure Instructions for CY 2023, p. 39.

| | Status and Terms of any Agreement or Arrangement | Parties | Date |
|----------|---|--|-----------------|
| Example: | <i>Pursuant to partnership agreement, will receive lump sum payment of capital account and partnership share calculated on services performed through 11/1X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)</i> | <i>Jones & Smith, Hometown, USA</i> EXAMPLE | <i>1 / 14</i> |
| | <i>Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options</i> | <i>XYZ Co., Bethesda, MD</i> EXAMPLE | <i>1 / 2X</i> |
| 1 | <i>Ben Ray Lujan and NM Public Employee Pension Plan; maintained by state of NM</i> | <i>Ben Ray Lujan & NM state employee pension</i> | <i>12/31/08</i> |
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| Reporting Individual's Name <i>Dee Ray Ligon</i> | <input type="checkbox"/> Amendment | PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE | Page Number <i>4</i> |
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FIRST TIME FILERS AND CANDIDATES ONLY: Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. Compensation listed on Part X **must** also be reported on Part II.

| | Name of Source | Address of Source | Brief Description of Duties |
|-----------------|---|-----------------------|---|
| Example: | <i>Jones & Smith</i> | <i>Hometown, TX</i> | <i>Legal Services</i> EXAMPLE |
| | <i>Metro University (client of Jones & Smith)</i> | <i>Moneytown, USA</i> | <i>Legal Services in connection with university construction</i> EXAMPLE |
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